

Topology of weak G -bundles via Coulomb gauges in critical dimensions

Swarnendu Sil*

Forschungsinstitut für Mathematik
ETH Zürich

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Abstract

The transition maps for a Sobolev G -bundle are not continuous in the critical dimension and thus the usual notion of topology does not make sense. In this work, we show that if such a bundle P is equipped with a Sobolev connection A , then one can associate a topological isomorphism class to the pair (P, A) , which is invariant under Sobolev gauge changes and coincides with the usual notions for regular bundles and connections. This is based on a regularity result which says any bundle in the critical dimension in which a Sobolev connection is in Coulomb gauges are actually $C^{0,\alpha}$ for any $\alpha < 1$. We also show any such pair can be strongly approximated by smooth connections on smooth bundles. Finally, we prove that for sequences (P^ν, A^ν) with uniformly bounded $n/2$ -Yang-Mills energy, the topology stabilizes if the $n/2$ norm of the curvatures are equiintegrable. This implies a criterion to detect topological flatness in Sobolev bundles in critical dimensions via $n/2$ -Yang-Mills energy.

Keywords: Sobolev bundles, Topology, Approximation, Yang-Mills.

MSC codes: 58E15, 53C07.

1 Introduction

Throughout this article, we shall assume that $n \geq 3, N \geq 1$ are integers and

- $k = 1$ or 2 and $2 < p < \infty$ is a real number,
- G is a compact finite dimensional Lie group,
- M^n is a connected, closed n -dimensional smooth Riemannian manifold.

*swarnendu.sil@fim.math.ethz.ch

Here closed means a compact manifold without boundary. We are concerned with principal G -bundles over M^n . The analysis for Yang-Mills functional and in general, problems related to higher dimensional gauge theory, often requires one to work with notions of Sobolev principal bundles and Sobolev connections on them, where the connection forms and the transition maps, which define Čech cocycles, are only $W^{k,p}$. But since the transition maps need not be continuous if $kp \leq n$, the notion of topological isomorphism classes of bundles no longer make sense.

One of our goal in this article is to show that in the critical dimension $kp = n$, one can however associate a unique topological isomorphism class to a pair (P, A) , where A is a connection on P such that $A \in L^n$ and $dA \in L^{\frac{n}{2}}$. Our notion of a topological isomorphism class is assigned to the pair (P, A) and *not* to P alone. This explicit dependence on the connection A is a new point of view in which we are encoding topological information about the bundle in the connection as well, so that analysis at the level of connections can still keep track of topological information about the underlying bundles. We fully expect this new point of view to be more useful than the usual topological notions in critical and supercritical regime, since in this regime, the connections are not constrained to respect the topology of the bundles and can ‘drag’ the bundles along with them. The case of supercritical dimensions however requires other tools, which will be treated in a forthcoming work [14].

The topological isomorphism class is nothing but the C^0 -equivalence class of the corresponding Coulomb bundle, i.e. the bundle obtained from P by a $W^{k,p}$ gauge change in which the connection A satisfies the Coulomb condition $d^*A = 0$. As we shall show, given the pair (P, A) , any corresponding Coulomb bundle has the same C^0 -equivalence class. The fact that such bundles are C^0 -bundles has been proved by Rivière [11]. We shall show a stronger result, that these bundles are actually Hölder continuous with any Hölder exponent $\alpha < 1$.

This assignment of C^0 -equivalence class to a pair (P, A) is stable under $W^{k,p}$ gauge changes for $kp = n$ and if the connection and the bundle are more regular, this notion coincides with the usual notion of topological isomorphism class for bundles and thus would be independent of the connection. The Hölder continuity of the Coulomb bundles is already noticed by Shevchishin in [13], although it does not seem to be widely known. Much like our approach, Shevchishin is also using this improved regularity to implicitly define a notion of topology for bundles in the critical dimension. However, instead of assigning a topology to the *pair* (P, A) , he is assigning the topology to the bundle *alone*, by implicitly making a specific choice for the connection A . But two different $W^{k,p}$ connections can give rise to two distinct Coulomb bundles which are not C^0 isomorphic (see Remark 27) and there is little geometric reason to prefer any one connection over another.

As a by product, we prove that in the critical dimension, any Sobolev cocycle can be approximated arbitrarily closely in the strong Sobolev topology by smooth cocycles, up to passing to a refinement of the cover.

Theorem 1 (cocycle smoothing). *Let $\{U_\alpha\}_{\alpha \in I}$ be a good cover of M^n and let*

$\{g_{\alpha\beta}\}_{\alpha,\beta \in I}$ be a collection of maps such that $g_{\alpha\beta} \in W^{k,p}(U_\alpha \cap U_\beta; G)$, with $kp = n$, for every $\alpha, \beta \in I$ with $U_\alpha \cap U_\beta \neq \emptyset$, $g_{\alpha\alpha} = \mathbf{1}_G$ for every $\alpha \in I$ and satisfies the cocycle conditions

$$g_{\alpha\beta}(x)g_{\beta\gamma}(x) = g_{\alpha\gamma}(x) \quad \text{for a.e. } x \in U_\alpha \cap U_\beta \cap U_\gamma \quad (1)$$

for every $\alpha, \beta, \gamma \in I$ with $U_\alpha \cap U_\beta \cap U_\gamma \neq \emptyset$. Then given any $\varepsilon > 0$, there exists a good refinement $\{V_j\}_{j \in J}$ of $\{U_\alpha\}_{\alpha \in I}$ and **smooth** maps $g_{ij}^\varepsilon \in C^\infty(V_i \cap V_j; G)$ for all $i, j \in J$ with $V_i \cap V_j \neq \emptyset$, satisfying $g_{jj}^\varepsilon = \mathbf{1}_G$ for every $j \in J$ and

$$g_{ij}^\varepsilon(x)g_{jk}^\varepsilon(x) = g_{ik}^\varepsilon(x) \quad \text{for a.e. } x \in V_i \cap V_j \cap V_k,$$

whenever $V_i \cap V_j \cap V_k \neq \emptyset$ and we have

$$\|g_{ij}^\varepsilon - g_{\phi(i)\phi(j)}\|_{W^{k,p}(V_i \cap V_j; G)} \leq \varepsilon \quad \text{whenever } V_i \cap V_j \neq \emptyset,$$

where $\phi : J \rightarrow I$ is the refinement map.

This answers a question raised by Rivière in [12]. We deduce this theorem from the more general Theorem 17, which says roughly the following:

For $kp = n$, given a $W^{k,p}$ principal G -bundle over M^n equipped with a $\mathcal{U}^{k,p}$ connection, for any $\varepsilon > 0$ we can find a smooth principal G -bundle over M^n equipped with a smooth connection so that the bundle is both $W^{k,p}$ equivalent and ε -close to the original bundle in $W^{k,p}$ norm and the connection is also ε -close to the pullback of the original connection in $\mathcal{U}^{k,p}$ norm.

Similar results are proved in Isobe [6]. But our proof is different and follows a more connection oriented approach, which also highlights the fact that the topology of smooth bundles defined by the approximating smooth cocycles are not necessarily uniquely determined by the original cocycles alone (see Remark 22). Also, since our analysis is based on Coulomb gauges, which always exist by linear Hodge theory when G is Abelian, we prove in Theorem 23 that such an approximation is possible even in supercritical dimensions for principal S^1 -bundles. This result is also proved by Isobe in a different article [7]. But our proof is not only different, but also considerably easier in the Abelian case.

The benefits of encoding topological information in the bundle-connection pair bear fruits in the analysis of sequences of bundles with connections under uniformly bounded Yang-Mills energy. In Theorem 32, which is the main result of the article, we show that for a sequence of pairs (P^ν, A^ν) with uniformly bounded $n/2$ -Yang-Mills energy, the associated topological classes, which can a priori be all different, stabilize for large enough ν if the sequence of $n/2$ norm of the curvatures is equiintegrable. For a sequence of connections on a fixed $W^{k,p} \cap C^0$ bundle, this yields the following.

Theorem 2 (Stability of topology if curvatures does not concentrate). *Let $kp = n$. Let P be a $W^{k,p} \cap C^0$ bundle over M^n and let $\{A^\nu\}_{\nu \geq 1}$ be a sequence of connections on P such that*

- (1) $A^\nu \in L^n$, $dA^\nu \in L^{\frac{n}{2}}$, $d^*A^\nu \in L^{(\frac{n}{2},1)}$ for every $\nu \geq 1$,
- (2) $\|F_{A^\nu}\|_{L^{\frac{n}{2}}(M^n; \Lambda^2 T^* M^n \otimes \mathfrak{g})}$ is uniformly bounded,
- (3) the sequence $\left\{ |F_{A^\nu}|^{\frac{n}{2}} \right\}_{\nu \geq 1}$ is equiintegrable in M^n .

Then there exists a subsequence $\{A^{\nu_s}\}_{s \geq 1}$, a limiting $W^{k,p} \cap C^0$ bundle $P^\infty = \left(\{U_i^\infty\}_{i \in I}, \{g_{ij}^\infty\}_{i,j \in I} \right)$ with $[P]_{C^0} = [P^\infty]_{C^0}$ and a limit connection A^∞ on P^∞ such that for every $i \in I$,

$$F_{A^{\nu_s}} \rightharpoonup F_{A_i^\infty} \quad \text{weakly in } L^{\frac{n}{2}}(U_i^\infty; \Lambda^2 T^* U_i^\infty \otimes \mathfrak{g}).$$

This improves Theorem IV.2. in Rivière [11], which needed A^ν to be strongly convergent in $W^{1,\frac{n}{2}}$ and d^*A^ν to be strongly convergent in the Lorentz space $L^{(\frac{n}{2},1)}$. Control of the full gradient of the connection, control of d^*A^ν and the strong convergences, are all somewhat unnatural and unsatisfactory requirements. In comparison, Theorem 2 does not need either strong convergences and except for the information on the curvatures, not even an uniform bound is needed either for d^*A^ν or the full gradient, which settles the question raised by Rivière in Remark IV. 2. in [11]. Theorem 32 implies Theorem 36, which gives a criterion to detect *topological flatness* for $W^{k,p}$ bundles equipped with $U^{k,p}$ connections via $n/2$ Yang-Mills energy of the connection for $kp = n$. As a consequence, we deduce the following extension of the energy gap theorem to non-smooth connections, which as far as we are aware, is new and might be of interest in itself.

Theorem 3 ($n/2$ -Yang-Mills energy gap). *For any cover \mathcal{U} of M^n , there exists a constant $\delta > 0$, depending only on \mathcal{U} , M^n and G such that if P is a $W^{1,n} \cap C^0$ bundle trivialized over \mathcal{U} and A is a connection form on P such that $A \in L^n$, $dA \in L^{\frac{n}{2}}$, $d^*A \in L^{(\frac{n}{2},1)}$, then we must have $YM_{n/2}(A) > \delta$, unless P is flat.*

When A is a smooth, this is the usual energy gap theorem.

The requirement of equiintegrability of the $n/2$ -norm of the curvatures in Theorem 2, which at first sight might seem strange, is actually a natural hypothesis. In practice, if we know that the sequence of curvatures satisfy some elliptic systems, for example, in cases of stationary Yang-Mills or Anti-Self-Dual connections etc, then by the epsilon-regularity type results for elliptic systems in critical dimensions, the curvatures does not concentrate in the so-called *neck regions* and the equiintegrability hypothesis is satisfied on *such regions* and would be satisfied on the whole domain if there are no *bubbles*. On the other hand, it is known (see Freed-Uhlenbeck [3], Taubes [20]) that the topology can change in the weak limit if one assumes only the uniform $L^{\frac{n}{2}}$ bound of the curvatures.

The rest of the article is organized as follows. In Section 2, we collect the preliminary notions and notations that we would use. Section 3 we are concerned with proving the smooth approximation theorems. Section 4 defines the notion of the topological isomorphism class and discusses its properties and proves the result concerning topology stabilization in the limit and its consequences.

2 Preliminaries

2.1 Smooth principal G bundles with connections

A smooth principal G -bundle (or simply a G -bundle) P over M^n is usually denoted by the notation $P \xrightarrow{\pi} M^n$, where $\pi : P \rightarrow M^n$ is a smooth map, called the *projection map*, P is called the *total space* of the bundle, M^n is the *base space*. One way to define a smooth principal G bundle $P \xrightarrow{\pi} M^n$, is to specify an open cover $\mathcal{U} = \{U_\alpha\}_{\alpha \in I}$ of M^n , i.e. $M^n = \bigcup_{\alpha \in I} U_\alpha$ and a collection

of bundle trivialization maps $\{\phi_\alpha\}_{\alpha \in I}$ such that $\phi_\alpha : U_\alpha \times G \rightarrow \pi^{-1}(U_\alpha)$ is a smooth diffeomorphism for every $\alpha \in I$ and each of them preserves the fiber, i.e. $\pi(\phi_\alpha(x, g)) = x$ for every $g \in G$ for every $x \in U_\alpha$ and they are G -equivariant, i.e. whenever $U_\alpha \cap U_\beta$ is nonempty, there exist smooth maps, called transition function $g_{\alpha\beta} : U_\alpha \cap U_\beta \rightarrow G$ such that for every $x \in U_\alpha \cap U_\beta$, we have

$$(\phi_\alpha^{-1} \circ \phi_\beta)(x, h) = (x, g_{\alpha\beta}(x)h) \text{ for every } h \in G. \quad (2)$$

From (2), it is clear that $g_{\alpha\alpha} = \mathbf{1}_G$, the identity element of G , for all $\alpha \in I$ and if $U_\alpha \cap U_\beta \cap U_\gamma \neq \emptyset$, the transition functions satisfy the cocycle identity

$$g_{\alpha\beta}(x)g_{\beta\gamma}(x) = g_{\alpha\gamma}(x) \quad \text{for every } x \in U_\alpha \cap U_\beta \cap U_\gamma. \quad (3)$$

Bundles as transition function data We shall be using an equivalent way (see e.g. [15]) of defining the bundle structure – by specifying the open cover \mathcal{U} along with the cocycles $\{g_{\alpha\beta}\}_{\alpha, \beta \in I}$. $P = \left(\{U_\alpha\}_{\alpha \in I}, \{g_{\alpha\beta}\}_{\alpha, \beta \in I} \right)$ shall denote a smooth or C^0 principal G bundle, if $g_{\alpha\beta}$ are smooth or continuous, respectively. We denote the space of smooth and C^0 principal G -bundles over M^n by the notation $\mathcal{P}_G^0(M^n)$ and $\mathcal{P}_G^\infty(M^n)$ respectively.

Good covers and refinements A *refinement* of a cover $\{U_\alpha\}_{\alpha \in I}$ is another cover $\{V_j\}_{j \in J}$ with a *refinement map* $\phi : J \rightarrow I$ such that for every $j \in J$, we have $V_j \subset\subset U_{\phi(j)}$. If $\{U_\alpha\}_{\alpha \in I}$ and $\{V_{\tilde{\alpha}}\}_{\tilde{\alpha} \in \tilde{I}}$ are two covers of the same base space, then a *common refinement* is another cover $\{W_j\}_{j \in J}$ with refinement maps $\phi : J \rightarrow I$ and $\tilde{\phi} : J \rightarrow \tilde{I}$ such that for every $j \in J$, we have $W_j \subset\subset U_{\phi(j)} \cap V_{\tilde{\phi}(j)}$.

Notation 4. We shall always assume the covers (including refinements and common refinements) involved are finite and good cover in the Čech sense, or simply a good cover, i.e. every nonempty finite intersection of the open sets in the elements of the cover are diffeomorphic to the open unit Euclidean ball. In fact, we shall assume that the elements in the cover are small enough convex geodesic balls such that their volume is comparable to Euclidean balls.

Connection, gauges and curvature A *connection*, or more precisely, a *connection form* A on P is a collection $\{A_\alpha\}_{\alpha \in I}$, where $A_\alpha : U_\alpha \rightarrow \Lambda^1 \mathbb{R}^n \otimes \mathfrak{g}$

satisfy the **gluing relations**

$$A_\beta = g_{\alpha\beta}^{-1}dg_{\alpha\beta} + g_{\alpha\beta}^{-1}A_\alpha g_{\alpha\beta} \quad \text{a.e. in } U_\alpha \cap U_\beta. \quad (4)$$

They define a global \mathfrak{g} -valued 1-form $A : M^n \rightarrow \Lambda^1 T^*M^n \otimes \mathfrak{g}$, which is smooth if A_α s are. We denote the space of smooth connections on a P by the notation $\mathcal{A}^\infty(P)$. A *gauge* $\rho = \{\rho_\alpha\}_{\alpha \in I}$ is a collection of maps $\rho_\alpha : U_\alpha \rightarrow G$, which represents a change of trivialization for the bundle, given by

$$\phi_\alpha^{\rho_\alpha}(x, h) = \phi_\alpha(x, \rho_\alpha(x)h) \quad \text{for all } x \in U_\alpha \text{ and for all } h \in G.$$

Then the new transition functions are given by $h_{\alpha\beta} = \rho_\alpha^{-1}g_{\alpha\beta}\rho_\beta$ in $U_\alpha \cap U_\beta$ for all $\alpha, \beta \in I$. The local representatives of the connections form with respect to the new trivialization $\{A_\alpha^{\rho_\alpha}\}_{\alpha \in I}$ satisfy the gauge change identity

$$A_\alpha^{\rho_\alpha} = \rho_\alpha^{-1}d\rho_\alpha + \rho_\alpha^{-1}A_\alpha\rho_\alpha \quad \text{a.e. in } U_\alpha, \quad \text{for all } \alpha \in I. \quad (5)$$

The *curvature* or the *curvature form* associated to a connection form A is a \mathfrak{g} -valued 2-form on M^n , denoted $F_A : M^n \rightarrow \Lambda^2 T^*M^n \otimes \mathfrak{g}$. Its local expressions, $(F_A)_{\alpha \in I}$, denoted F_{A_α} by a slight abuse of notations, are given by

$$F_{A_\alpha} = dA_\alpha + A_\alpha \wedge A_\alpha = dA_\alpha + \frac{1}{2}[A_\alpha, A_\alpha] \quad \text{in } U_\alpha, \quad \text{for all } \alpha \in I, \quad (6)$$

where the wedge product denotes the wedge product of \mathfrak{g} -valued forms and the bracket $[\cdot, \cdot]$ is the Lie bracket of \mathfrak{g} , extended to \mathfrak{g} -valued forms the usual way. The gauge change identity (5) implies

$$F_{A_\alpha^{\rho_\alpha}} = \rho_\alpha^{-1}F_{A_\alpha}\rho_\alpha \quad \text{a.e. in } U_\alpha, \quad \text{for all } \alpha \in I. \quad (7)$$

Similarly, the gluing relation (4) implies that we have $F_{A_\beta} = g_{\alpha\beta}^{-1}F_{A_\alpha}g_{\alpha\beta}$ in $U_\alpha \cap U_\beta$, whenever $U_\alpha \cap U_\beta \neq \emptyset$. This implies $(F_A)_{\alpha \in I}$ defines a global \mathfrak{g} -valued 2-form on M^n .

Yang-Mills energy For any $1 \leq q < \infty$, the q -Yang-Mills energy of a connection A , denoted $YM_q(A)$, is defined as

$$YM_q(A) := \int_{M^n} |F_A|^q,$$

where the norm $|\cdot|$ denotes the norm for \mathfrak{g} -valued differential forms. (7) implies (see e.g. [21]) that the norm $|F_A|$ is gauge invariant and thus the integrand in YM_q is gauge invariant for any $1 \leq q < \infty$.

2.2 Sobolev bundles and connections

Sobolev principal G bundles Now we define bundles where the transition functions are Sobolev maps, not necessarily smooth or continuous. See Appendix A for more on G -valued Sobolev maps. In analogy with the case of smooth bundles, we define

Definition 5 ($W^{k,p}$ principal G -bundles). We call P a $W^{k,p}$ principal G -bundle over M^n , denoted by $P \in \mathcal{P}_G^{k,p}(M^n)$, if $P = (\{U_\alpha\}_{\alpha \in I}, \{g_{\alpha\beta}\}_{\alpha, \beta \in I})$, where $g_{\alpha\beta} \in W^{k,p}(U_\alpha \cap U_\beta; G)$ for every $\alpha, \beta \in I$ with $U_\alpha \cap U_\beta \neq \emptyset$, and for every $\alpha, \beta, \gamma \in I$ such that $U_\alpha \cap U_\beta \cap U_\gamma \neq \emptyset$, the transition maps satisfy $g_{\alpha\alpha} = \mathbf{1}_G$ for every $\alpha \in I$ and the cocycle conditions

$$g_{\alpha\beta}(x)g_{\beta\gamma}(x) = g_{\alpha\gamma}(x) \quad \text{for a.e. } x \in U_\alpha \cap U_\beta \cap U_\gamma. \quad (8)$$

We also need the notion of Sobolev equivalence of Sobolev bundles, which is just another name for being gauge related by Sobolev gauge changes.

Definition 6 ($W^{k,p}$ equivalence). Two $W^{k,p}$ principal G -bundles P and \tilde{P} over the same base space M^n are $W^{k,p}$ equivalent, denoted by $P \stackrel{W^{k,p}}{\simeq} \tilde{P}$, if there exists a common refinement $\{W_j\}_{j \in J}$ of the covers $\{U_\alpha\}_{\alpha \in I}$ and $\{V_{\tilde{\alpha}}\}_{\tilde{\alpha} \in \tilde{I}}$ and maps $\sigma_j \in W^{k,p}(W_j; G)$ for each $j \in J$ such that

$$h_{\tilde{\phi}(i)\tilde{\phi}(j)} = \sigma_i^{-1} g_{\phi(i)\phi(j)} \sigma_j \quad \text{a.e. in } W_i \cap W_j, \quad (9)$$

for each pair $i, j \in J$ with $W_i \cap W_j \neq \emptyset$, where $\phi : J \rightarrow I$ and $\tilde{\phi} : J \rightarrow \tilde{I}$ are the respective refinement maps and $\{g_{\alpha\beta}\}_{\alpha, \beta \in I}$ and $\{h_{\tilde{\alpha}\tilde{\beta}}\}_{\tilde{\alpha}, \tilde{\beta} \in \tilde{I}}$ are the respective transition maps. We shall write $P \stackrel{W^{k,p}}{\simeq}_\sigma \tilde{P}$ to specify the equivalence map.

Smooth or C^0 equivalence is defined in analogous manner by requiring the maps σ_j to be smooth or C^0 respectively. It is easy to check that they are indeed equivalence relations in the corresponding category. If P is a C^0 -bundle, we denote its equivalence class under C^0 -equivalence by $[P]_{C^0}$.

Sobolev spaces of connections Now we define the Sobolev space $\mathcal{U}^{k,p}$ of connection form on a $W^{k,p}$ bundle $P \in \mathcal{P}_G^{k,p}(M^n)$.

Definition 7 (The $\mathcal{U}^{k,p}$ spaces of connections). We say the connection $A = \{A_\alpha\}_{\alpha \in I}$ is a $\mathcal{U}^{1,p}$ -connection on P if we have

$$A_\alpha \in L^p(U_\alpha; \Lambda^1 \mathbb{R}^n \otimes \mathfrak{g}) \quad \text{and} \quad dA_\alpha \in L^{\frac{p}{2}}(U_\alpha; \Lambda^2 \mathbb{R}^n \otimes \mathfrak{g}) \quad \text{for every } \alpha \in I.$$

$\mathcal{U}^{1,p}(P)$, the space of $\mathcal{U}^{1,p}$ -connection on P , is equipped with the norm

$$\|A_\alpha\|_{\mathcal{U}^{k,p}(U_\alpha; \Lambda^1 \mathbb{R}^n \otimes \mathfrak{g})} := \|A_\alpha\|_{L^p(U_\alpha; \Lambda^1 \mathbb{R}^n \otimes \mathfrak{g})} + \|dA_\alpha\|_{L^{\frac{p}{2}}(U_\alpha; \Lambda^2 \mathbb{R}^n \otimes \mathfrak{g})}.$$

We say the connection $A = \{A_\alpha\}_{\alpha \in I}$ is a $\mathcal{U}^{2,p}$ -connection on P if we have

$$A_\alpha \in W^{1, \frac{p}{2}}(U_\alpha; \Lambda^1 \mathbb{R}^n \otimes \mathfrak{g}) \quad \text{for every } \alpha \in I.$$

The $\mathcal{U}^{2,p}$ norm of A_α is simply its $W^{1, \frac{p}{2}}$ norm.

3 Strong Density in the critical dimension

3.1 Smooth Approximation in subcritical regime

We begin with the smooth approximation theorem for Sobolev bundles in the subcritical regime $kp > n$. The validity of the result is well known to experts, but a complete proof is difficult to find in the literature. For $kp > n$, $W^{k,p}$ bundles are C^0 bundles. Approximating C^0 bundles by C^∞ ones are classical and one can, in particular, use the heavy machinery of classifying spaces (cf. [5]). But since we need to keep control of the Sobolev norms, it is unclear whether such an approach can be used in the Sobolev setting. On the other hand, one can smooth continuous bundles ‘by hand’ (see e.g. [8], also [9], [15]) and this approach is more amenable to the modifications needed to work in the Sobolev setting. Our proof here follows this road and adapt the arguments in [8] (for the infinite dimensional case) to work in our finite dimensional but Sobolev setting. As far as we are aware, this proof is new. But since this somewhat digresses from the main goal of our article, it is relegated to the Appendix B.

Theorem 8 (Smooth approximation in subcritical regime). *Given any $P \in \mathcal{P}_G^{k,p}(M^n)$ with $kp > n$ and any $\varepsilon > 0$, there is a smooth principal G -bundle $P^\varepsilon \in \mathcal{P}_G^\infty(M^n)$ such that P^ε is ε -close to P in $W^{k,p}$ norm and $P^\varepsilon \stackrel{W^{k,p}}{\simeq} P$ hold. Moreover, the C^0 -equivalence maps can be chosen to lie in the ε -neighborhood of the identity element of G in C^0 norm on each bundle chart.*

*More precisely, if $P = \left(\{U_\alpha\}_{\alpha \in I}, \{g_{\alpha\beta}\}_{\alpha, \beta \in I} \right)$, then there exists a good refinement $\{V_j\}_{j \in J}$ of $\{U_\alpha\}_{\alpha \in I}$ such that there exists **continuous** maps $\sigma_j \in W^{k,p}(V_j; G)$ and **smooth** transition maps $h_{ij} \in C^\infty(V_i \cap V_j; G)$ for all $i, j \in J$, whenever the intersection is non-empty, satisfying*

$$(i) \quad h_{ij}h_{jk} = h_{ik} \quad \text{for a.e. } x \in V_{ijk} \text{ whenever } V_{ijk} \neq \emptyset,$$

$$(ii) \quad h_{ij} = \sigma_i^{-1} g_{\phi(i)\phi(j)} \sigma_j \quad \text{for a.e. } x \in V_{ij} \text{ whenever } V_{ij} \neq \emptyset.$$

$$(iii) \quad \left\| h_{ij} - g_{\phi(i)\phi(j)} \right\|_{W^{k,p}(V_{ij}; G)} \leq \varepsilon \text{ whenever } V_{ijk} \neq \emptyset, \text{ where } \phi : J \rightarrow I \text{ is the refinement map.}$$

$$(iv) \quad \left\| \sigma_j - \mathbf{1}_G \right\|_{L^\infty(V_j; G)}, \left\| d\sigma_j \right\|_{W^{k-1,p}(V_j; \Lambda^1 \mathbb{R}^n \otimes \mathfrak{g})} \leq \varepsilon \text{ for every } j \in J.$$

Remark 9. *Conclusion (i) simply expresses the fact that we indeed have a well-defined bundle structure on P^ε . Conclusion (ii) encodes the assertion that P^ε is equivalent to P . Conclusion (iii) is the precise meaning of P^ε being ε -close to P in $W^{k,p}$ norm. The estimate in conclusion (iv) is essentially equivalent to (iii), as was already essentially proved by Uhlenbeck in [22], Corollary 3.3.*

3.2 Coulomb gauges and elliptic estimates

Notation 10. $\mathfrak{M}(N)$ denote the space of $N \times N$ matrices and for $U \subset \mathbb{R}^n$ open and bounded, the notation $L^{(s,\theta)}(U; \mathbb{R}^N)$ for any $1 < s < \infty$ and any $1 \leq \theta <$

∞ will denote the Lorentz space of maps $\left\{ f : U \rightarrow \mathbb{R}^N : \|f\|_{L^{(s,\theta)}(U;\mathbb{R}^N)} < \infty \right\}$, which is a Banach space (see [17]) with a norm equivalent to the quasinorm

$$\|f\|_{L^{(s,\theta)}(U;\mathbb{R}^N)}^\theta := \int_0^\infty [t^s \text{meas}(\{x \in U : |f| > t\})]^\frac{\theta}{s} \frac{dt}{t}.$$

Now we start with the elliptic estimates. The following lemmas are crucial for what we shall be doing in the rest of the article. They will be used to prove regularity of bundles in which the connection is in the Coulomb gauge in the critical dimension. Continuity of Coulomb bundles is first observed by Taubes [19] for $n = 4$ and for any $n \geq 4$ by Rivière [11]. Here we show such bundles are $C^{0,\alpha}$ bundle for any $\alpha < 1$. However, L^p version of Lemma 12 has been used earlier in this context by Shevchishin in [13] to prove Hölder continuity of the Coulomb bundles. But these results do not seem to be widely known.

Lemma 11. *Let $\Omega \subset \mathbb{R}^n$ be a bounded, open and smooth subset and suppose $A \in L^n(\Omega; \Lambda^1 \mathbb{R}^n \otimes \mathfrak{M}(N))$. If $\alpha \in W_0^{1,2}(\Omega; \mathbb{R}^N)$ satisfies*

$$\Delta \alpha = A \cdot \nabla \alpha + F \quad \text{in } \Omega, \quad (10)$$

with $F \in L^q(\Omega; \mathbb{R}^N)$ for some $\frac{2n}{n+2} \leq q < n$, or respectively, $F \in L^{(s,\theta)}(\Omega; \mathbb{R}^N)$ for some $\frac{2n}{n+2} < s < n$ and $1 \leq \theta < \infty$, then there exists a small constant $\varepsilon_1 = \varepsilon_1(n, N, q, \Omega) > 0$, respectively, $\varepsilon_1 = \varepsilon_1(n, N, s, \theta, \Omega) > 0$, such that if

$$\|A\|_{L^n(\Omega; \Lambda^1 \mathbb{R}^n \otimes \mathfrak{M}(N))} \leq \varepsilon_1,$$

then $\alpha \in W^{2,q}(\Omega; \mathbb{R}^N)$, respectively $\alpha \in W^{2,(s,\theta)}(\Omega; \mathbb{R}^N)$, and there exists a constant $C_\Omega = C_\Omega(n, N, q, \Omega) \geq 1$, respectively $C_\Omega = C_\Omega(n, N, s, \theta, \Omega) \geq 1$, such that we have the estimate

$$\|\alpha\|_{W^{2,q}(\Omega; \mathbb{R}^N)} \leq C_\Omega \|F\|_{L^q(\Omega; \mathbb{R}^N)}, \quad (11)$$

respectively,

$$\|\alpha\|_{W^{2,(s,\theta)}(\Omega; \mathbb{R}^N)} \leq C_\Omega \|F\|_{L^{(s,\theta)}(\Omega; \mathbb{R}^N)}. \quad (12)$$

Furthermore, the smallness parameter ε_1 is scale invariant. More precisely, if $\Omega_r = \{rx : x \in \Omega\}$ is a rescaling of Ω , then Ω and Ω_r has the same $\varepsilon_{\Delta_{C_r}}$.

Proof. The proof is a fixed point argument coupled with uniqueness. We only prove the Lorentz case. With s and θ as in the lemma, for any $v \in W^{2,(s,\theta)} \cap W_0^{1,2}$, let $T(v) \in W_0^{1,2}$ be the solution of the equation $\Delta(T(v)) = A \cdot \nabla v + F$. Since by Peetre-Sobolev embedding (see [10], [18]), $W^{2,(s,\theta)} \hookrightarrow W^{1,(\frac{ns}{n-s},\theta)}$ and $L^n = L^{(n,n)}$, by Hölder inequality for Lorentz spaces the term $A \cdot \nabla v$ in the right hand side is $L^{(s, \frac{n\theta}{n+\theta})} \hookrightarrow L^{(s,\theta)}$ if $\theta > \frac{n}{n-1}$ or $L^{(s,1)} \hookrightarrow L^{(s,\theta)}$ otherwise. Since $f \in L^{(s,\theta)}$, by the usual L^p estimate for the Laplacian, which extends by interpolation to Lorentz spaces (see [17]), we conclude $\nabla^2 T(v) \in L^{(s,\theta)}$. Noting

that the $L^{(s,\theta)}$ norm of the Hessian is an equivalent norm on $W^{2,(s,\theta)} \cap W_0^{1,2}$, we deduce $T(v) \in W^{2,(s,\theta)}$ along with the estimate

$$\|T(v) - T(w)\|_{W^{2,(s,\theta)}} \leq C_q \|A\|_{L^n} \|v - w\|_{W^{2,(s,\theta)}}$$

for any $v, w \in W^{2,(s,\theta)}$. Then we can choose $\|A\|_{L^n}$ small enough such that T is a contraction and conclude the existence of an unique fixed point $v_0 \in W^{2,(s,\theta)}$ by Banach fixed point theorem. Since both v_0 and α are $W_0^{1,2}$ solutions of (10) and we have the estimate

$$\|\alpha - v_0\|_{W^{1,2}} \leq C \|\alpha - v_0\|_{W^{2,\frac{2n}{n+2}}} \leq C \|A\|_{L^n} \|\alpha - v_0\|_{W^{1,2}},$$

we can choose $\|A\|_{L^n}$ small enough such that $C \|A\|_{L^n} < 1$, which forces $\alpha = v_0$. Thus $\alpha \in W^{2,(s,\theta)}(\Omega; \mathbb{R}^N)$ and we get the estimate

$$\|\alpha\|_{W^{2,(s,\theta)}} \leq C_{s,\theta} (\|A\|_{L^n} \|\alpha\|_{W^{2,(s,\theta)}} + \|F\|_{L^{(s,\theta)}}).$$

But since $C_{s,\theta} \|A\|_{L^n} < 1$, setting $C_\Omega = \frac{C_{s,\theta}}{(1 - C_{s,\theta} \|A\|_{L^n})}$ proves the lemma except the claim about scaling. Now if α, A, F satisfies (10) in Ω_r , then the rescaled maps $\tilde{\alpha}(x) := \alpha(rx), \tilde{A}(x) := rA(rx), \tilde{F} := r^2F(rx)$ satisfies (10) in Ω . The scale invariance follows from the equality of L^n norms of \tilde{A} and A . \square

Lemma 12 (Elliptic estimate in critical setting). *Let $\Omega \subset \mathbb{R}^n$ be a bounded, open set and $A \in L^n(\Omega; \Lambda^1 \mathbb{R}^n \otimes \mathfrak{M}(N))$. Let $f \in L^p(\Omega; \mathbb{R}^N)$ for some $\frac{2n}{n+2} \leq p < n$, or respectively, $f \in L^{(q,\theta)}(\Omega; \mathbb{R}^N)$ for some $\frac{2n}{n+2} < q < n$ and $1 \leq \theta < \infty$. Then there exists a small constant $\varepsilon_{\Delta_{Cr}} = \varepsilon_{\Delta_{Cr}}(n, N, p, \Omega) > 0$, respectively $\varepsilon_{\Delta_{Cr}} = \varepsilon_{\Delta_{Cr}}(n, N, q, \theta, \Omega) > 0$, such that if*

$$\|A\|_{L^n(\Omega; \Lambda^1 \mathbb{R}^n \otimes \mathfrak{M}(N))} \leq \varepsilon_{\Delta_{Cr}},$$

then for any solution $u \in W^{1,2}(\Omega; \mathbb{R}^N)$ of

$$\Delta u = A \cdot \nabla u + f \quad \text{in } \Omega, \tag{13}$$

we have $u \in W_{loc}^{2,p}(\Omega; \mathbb{R}^N)$, respectively $u \in W_{loc}^{2,(q,\theta)}(\Omega; \mathbb{R}^N)$. Furthermore, for any compact set $K \subset\subset \Omega$, there exists a constant $C = C(n, N, p, \Omega, K) \geq 1$, respectively $C = C(n, N, q, \theta, \Omega, K) \geq 1$, such that we have the estimate

$$\|u\|_{W^{2,p}(K; \mathbb{R}^N)} \leq C \left(\|u\|_{W^{1,2}(\Omega; \mathbb{R}^N)} + \|f\|_{L^p(\Omega; \mathbb{R}^N)} \right), \tag{14}$$

respectively,

$$\|u\|_{W^{2,(q,\theta)}(K; \mathbb{R}^N)} \leq C \left(\|u\|_{W^{1,2}(\Omega; \mathbb{R}^N)} + \|f\|_{L^{(q,\theta)}(\Omega; \mathbb{R}^N)} \right). \tag{15}$$

Moreover, the smallness parameter $\varepsilon_{\Delta_{Cr}}$ is scale invariant. More precisely, if $\Omega_r = \{rx : x \in \Omega\}$ is a rescaling of Ω , then Ω and Ω_r has the same $\varepsilon_{\Delta_{Cr}}$.

Remark 13. *Later on, to satisfy the smallness condition on the L^n norm of A , we are going to shrink the balls. So the scale invariance conclusion is crucial.*

Proof. We localize the problem and bootstrap. We prove only the Lorentz case. To this end, we chose $m = 1$ if $q \leq \frac{2n}{n-2}$ and otherwise we chose the smallest integer $m \geq 2$ such that $\frac{n(q-2)}{2q} \leq m < \frac{n}{2}$ if $\theta \geq q$ or $\frac{n(q-2)}{2q} < m < \frac{n}{2}$ if $1 \leq \theta < q$. For any $K \subset\subset \Omega$, we choose open sets $\{\Omega_l\}_{1 \leq l \leq m}$ such that

$$K \subset\subset \Omega_m \subset\subset \dots \Omega_{l+1} \subset\subset \Omega_l \subset\subset \dots \Omega_1 \subset\subset \Omega.$$

Now we shall show that we can choose $\|A\|_{L^n}$ small enough such that for any solution $u \in W^{1,2}(\Omega; \mathbb{R}^N)$ of (13), we have $u \in W^{1, \frac{2n}{n-2m}}(\Omega_m; \mathbb{R}^N)$. We show only the case $m \geq 2$, the other case being easier. We prove this by induction over l . We assume that $u \in W^{1, \frac{2n}{n-2l}}(\Omega_l; \mathbb{R}^N)$ for some $1 \leq l \leq m-1$ and prove that we can choose $\|A\|_{L^n}$ small enough such that $u \in W^{1, \frac{2n}{n-2(l+1)}}(\Omega_{l+1}; \mathbb{R}^N)$. Let $\phi \in C_c^\infty(\Omega_l)$ be a smooth cut-off function such that $\phi \equiv 1$ in a neighborhood of Ω_{l+1} . Since u is a solution to (13), $\phi u \in W_0^{1,2}(\Omega_l; \mathbb{R}^N)$ solves

$$\Delta(\phi u) = A \cdot \nabla(\phi u) + \phi f + u(\Delta\phi - A \cdot \nabla\phi) + 2\nabla\phi \cdot \nabla u \quad \text{in } \Omega_l. \quad (16)$$

We set $\alpha = \phi u$ and $F = \phi f + u(\Delta\phi - A \cdot \nabla\phi) + 2\nabla\phi \cdot \nabla u$ and plan to use lemma 11. Note that by our choice of m , the integrability of F is determined by the least regular terms, which are in $L^{\frac{2n}{n-2l}}(\Omega_l; \mathbb{R}^N)$. Thus, using lemma 11 with $q = \frac{2n}{n-2l}$, we obtain $\phi u \in W^{2, \frac{2n}{n-2l}}(\Omega_l; \mathbb{R}^N)$ and thus by Sobolev embedding, $\phi u \in W^{1, \frac{2n}{n-2(l+1)}}(\Omega_l; \mathbb{R}^N)$. Since ϕ is identically 1 in a neighborhood of Ω_{l+1} , this proves the induction step. The same argument shows that $u \in W^{1, \frac{2n}{n-2}}(\Omega_1; \mathbb{R}^N)$, since $u \in W^{1,2}(\Omega; \mathbb{R}^N)$ and thus we can start the induction. This establishes that $u \in W^{1, \frac{2n}{n-2m}}(\Omega_m; \mathbb{R}^N)$ if $\|A\|_{L^n}$ is small enough.

Once again we choose a smooth cut-off function $\phi \in C_c^\infty(\Omega_m)$ such that $\phi \equiv 1$ in a neighborhood of K . Once again, ϕu satisfies (16) in Ω_m . But since $m > \frac{n(q-2)}{2q}$ if $1 \leq \theta < q$, this time the integrability of F is determined by the first term ϕf , which is $L^{(q,\theta)}(\Omega_m; \mathbb{R}^N)$. Thus applying Lemma 11 once again, we deduce that $\phi u \in W^{2, (q,\theta)}(\Omega_m; \mathbb{R}^N)$ and thus $u \in W^{2, (q,\theta)}(K; \mathbb{R}^N)$ if $\|A\|_{L^n}$ is small enough. We finally choose the smallness parameter to be the minimum of the smallness parameters in the finitely many steps. Combining the estimates in each step yields

$$\|u\|_{W^{2, (q,\theta)}(K; \mathbb{R}^N)} \leq C \left(\|u\|_{W^{1,2}(\Omega; \mathbb{R}^N)} + \|f\|_{L^{(q,\theta)}(\Omega; \mathbb{R}^N)} \right).$$

This concludes the proof. The scale invariance can be shown as before. \square

Lemma 14 (Coulomb gauges). *Let $r > 0$ be a real number, $x_0 \in \mathbb{R}^n$ and let $B_r(x_0) \subset \mathbb{R}^n$ be the ball of radius r around x_0 . Then there exist constants $\varepsilon_{Coulomb} = \varepsilon_{coulomb}(G, n) > 0$ and $C_{Coulomb} = C_{Coulomb}(G, n) \geq 1$ such that for any $A \in \mathcal{U}^{1,n}(B_r(x_0))$ with*

$$\|F_A\|_{L^{\frac{n}{2}}(B_r(0); \Lambda^2 T^* B_r(0) \otimes \mathfrak{g})} \leq \varepsilon_{Coulomb},$$

there exists $\rho \in W^{1,n}(B_r(x_0); G)$ such that

$$\begin{cases} d^* A^\rho = 0 & \text{in } B_r(x_0), \\ \iota_{\partial B_r(x_0)}^* (*A^\rho) = 0 & \text{on } \partial B_r(x_0) \end{cases}$$

and we have the estimates

$$\begin{aligned} \|\nabla A^\rho\|_{L^{\frac{n}{2}}(B_r(x_0); \mathbb{R}^{n \times n} \otimes \mathfrak{g})} + \|A^\rho\|_{L^n(B_r(x_0); \Lambda^1 \mathbb{R}^n \otimes \mathfrak{g})} \\ \leq C_{Coulomb} \|F_A\|_{L^{\frac{n}{2}}(B_r(x_0); \Lambda^2 \mathbb{R}^n \otimes \mathfrak{g})} \end{aligned}$$

and

$$\begin{aligned} \|d\rho\|_{L^n(B_r(x_0); \Lambda^1 \mathbb{R}^n \otimes \mathfrak{g})} \\ \leq C_G \left(C_{Coulomb} \|F_A\|_{L^{\frac{n}{2}}(B_r(x_0); \Lambda^2 \mathbb{R}^n \otimes \mathfrak{g})} + \|A\|_{L^n(B_r(x_0); \Lambda^1 \mathbb{R}^n \otimes \mathfrak{g})} \right), \end{aligned}$$

where $C_G \geq 1$ is an L^∞ bound for G .

Proof. The technique of the proof is by now completely standard and goes back to Uhlenbeck [22]. We stated the theorem for a ball of radius r to emphasize the scale invariance. Indeed, if $A : B_r(0) \rightarrow \Lambda^1 \mathbb{R}^n \otimes \mathfrak{g}$ is a connection, the rescaled connection $\tilde{A}(x) := rA(rx)$ is a connection on $B_1(0)$ with curvature $F_{\tilde{A}}(x) = r^2 F_A(rx)$ and one can easily check the identities

$$\|\tilde{A}\|_{L^n(B_1(0))} = \|A\|_{L^n(B_r(0))} \quad \text{and} \quad \|F_{\tilde{A}}\|_{L^{\frac{n}{2}}(B_1(0))} = \|F_A\|_{L^{\frac{n}{2}}(B_r(0))}.$$

The translation invariance is of course obvious. The last estimate for the gauges are usually not stated explicitly, but follows rather easily from the identity $d\rho = \rho A^\rho - A\rho$. \square

Remark 15. We stated the result for $\mathcal{U}^{1,n}$ connections and for Euclidean balls. If instead $A \in \mathcal{U}^{2, \frac{n}{2}}$, then similar arguments show that under the hypotheses of the theorem, there exists $\rho \in W^{k,p}(B_r(x_0); G)$ such that $d^* A^\rho = 0$ in $B_r(x_0)$, $\iota_{\partial B_r(x_0)}^* (*A^\rho) = 0$ on $\partial B_r(x_0)$ and $A^\rho \in \mathcal{U}^{2, \frac{n}{2}}$ and we have the estimates

$$\|\nabla A^\rho\|_{L^{\frac{n}{2}}} + \|A^\rho\|_{L^n} \leq C_{Coulomb} \|F_A\|_{L^{\frac{n}{2}}}$$

and

$$\|d\rho\|_{W^{1, \frac{n}{2}}} \leq C_G \left(C_{Coulomb} \|F_A\|_{L^{\frac{n}{2}}} + \|A\|_{W^{1, \frac{n}{2}}} \right).$$

Both results extend to small geodesic balls on closed Riemannian manifolds.

3.3 Regularity of Coulomb bundles

Now we prove that in the critical dimension, a bundle in which a Sobolev connection is in the Coulomb gauge is actually a Hölder continuous bundle.

Theorem 16 (Hölder continuity of Coulomb bundles). *Let $kp = n$. Let P be a $W^{k,p}$ principal G -bundle and $A \in \mathcal{U}^{k,p}(P)$ be connection on P which is Coulomb, then P is a $W^{2,q} \cap C^{0,\alpha}$ -bundle for any $\frac{n}{2} < q < n$ and $\alpha < 1$. More precisely, if $(P, A) = \left(\{U_\alpha\}_{\alpha \in I}, \{g_{\alpha\beta}\}_{\alpha, \beta \in I}, \{A_\alpha\}_{\alpha \in I} \right)$ such that $d^*A_\alpha = 0$ in U_α for every $\alpha \in I$, then there exists a good refinement $\{V_j\}_{j \in J}$ of $\{U_\alpha\}_{\alpha \in I}$ with $V_j \subset\subset U_{\phi(j)}$ for every $j \in J$, where $\phi : J \rightarrow I$ is the refinement map, such that we have $g_{\phi(i)\phi(j)} \in W^{2,q}(V_i \cap V_j; G)$ for any $\frac{n}{2} < q < n$ and thus also $C^{0,\alpha}(\overline{V_i \cap V_j}; G)$ for any $\alpha < 1$, for all $i, j \in J$, whenever $V_i \cap V_j \neq \emptyset$.*

Proof. We choose a good refinement $\{V_j\}_{j \in J}$ of $\{U_\alpha\}_{\alpha \in I}$ in such a way that there is an enlarged cover $\{V'_j\}_{j \in J}$ which is also a refinement of $\{U_\alpha\}_{\alpha \in I}$ with the same refinement map $\phi : J \rightarrow I$ and we have

$$\|A_j\|_{L^n(V'_j; \Lambda^1 \mathbb{R}^n \otimes \mathfrak{g})} < \frac{\varepsilon_{\Delta Cr}}{4} \quad (17)$$

for every $j \in J$, where $A_j := A_{\phi(j)}|_{V'_j}$, and we have

$$V_j \subset\subset V'_j \subset U_{\phi(j)} \quad \text{for every } j \in J, \quad \bigcup_{j \in J} V_j = \bigcup_{\alpha \in I} U_\alpha = M^n.$$

Now, setting $h_{ij} = g_{\phi(i)\phi(j)}$ for every $i, j \in J$ such that $V'_i \cap V'_j \neq \emptyset$, we have the gluing relations,

$$A_j = h_{ij}^{-1} dh_{ij} + h_{ij}^{-1} A_i h_{ij} \quad \text{for a.e } x \text{ in } V'_i \cap V'_j \text{ whenever } V'_i \cap V'_j \neq \emptyset. \quad (18)$$

Rewriting (18), we have,

$$dh_{ij} = h_{ij} A_j - A_i h_{ij} \quad \text{for a.e } x \text{ in } V'_{ij} \text{ whenever } V'_{ij} \neq \emptyset.$$

Also, since A is in Coulomb gauge, we have

$$d^*A_i = 0 = d^*A_j \quad \text{in } V'_{ij}.$$

This implies,

$$-\Delta h_{ij} = * [dh_{ij} \wedge (*A_j)] + * [(A_i) \wedge dh_{ij}] \quad \text{in } V'_{ij}. \quad (19)$$

This is of the same form as (13) with $f = 0$. Now, we have,

$$\|A_l\|_{L^n} \leq \frac{1}{4} \varepsilon_{\Delta Cr} \quad \text{for any } l \in J.$$

Thus, we can apply lemma 12 with $f = 0$ and deduce that $h_{ij} \in W_{loc}^{2,p}(V'_{ij}; G)$ and thus $h_{ij} \in W^{2,q}(V_{ij}; G)$, for any $\frac{n}{2} < q < n$. Sobolev embedding now proves the Hölder continuity of h_{ij} in V_{ij} . This proves the result. \square

3.4 Smooth approximation theorems: critical dimension

Theorem 17 (Smooth approximation of Sobolev bundles with Sobolev connection: critical case). *Let $kp = n$. Given any $P \in \mathcal{P}_G^{k,p}(M^n)$, $A \in \mathcal{U}^{k,p}(P)$ and any $\varepsilon > 0$, there is a smooth principal G -bundle $P^\varepsilon \in \mathcal{P}_G^\infty(M^n)$ with a smooth connection $A^\varepsilon \in \mathcal{A}^\infty(P^\varepsilon)$ such that P^ε is ε -close to P in the $W^{k,p}$ norm, $P^\varepsilon \stackrel{W^{k,p}}{\simeq_\rho} P$ and A^ε is ε -close to the pullback of A on P^ε in $\mathcal{U}^{k,p}$ norm.*

*More precisely, if $(P, A) = (\{U_\alpha\}_{\alpha \in I}, \{g_{\alpha\beta}\}_{\alpha, \beta \in I}, \{A_\alpha\}_{\alpha \in I})$, then there exists a refinement $\{V_j\}_{j \in J}$ such that there exists **smooth** Lie-algebra valued 1-forms $A_j^\varepsilon \in C^\infty(V_j; \Lambda^1 \mathbb{R}^n \otimes \mathfrak{g})$ and **smooth** transition maps $h_{ij} \in C^\infty(V_i \cap V_j; G)$ for all $i, j \in J$, whenever the intersection is non-empty, satisfying*

$$(i) \quad h_{ij}h_{jk} = h_{ik} \quad \text{for a.e. } x \in V_{ijk} \text{ whenever } V_{ijk} \neq \emptyset,$$

$$(ii) \quad A_j^\varepsilon = h_{ij}^{-1}dh_{ij} + h_{ij}^{-1}A_i^\varepsilon h_{ij} \quad \text{for all } i, j \in J \text{ with } V_{ij} \neq \emptyset,$$

$$(iii) \quad \|h_{ij} - g_{\phi(i)\phi(j)}\|_{W^{k,p}(V_{ij}; G)} \leq \varepsilon \text{ whenever } V_{ijk} \neq \emptyset,$$

(iv) *For each $j \in J$, there exists maps $\rho_j \in W^{k,p}(V_j; G)$ such that*

$$h_{ij} = \rho_i^{-1}g_{\phi(i)\phi(j)}\rho_j \quad \text{for a.e. } x \in V_{ij} \text{ whenever } V_{ij} \neq \emptyset.$$

$$(v) \quad \left\| A_j^\varepsilon - A_{\phi(j)}^{\rho_j} \right\|_{\mathcal{U}^{k,p}(V_j; \Lambda^1 \mathbb{R}^n \otimes \mathfrak{g})} \leq \varepsilon, \text{ for every } j \in J, \text{ where } \phi : J \rightarrow I \text{ is the refinement map.}$$

Remark 18. (i) *simply means that the cocycle data $(\{V_j\}_{j \in J}, \{h_{ij}\}_{i,j \in J})$ defines a smooth bundle $P^\varepsilon \in \mathcal{P}_G^\infty(M^n)$. Conclusion (ii) is the requirement that the local representatives A_j^ε actually defines a global connection form A^ε on the bundle P^ε . Conclusion (iii) and (iv), respectively, expresses the fact that P and P^ε are ε -close in the $W^{k,p}$ norm and are $W^{k,p}$ -equivalent, i.e $P^\varepsilon \stackrel{W^{k,p}}{\simeq_\rho} P$. Conclusion (v) means that on P^ε , the connection A^ε is ε -close in the $\mathcal{U}^{k,p}$ norm to the pullback connection $(\rho)^* A = A^\rho$, obtained by pulling back the connection A from P to P^ε , by the bundle equivalence map ρ .*

Remark 19. *One can also show the estimates*

$$\left\| A_j^\varepsilon - A_{\phi(j)} \right\|_{\mathcal{U}^{k,p}(V_j; \Lambda^1 \mathbb{R}^n \otimes \mathfrak{g})} \leq \varepsilon, \quad \text{for every } j \in J.$$

*But these local estimates are somewhat meaningless since the collection of local \mathfrak{g} -valued 1-forms $A_{\phi(j)} : V_j \rightarrow \Lambda^1 \mathbb{R}^n \otimes \mathfrak{g}$, $j \in J$, **does not** in general define a connection form on the bundle P^ε . In other words, they **are not** in general the local expressions for a global \mathfrak{g} -valued 1-form on M^n in the bundle co-ordinates of P^ε . The local representatives for the global form $A : M^n \rightarrow \Lambda^1 T^*M^n \otimes \mathfrak{g}$ in the bundle coordinates of P^ε are precisely the forms $A_{\phi(j)}^{\rho_j} : V_j \rightarrow \Lambda^1 \mathbb{R}^n \otimes \mathfrak{g}$, $j \in J$.*

Proof. We prove only the case $k = 1$. The case $k = 2$ adds no essential new difficulties. We fix a representation $P = \left(\{U_\alpha\}_{\alpha \in I}, \{g_{\alpha\beta}\}_{\alpha, \beta \in I} \right)$ of our $W^{1,n}$ principal G -bundle and also assume that the connection form $A \in \mathcal{U}^{1,n}(P)$ is given by the local representatives $\{A_\alpha\}_{\alpha \in I}$, i.e. we have

$$A_\alpha \in L^n(U_\alpha; \Lambda^1 \mathbb{R}^n \otimes \mathfrak{g}) \text{ and } dA_\alpha \in L^{\frac{n}{2}}(U_\alpha; \Lambda^2 \mathbb{R}^n \otimes \mathfrak{g}), \quad (20)$$

and the gluing relations

$$A_\beta = g_{\alpha\beta}^{-1} dg_{\alpha\beta} + g_{\alpha\beta}^{-1} A_\alpha g_{\alpha\beta} \quad \text{a.e. in } U_\alpha \cap U_\beta \quad (21)$$

holds whenever $U_\alpha \cap U_\beta \neq \emptyset$. We divide the proof into several steps.

Step 1: Putting the connection in local Coulomb gauges We choose a refinement $\{V_j\}_{j \in J}$ by small geodesic balls with the refinement map ϕ such that for every $j \in J$, we have

$$\|F_{A_j}\|_{L^{\frac{n}{2}}(V_j; \Lambda^2 \mathbb{R}^n \otimes \mathfrak{g})} < \min \left\{ \frac{\varepsilon_{Coulomb}}{16}, \frac{\varepsilon_{\Delta_{Cr}}}{64C_{Coulomb}}, \frac{\varepsilon}{64C_{Coulomb}C_G^6} \right\}, \quad (22)$$

$$\|A_j\|_{L^n(V_j; \Lambda^1 \mathbb{R}^n \otimes \mathfrak{g})} < \frac{\varepsilon}{64C_G^6}, \quad (23)$$

where $A_j := A_{\phi(j)}|_{V'_j}$, and for every $i, j \in J$ with $V_{ij} \neq \emptyset$,

$$\|dg_{\phi(i)\phi(j)}\|_{L^n(V_{ij}; \Lambda^1 \mathbb{R}^n \otimes \mathfrak{g})}, \|g_{\phi(i)\phi(j)}\|_{L^n(V_{ij}; G)} < \frac{\varepsilon}{64C_G^6}. \quad (24)$$

By lemma 14, applied to the small geodesic balls V_j , for each $j \in J$, there exist maps $\rho_j : V_j \rightarrow G$ such that $d^*A_j^{\rho_j} = 0$ in V_j , $\iota_{\partial V_j}^* (*A_j^{\rho_j}) = 0$ on ∂V_j , and we have the estimates (all norms on V_j),

$$\|\nabla A_j^{\rho_j}\|_{L^{\frac{n}{2}}} + \|A_j^{\rho_j}\|_{L^n} \leq C_{Coulomb} \|F_{A_j}\|_{L^{\frac{n}{2}}}, \quad (25)$$

$$\|d\rho_j\|_{L^n} \leq C_G (C_{Coulomb} \|F_{A_j}\|_{L^{\frac{n}{2}}} + \|A_j\|_{L^n}). \quad (26)$$

Step 2: Gluing local Coulomb gauges Now we wish to show that the data $\left(\{V_j\}_{j \in J}, \{h_{ij}\}_{i, j \in J} \right) := P_{ACoulomb}^\varepsilon$ defines a $W^{2,q}$ bundle for some $\frac{n}{2} < q < n$, which is ε -close to P in the $W^{1,n}$ norm and on which the pullback of the original connection A defines a $W^{1, \frac{n}{2}}$ connection in the Coulomb gauge, where

$$h_{ij} := \rho_i^{-1} g_{\phi(i)\phi(j)} \rho_j : V'_{ij} \rightarrow G \quad \text{for every } i, j \in J \text{ with } V'_{ij} \neq \emptyset. \quad (27)$$

It is easy to check that h_{ij} are $W^{1,n}$ cocycles, proving $P_{ACoulomb}^\varepsilon \in \mathcal{P}_G^{1,n}(M^n)$. Clearly, A^ρ is a $W^{1, \frac{n}{2}}$ connection on $P_{ACoulomb}^\varepsilon$ which is Coulomb. Thus $W^{2,q}$ regularity of $P_{ACoulomb}^\varepsilon$ follows by Theorem 16. Simple computation yields

$$dh_{ij} - dg_{\phi(i)\phi(j)} = d\rho_i^{-1} g_{\phi(i)\phi(j)} \rho_j + \rho_i^{-1} g_{\phi(i)\phi(j)} d\rho_j + (\rho_i^{-1} - \mathbf{1}_G) dg_{\phi(i)\phi(j)} \rho_j + dg_{\phi(i)\phi(j)} (\rho_j - \mathbf{1}_G)$$

Hence, using (26) and our choice in (22) and (23) and (24),

$$\|dh_{ij} - dg_{\phi(i)\phi(j)}\|_{L^n} \leq C_G^2 (C_G^2 \|d\rho_i\|_{L^n} + \|d\rho_j\|_{L^n} + 4 \|dg_{\phi(i)\phi(j)}\|_{L^n}) \leq \frac{\varepsilon}{4}.$$

We also have, by (24),

$$\|h_{ij} - g_{\phi(i)\phi(j)}\|_{L^n} = \|(\rho_i^{-1} - \mathbf{1}_G) g_{\phi(i)\phi(j)} \rho_j + g_{\phi(i)\phi(j)} (\rho_j - \mathbf{1}_G)\|_{L^n} \leq \frac{\varepsilon}{4}.$$

Combing, we obtain the estimate

$$\|h_{ij} - g_{\phi(i)\phi(j)}\|_{W^{1,n}(V_{ij};G)} \leq \frac{\varepsilon}{2} \quad \text{whenever } V_{ij} \neq \emptyset. \quad (28)$$

Note that (28) together with (24) implies

$$\|dh_{ij}\|_{L^n(V_{ij};\Lambda^1\mathbb{R}^n \otimes \mathfrak{g})} \leq \varepsilon \quad \text{for every } i, j \in J \text{ with } V_{ij} \neq \emptyset. \quad (29)$$

Step 3: Approximation of connection We pick an exponent $\frac{n}{2} < q < n$. By step 2, we can assume, without loss of generality, that we started with a $W^{1,\frac{n}{2}}$ connection A in the Coulomb gauge on a $W^{2,q} \cap C^0$ -bundle $P = (\{U_\alpha\}_{\alpha \in I}, \{g_{\alpha\beta}\}_{\alpha,\beta \in I})$ and in view of (22), (25) and (29), we have,

$$\|\nabla A_\alpha\|_{L^{\frac{n}{2}}(U_\alpha;\mathbb{R}^n \times \mathfrak{g})} + \|A_\alpha\|_{L^n(U_\alpha;\Lambda^1\mathbb{R}^n \otimes \mathfrak{g})} \leq \frac{\varepsilon}{64C_G^6} \quad \text{for every } \alpha \in I, \quad (30)$$

$$\|dg_{\alpha\beta}\|_{L^n(U_{\alpha\beta};\Lambda^1\mathbb{R}^n \otimes \mathfrak{g})} \leq \varepsilon \quad \text{for every } \alpha, \beta \in I \text{ with } U_{\alpha\beta} \neq \emptyset. \quad (31)$$

By Theorem 8, there exists a smooth bundle $P^\varepsilon = (\{V_j\}_{j \in J}, \{g_{ij}^\varepsilon\}_{i,j \in J})$ which is $W^{2,q}$ equivalent to and ε -close to P in $W^{2,q}$ norm. More precisely, there exists a refinement $\{V_j\}_{j \in J}$ of $\{U_\alpha\}_{\alpha \in I}$, with refinement map $\phi : J \rightarrow I$, such that there exists **continuous** maps $\sigma_j \in W^{2,q}(V_j;G)$ and **smooth** transition maps $g_{ij}^\varepsilon \in C^\infty(V_i \cap V_j;G)$ for all $i, j \in J$, whenever the intersection is non-empty, satisfying

- (i) $g_{ij}^\varepsilon g_{jk}^\varepsilon = g_{ik}^\varepsilon$ for a.e. $x \in V_{ijk}$ whenever $V_{ijk} \neq \emptyset$,
- (ii) $g_{ij}^\varepsilon = \sigma_i^{-1} g_{\phi(i)\phi(j)} \sigma_j$ for a.e. $x \in V_{ij}$ whenever $V_{ij} \neq \emptyset$.
- (iii) $\|g_{ij}^\varepsilon - g_{\phi(i)\phi(j)}\|_{W^{2,q}(V_{ij};G)} \leq \frac{\varepsilon}{64C_G^6}$ whenever $V_{ij} \neq \emptyset$,
- (iv) and, for every $j \in J$, the estimates

$$\|\sigma_j - \mathbf{1}_G\|_{L^\infty(V_j;G)} \leq \frac{\varepsilon}{64C_G^6} \quad \text{and} \quad \|d\sigma_j\|_{W^{1,q}(V_j;\Lambda^1\mathbb{R}^n \otimes \mathfrak{g})} \leq \frac{\varepsilon}{64C_G^6}. \quad (32)$$

We note that (iv) and (31) together implies the estimate

$$\|dg_{ij}^\varepsilon\|_{L^n(V_{ij}; \Lambda^1 \mathbb{R}^n \otimes \mathfrak{g})} \leq \varepsilon \quad \text{for every } i, j \in J \text{ with } V_{ij} \neq \emptyset. \quad (33)$$

Observe that the pullback of A on P^ε is a $W^{1, \frac{n}{2}}$ connection on P^ε . Indeed, denoting the local representatives of the pullback by

$$\tilde{A}_j := A_j^{\sigma_j} = \sigma_j^{-1} d\sigma_j + \sigma_j^{-1} A_{\phi(j)} \sigma_j \quad \text{in } V_j \quad \text{for each } j \in J, \quad (34)$$

we infer \tilde{A}_j is $W^{1, \frac{n}{2}}$, since $A_{\phi(j)}$ is $W^{1, \frac{n}{2}}$ and σ_j is $W^{2, q}$ for some $q > \frac{n}{2}$. Next we show that there is a smooth connection form $B = \{B_j\}_{j \in J}$ on P^ε which is ε -close to the pullback of our original connection A on P^ε in the $\mathcal{U}^{1, n}$ norm. Note that approximating \tilde{A}_j by smooth forms is easy, but the real point, similar in spirit to Remark 19, is to ensure that the approximating forms B_j satisfy the gluing relations

$$B_j = (g_{ij}^\varepsilon)^{-1} dg_{ij}^\varepsilon + (g_{ij}^\varepsilon)^{-1} B_i g_{ij}^\varepsilon \quad \text{in } V_{ij} \text{ whenever } V_{ij} \neq \emptyset. \quad (35)$$

We divide the proof into two substeps.

Step 3a: Construction of approximating forms We choose a partition of unity $\{\psi_j\}_{j \in J}$ subordinate to the cover $\{V_j\}_{j \in J}$ such that we have the bounds

$$\|d\psi_l\|_{L^\infty(V_{lj})} \leq \frac{C_{part}}{\text{meas}(V_{lj})^{\frac{1}{n}}} \quad \text{for every } j, l \in J \text{ with } V_{lj} \neq \emptyset. \quad (36)$$

where $C_{part} \geq 1$ is a fixed constant. By density, we can find, for each $j \in J$, $\tilde{A}_j^\varepsilon \in C^\infty(V_j; \Lambda^1 \mathbb{R}^n \otimes \mathfrak{g})$ such that

$$\|\tilde{A}_j^\varepsilon - \tilde{A}_j\|_{W^{1, \frac{n}{2}}(V_j; \Lambda^1 \mathbb{R}^n \otimes \mathfrak{g})} \leq \frac{\varepsilon}{64 (C_{part} N_J)^2 C_G^6}, \quad (37)$$

where $N_J = \#J$ denote the cardinality of the finite index set J . We define

$$B_j := \sum_{\substack{l \in J \\ V_{jl} \neq \emptyset}} \psi_l \left[(g_{lj}^\varepsilon)^{-1} dg_{lj}^\varepsilon + (g_{lj}^\varepsilon)^{-1} \tilde{A}_l^\varepsilon g_{lj}^\varepsilon \right]. \quad (38)$$

Note that the possibility $j = l$ is not excluded. Clearly, B_j is smooth for each $j \in J$. By a straight forward computation using the identity $dg_{lj}^\varepsilon - dg_{li}^\varepsilon g_{ij}^\varepsilon = g_{li}^\varepsilon dg_{ij}^\varepsilon$, obtained by differentiating the cocycle condition $g_{lj}^\varepsilon = g_{li}^\varepsilon g_{ij}^\varepsilon$, we deduce

$$g_{ij}^\varepsilon B_j - B_i g_{ij}^\varepsilon = (\psi_i + \psi_j) dg_{ij}^\varepsilon + \sum_{\substack{l \in J, \\ l \neq i, j, \\ V_{ijl} \neq \emptyset}} \psi_l dg_{ij}^\varepsilon.$$

Since $\{\psi_j\}_j$ is a partition of unity, this proves (35).

Step 3b: Approximation bounds It only remains to estimate $\|dB_j - d\tilde{A}_j\|_{L^{\frac{n}{2}}}$ and $\|B_j - \tilde{A}_j\|_{L^n}$. Observe that since \tilde{A} is a connection on the bundle P^ε , from the gluing relations and by properties of a partition of unity, we can write

$$\tilde{A}_j = \sum_{\substack{l \in J \\ V_{jl} \neq \emptyset}} \psi_l \left(\tilde{A}_j|_{V_{jl}} \right) = \sum_{\substack{l \in J \\ V_{jl} \neq \emptyset}} \psi_l \left[(g_{lj}^\varepsilon)^{-1} dg_{lj}^\varepsilon + (g_{lj}^\varepsilon)^{-1} \tilde{A}_l g_{lj}^\varepsilon \right]. \quad (39)$$

Subtracting (39) from (38), we can estimate $\|B_j - \tilde{A}_j\|_{L^n}$. Next, we compute

$$\begin{aligned} dB_j - d\tilde{A}_j &= \sum_{\substack{l \in J \\ V_{jl} \neq \emptyset}} \left[d\psi_l \wedge (g_{lj}^\varepsilon)^{-1} \left(\tilde{A}_l^\varepsilon - \tilde{A}_l \right) g_{lj}^\varepsilon + \psi_l d \left((g_{lj}^\varepsilon)^{-1} \tilde{A}_l g_{lj}^\varepsilon - (g_{lj}^\varepsilon)^{-1} \tilde{A}_l g_{lj}^\varepsilon \right) \right]. \end{aligned}$$

The second term is easy to estimate. For the first, we have, for fixed $l, j \in J$,

$$\begin{aligned} \left\| d\psi_l \wedge (g_{lj}^\varepsilon)^{-1} \left(\tilde{A}_l^\varepsilon - \tilde{A}_l \right) g_{lj}^\varepsilon \right\|_{L^{\frac{n}{2}}(V_{ij})} &\stackrel{(36)}{\leq} \frac{C_{part}}{\text{meas}(V_{lj})^{\frac{1}{n}}} \cdot C_G^2 \left\| \tilde{A}_l^\varepsilon - \tilde{A}_l \right\|_{L^{\frac{n}{2}}(V_{lj})} \\ &\stackrel{\text{H\"older}}{\leq} C_{part} C_G^2 \left\| \tilde{A}_l^\varepsilon - \tilde{A}_l \right\|_{L^n(V_{lj})} \stackrel{(37)}{\leq} \frac{\varepsilon}{64N_j^2}. \end{aligned}$$

Summing over $l \in J$ with $V_{jl} \neq \emptyset$ and setting $B = A^\varepsilon$, the proof is complete. \square

The following result is a consequence, which immediately implies Theorem 1.

Theorem 20 (Smooth approximation of Sobolev bundles: critical case). *Let $kp = n$. Given any $P \in \mathcal{P}_G^{k,p}(M^n)$ and any $0 < \varepsilon < 1$, there is a smooth principal G -bundle $P^\varepsilon \in \mathcal{P}_G^\infty(M^n)$ which is ε -close to P in the $W^{k,p}$ norm such that $P^\varepsilon \stackrel{W^{k,p}}{\simeq} P$. More precisely, if $P = \left(\{U_\alpha\}_{\alpha \in I}, \{g_{\alpha\beta}\}_{\alpha, \beta \in I} \right)$, then there exists a good refinement $\{V_j\}_{j \in J}$ of $\{U_\alpha\}_{\alpha \in I}$ such that there exists **smooth** transition maps $h_{ij} \in C^\infty(V_i \cap V_j; G)$ for all $i, j \in J$, whenever the intersection is non-empty, satisfying*

- (i) $h_{ij}h_{jk} = h_{ik}$ for a.e. $x \in V_{ijk}$ whenever $V_{ijk} \neq \emptyset$,
- (ii) $\|h_{ij} - g_{\phi(i)\phi(j)}\|_{W^{k,p}(V_{ij}; G)} \leq \varepsilon$ whenever $V_{ijk} \neq \emptyset$, where $\phi: J \rightarrow I$ is the refinement map.
- (iii) For each $j \in J$, there exists maps $\rho_j \in W^{k,p}(V_j; G)$ such that

$$h_{ij} = \rho_i g_{\phi(i)\phi(j)} \rho_j^{-1} \quad \text{for a.e. } x \in V_{ij} \text{ whenever } V_{ij} \neq \emptyset.$$

Proof. Once again we shall prove the case $k = 1$. The result will be an immediate corollary of theorem 17 as soon as we show the following claim.

Claim 21. *Every $W^{1,n}$ bundle admits a $U^{1,n}$ connection.*

Pick a partition of unity $\{\psi_\alpha\}_\alpha$ subordinate to the cover $\{U_\alpha\}_{\alpha \in I}$ and define

$$A_\alpha := \sum_{\substack{\beta \in I, \beta \neq \alpha, \\ U_\alpha \cap U_\beta \neq \emptyset}} \psi_\beta g_{\beta\alpha}^{-1} dg_{\beta\alpha} \quad \text{for each } \alpha \in I. \quad (40)$$

Now clearly $A_\alpha \in L^n$ and we also have $dA_\alpha \in L^{\frac{n}{2}}$, since

$$\left\| d \left(g_{\beta\alpha}^{-1} dg_{\beta\alpha} \right) \right\|_{L^{\frac{n}{2}}} = \left\| -g_{\beta\alpha}^{-1} dg_{\beta\alpha} \wedge g_{\beta\alpha}^{-1} dg_{\beta\alpha} \right\|_{L^{\frac{n}{2}}} \stackrel{\text{H\"older}}{\leq} C_G^2 \|dg_{\beta\alpha}\|_{L^n}^2.$$

By a straight forward computation, we can verify the gluing condition

$$A_\beta = g_{\alpha\beta}^{-1} dg_{\alpha\beta} + g_{\alpha\beta}^{-1} A_\alpha g_{\alpha\beta} \quad \text{a.e. in } U_\alpha \cap U_\beta$$

holds for every $\alpha, \beta \in I$ whenever $U_\alpha \cap U_\beta \neq \emptyset$. This completes the proof. \square

Remark 22. *Note that the smooth bundles P^ε , given by Theorem 17 also satisfies the conclusions of Theorem 20 for any given $U^{k,p}$ connection on P . Indeed, we are deducing Theorem 20 from Theorem 17 by showing the existence of one such connection. It is not hard to show that given a fixed connection $A \in U^{k,p}(P)$, the topological isomorphism class of the smooth bundles P^ε , constructed in Theorem 17 would be independent of ε for $\varepsilon > 0$ small enough (see section 4). So, given a pair (P, A) , the approximating smooth bundles can be chosen to have a fixed topological isomorphism class. However, if $A, B \in U^{k,p}(P)$ are two different $U^{k,p}$ connection on P , there is no reason for the approximating smooth bundles given by Theorem 17 for the pair (P, A) and (P, B) to be C^0 -equivalent. In general, they are not. In particular, this implies that given a bundle $P \in \mathcal{P}_G^{k,p}(M^n)$, it might be possible to construct two different sequence of smooth bundles $\{P_1^\varepsilon\}_{\varepsilon>0}$ and $\{P_2^\varepsilon\}_{\varepsilon>0}$, both of which approximates P in the sense of Theorem 20, but $[P_1^\varepsilon]_0 \neq [P_2^\varepsilon]_0$, for every $\varepsilon > 0$.*

3.5 Circle bundles in arbitrary dimension

The results improve substantially if the G is Abelian, since in this case finding a Coulomb gauge is much easier.

Theorem 23. *Given any $P \in \mathcal{P}_{S^1}^{k,p}(M^n)$ $A \in U^{k,p}(P)$ and any $\varepsilon > 0$, there is a smooth principal S^1 -bundle $P^\varepsilon \in \mathcal{P}_{S^1}^\infty(M^n)$ with a smooth connection $A^\varepsilon \in \mathcal{A}^\infty(P^\varepsilon)$ such that P^ε is ε -close to P in the $W^{k,p}$ norm, A^ε is ε close to A in $U^{k,p}$ norm and $P^\varepsilon \stackrel{W^{k,p}}{\simeq} P$. More precisely, if $(P, A) = \left(\{U_\alpha\}_{\alpha \in I}, \{g_{\alpha\beta}\}_{\alpha, \beta \in I}, \{A_\alpha\}_{\alpha \in I} \right)$, then there exists a good refinement $\{V_j\}_{j \in J}$ such that there exists **smooth** $i\mathbb{R}$ -valued 1-forms $B_j \in C^\infty(V_j; \Lambda^1 \mathbb{R}^n \otimes i\mathbb{R})$ and **smooth** transition maps $h_{ij} \in C^\infty(V_i \cap V_j; S^1)$ for all $i, j \in J$, whenever the intersection is non-empty, satisfying*

- (i) $h_{ij}h_{jk} = h_{ik}$ for a.e. $x \in V_{ijk}$ whenever $V_{ijk} \neq \emptyset$,
- (ii) $B_j = h_{ij}^{-1}dh_{ij} + h_{ij}^{-1}B_ih_{ij}$ for all $i, j \in J$ with $V_{ij} \neq \emptyset$,
- (iii) $\|h_{ij} - g_{\phi(i)\phi(j)}\|_{W^{k,p}(V_{ij};S^1)} \leq \varepsilon$ whenever $V_{ijk} \neq \emptyset$, where $\phi : J \rightarrow I$ is the refinement map.
- (iv) For each $j \in J$, there exists maps $\rho_j \in W^{k,p}(V_j;S^1)$ such that

$$h_{ij} = \rho_i^{-1}g_{\phi(i)\phi(j)}\rho_j \quad \text{for a.e. } x \in V_{ij} \text{ whenever } V_{ij} \neq \emptyset.$$

$$(v) \left\| B_j - A_{\phi(j)}^{\rho_j} \right\|_{\mathcal{U}^{k,p}(V_j; \Lambda^1 \mathbb{R}^n \otimes i\mathbb{R})} \leq \varepsilon, \text{ for every } j \in J.$$

Proof. We follow the same approach as in the proof of theorem 17. The effectiveness of the approach via local Coulomb gauges makes the proof of this result quite easy. Firstly, since S^1 is Abelian, local Coulomb gauges always exist without the need for any smallness condition on the norm of the curvature. We choose a good refinement $\{V_j\}_{j \in J}$ of $\{U_\alpha\}_{\alpha \in I}$ in such a way that there is an enlarged cover $\{V'_j\}_{j \in J}$ which is also a refinement of $\{U_\alpha\}_{\alpha \in I}$ with the same refinement map $\phi : J \rightarrow I$. More precisely, this means we have

$$V_j \subset \subset V'_j \subset U_{\phi(j)} \quad \text{for every } j \in J, \quad \bigcup_{j \in J} V_j = \bigcup_{\alpha \in I} U_\alpha = M^n.$$

Now existence of local Coulomb gauges ρ_j on V'_j boils down to finding a real-valued function $\psi_j \in W^{1,p}(V'_j)$ solving the following inhomogeneous Neumann problem for the Laplacian

$$\begin{cases} \Delta \psi_j = -id^*A_{\phi(j)} & \text{in } V'_j, \\ \frac{\partial \psi_j}{\partial \nu} = -i\iota_{\partial V'_j}^*(A_{\phi(j)}) & \text{on } \partial V'_j. \end{cases}$$

Clearly, if ψ_j solves the Neumann problem above, then $\rho_j = e^{id\psi_j}$ is the desired Coulomb gauge. But since $d^*A_{\phi(j)} = *[d(*A_{\phi(j)})]$, Stokes theorem implies the compatibility condition

$$-\int_{\partial V'_j} \iota_{\partial V'_j}^*(A_{\phi(j)}) = -\int_{V'_j} d^*A_{\phi(j)}.$$

Thus existence and estimates follow from standard elliptic theory. Gluing the local Coulomb gauges can be done exactly as before and thus we can construct gauges $h_{ij} = \rho_i^{-1}g_{\phi(i)\phi(j)}\rho_j$ such that $(\{V_j\}_{j \in J}, \{h_{ij}\}_{i,j \in J})$ is a principal S^1 -bundle of class $W^{1,p}$ over M^n and the gluing relations for the connection are satisfied in V'_{ij} . But since S^1 is Abelian, the gluing relations are

$$h_{ij}^{-1}dh_{ij} = A_j^{\rho_j} - A_i^{\rho_i} \quad \text{in } V'_{ij} \text{ whenever } V'_{ij} \neq \emptyset. \quad (41)$$

But $h_{ij} : V'_{ij} \rightarrow S^1$ is a $W^{1,p}$ map and thus, by results in [1] (also [2]), there exists a ‘lift’ $\eta_{ij} \in W^{1,p}(V'_{ij})$ such that $h_{ij} = e^{i\eta_{ij}}$. Substituting in (41), we obtain

$$d\eta_{ij} = i(A_j^{\rho_j} - A_i^{\rho_i}) \quad \text{in } V'_{ij} \text{ whenever } V'_{ij} \neq \emptyset.$$

Using the fact that both $d^*A_j^{\rho_j}$ and $d^*A_i^{\rho_i}$ are zero, we deduce

$$\Delta\eta_{ij} = d^*d\eta_{ij} = 0 \quad \text{in } V'_{ij} \text{ whenever } V'_{ij} \neq \emptyset.$$

Thus, η_{ij} is harmonic in V'_{ij} . By standard interior regularity for harmonic functions, η_{ij} is actually **smooth** in V_{ij} and consequently, so is h_{ij} . Thus, we have smoothed the bundle in one step. Now we can follow step 3 of the proof of theorem 17 and approximate the connection by smooth ones. The only difference is that the corresponding estimates are far simpler due to the fact that S^1 is Abelian. This completes the proof. \square

4 Topology of bundles in the critical dimension

4.1 Coulomb bundles and gauge transformations

We have already shown in Theorem 16 that Coulomb bundles are C^0 bundles. Now we show their C^0 -equivalence class is stable under $W^{k,p}$ gauge transformations for $kp = n$.

Proposition 24. *Let $kp = n$. Let $P^i \in \mathcal{P}_G^{k,p}(M^n)$ and $A_i \in \mathcal{U}^{k,p}(P^i)$ such that A^i is Coulomb on P^i , for $i = 1, 2$, and $(P^1, A^1) \stackrel{W^{k,p}}{\simeq}_\sigma (P^2, A^2)$. Then P_1 and P_2 are C^0 -equivalent.*

Proof. The proof is very similar to how we proved the continuity of Coulomb bundles, so we provide only a brief sketch. Since the connections are gauge related, we have

$$d\sigma_i = \sigma A_i^2 - A_i^1 \sigma \quad \text{in } U_i,$$

for every $i \in I$. Since A^1, A^2 are both Coulomb, we have,

$$-\Delta\sigma_i = * [d\sigma_i \wedge (*A_i^2)] + * [(A_i^1) \wedge d\sigma_i] \quad \text{in } U_i,$$

for every $i \in I$. But once again this is exactly of the form of eq (13) with $f = 0$. Thus, by passing to a refinement of the cover such that L^n norms of A_i^1 and A_i^2 are suitably small, using lemma 12 we deduce the continuity of σ_i in the interior. The proof is concluded by slightly shrinking the domains. \square

From this we deduce the uniqueness of Coulomb bundles for a connection.

Proposition 25 (Uniqueness of Coulomb bundles). *Let $kp = n$. Given a pair (P, A) , where $P \in \mathcal{P}_G^{k,p}(M^n)$ and $A \in \mathcal{U}^{k,p}(P)$ there exists a C^0 -bundle $P_{A_{\text{coulomb}}}$, unique up to C^0 -equivalence, such that $P_{A_{\text{coulomb}}} \stackrel{W^{k,p}}{\simeq}_\sigma P$ and σ^*A is a $W^{1, \frac{n}{2}}$ connection on $P_{A_{\text{coulomb}}}^\varepsilon$ which is Coulomb.*

Proof. Given any $\varepsilon > 0$, the bundle $P_{A_{\text{Coulomb}}}^\varepsilon \in \mathcal{P}^0(M^n)$ constructed in step 1 and 2 of the proof of theorem 17 is one such bundle, so we only have to prove the uniqueness claim. But if P_1, P_2 be any two such bundles, then they are gauge-related to P by $W^{k,p}$ gauges σ_1, σ_2 respectively. Then the pairs $(P_1, \sigma_1^* A)$, and $(P_2, \sigma_2^* A)$ are themselves gauge related by $\sigma_1^{-1} \circ \sigma_2$. Thus Proposition 24 concludes the proof. \square

4.2 Definition of the topology

Definition 26. (*Topology for bundles with connection*) Let $kp = n$. Then to each pair (P, A) , where $P \in \mathcal{P}_G^{k,p}(M^n)$ and $A \in \mathcal{U}^{k,p}(P)$, we can associate a topological isomorphism class, denoted by $[P_A]_{W^{k,p}}$ by

$$[P_A]_{W^{k,p}} := [P_{A_{\text{Coulomb}}}]_{C^0}.$$

Remark 27. Note that the topological isomorphism class is associated to a pair (P, A) and not to the bundle alone. However, the $\mathcal{U}^{1,n}$ connection we constructed in the proof of Theorem 20 is in some sense ‘canonical’, i.e. it is constructed out of a partition of unity for the cover and the bundle transition maps only. So one can chose to always use this connection and thereby associate a topological isomorphism class to the bundle P alone. This is what seems to be what Shevchishin has done implicitly in [13]. However, in our opinion, such an assignment is undesirable for two reasons. Firstly, it once again decouples the topological information of the bundle from the connection and thus defeats the purpose of tying the topology of the bundles to the analysis of connections and curvatures under Yang-Mills energy. The second reason is that from the point of view of geometry, philosophically there is no canonical choice for a connection on a principal bundle.

The topological isomorphism class we defined is stable under $W^{k,p}$ -gauge transformations. Since by the transitivity of $W^{k,p}$ gauge relations, the associated Coulomb bundles are gauge related, this follows from Proposition 24.

Proposition 28 (Stability of topology under gauge transformation). *if $kp = n$, $P^i \in \mathcal{P}_G^{k,p}(M^n)$ and $A^i \in \mathcal{U}^{k,p}(P^i)$ for $i = 1, 2$, and $(P^1, A^1) \stackrel{W^{k,p}}{\simeq} (P^2, A^2)$. then $[P_{A^1}^1]_{W^{k,p}} = [P_{A^2}^2]_{W^{k,p}}$.*

4.3 Compatibility for regular bundles and connections

As we remarked before, the topological isomorphism class is associated to the pair (P, A) and not a property of P alone. This is in sharp contrast to the case of Sobolev bundles in the subcritical regime $kp > n$, where the transition functions of the bundle alone, being continuous, are sufficient to determine the topology of the bundle. Here, on the other hand, we encode the topological information about the bundle in the connection. Indeed, if $P \in \mathcal{P}_G^{k,p}(M^n)$ be a $W^{k,p}$ bundle over M^n and $A, B \in \mathcal{U}^{k,p}(P)$ are two different $\mathcal{U}^{k,p}$ connection on P with $kp = n$, then in general $[P_A]_{W^{k,p}} \neq [P_B]_{W^{k,p}}$. Also, even if P is

a smooth bundle, then in general $[P]_{C^0} \neq [P_{A_{\text{Coulomb}}}]_{C^0}$ for $A \in \mathcal{U}^{k,p}(P)$ with $kp = n$. However, we should justifiably demand that for a smooth connection on a smooth bundle, the notion of topology defined here should coincide with the usual notion. Now we are going to show that this is indeed the case. In fact, we shall show that the only relevant factor here is the regularity of the connection.

Theorem 29. *Let $kp = n$. Let $P \in \mathcal{P}_G^{k,p}(M^n) \cap \mathcal{P}_G^0(M^n)$ and let $A \in \mathcal{U}^{k,p}(P)$. Then $P_{A_{\text{Coulomb}}}$ and P are C^0 -equivalent as soon as $d^*A \in L(\frac{n}{2}, 1)$.*

Remark 30. *In particular, $[P_A]_{W^{k,p}} = [P]_{C^0}$, for any **smooth** connections A on a $W^{k,p} \cap C^0$ bundle P with $kp = n$.*

Proof. We have the following equations for the Coulomb gauges for A .

$$\text{for every } i \in I, \quad \begin{cases} A_i^{\rho_i} = \rho_i^{-1} d\rho_i + \rho_i^{-1} A_i \rho_i & \text{in } U_i, \\ d^*(A_i^{\rho_i}) = 0 & \text{in } U_i. \end{cases}$$

From this we can deduce the equation

$$-\Delta \rho_i = * [d\rho_i \wedge (*A_i^{\rho_i})] + * [(*A_i) \wedge d\rho_i] - (d^*A_i) \rho_i \quad \text{in } U_i, \text{ for every } i \in I.$$

The last term on the right is $L(\frac{n}{2}, 1)$. By shrinking the domains to ensure smallness of the L^n norms, Lemma 12 concludes the proof by the Peetre-Sobolev embedding $W^{2,(\frac{n}{2}, 1)} \hookrightarrow W^{1,(n,1)}$ combined with the Stein [16] embedding $W^{1,(n,1)} \hookrightarrow C^0$. \square

Theorem 31. *Let $kp = n$. Let $P \in \mathcal{P}_G^{k,p}(M^n)$ and let $A, B \in \mathcal{U}^{k,p}(P)$. Then $P_{A_{\text{Coulomb}}}$ and $P_{B_{\text{Coulomb}}}$ are C^0 -equivalent as soon as $d^*A, d^*B \in L(\frac{n}{2}, 1)$.*

Proof. We shall denote by ρ and σ , the Coulomb gauges for A and B respectively. Since $P_{A_{\text{Coulomb}}}$ and $P_{B_{\text{Coulomb}}}$ are gauge related to P by ρ and σ respectively, $P_{A_{\text{Coulomb}}}$ is gauge related to $P_{B_{\text{Coulomb}}}$ by the gauges $u = \sigma^{-1}\rho$. By passing to a common refinement if necessary, we can assume that there exists a fixed cover $\{U_i\}_{i \in I}$ of M^n such that for each $i \in I$, we have,

$$\begin{aligned} A_i^{\rho_i} &= \rho_i^{-1} d\rho_i + \rho_i^{-1} A_i \rho_i && \text{in } U_i, \\ d^*(A_i^{\rho_i}) &= 0 && \text{in } U_i, \end{aligned}$$

and

$$\begin{aligned} B_i^{\sigma_i} &= \sigma_i^{-1} d\sigma_i + \sigma_i^{-1} B_i \sigma_i && \text{in } U_i, \\ d^*(B_i^{\sigma_i}) &= 0 && \text{in } U_i. \end{aligned}$$

Arguing exactly as in Theorem 29, we see that up to shrinking the domains, both σ and ρ are continuous and hence so is u . \square

4.4 Topology in the limit without curvature concentration

As we have already seen, the notion of the topology we defined depends heavily on the regularity of the connection. In particular, given a fixed $W^{k,p}$ bundle P over M^n and a sequence of $\mathcal{U}^{k,p}$ connections $\{A^\nu\}_{\nu \geq 1} \subset \mathcal{U}^{k,p}(P)$, the associated topological isomorphism classes $[P_{A^\nu}]_{W^{k,p}}$ can all be different when $kp = n$. However, this can not happen if we have some information regarding the curvatures of the connections. This is the content of the following theorem.

Theorem 32. *Let $kp = n$. Let $\{P^\nu\}_{\nu \geq 1} \subset \mathcal{P}_G^{k,p}(M^n)$ be such that there exists a common refinement $\{W_\alpha\}_{\alpha \in J}$ for the associated covers. Let $A^\nu \in \mathcal{U}^{k,p}(P^\nu)$ for all $\nu \geq 1$ such that $\|F_{A^\nu}\|_{L^{\frac{n}{2}}(M^n; \Lambda^2 T^* M^n \otimes \mathfrak{g})}$ is uniformly bounded and the sequence $\left\{ |F_{A^\nu}|^{\frac{n}{2}} \right\}_{\nu \geq 1}$ is equiintegrable in M^n , i.e. for every $\varepsilon > 0$, there exists a $\delta > 0$ such that for any measurable subset $E \subset M^n$ with $|E| < \delta$, we have*

$$\int_E |F_{A^\nu}|^{\frac{n}{2}} \leq \varepsilon \quad \text{for every } \nu \geq 1. \quad (42)$$

Then there exists a subsequence $\{A^{\nu_s}\}_{s \geq 1}$ and an integer s_0 such that for every $s_1, s_2 \geq s_0$, we have

$$[P_{A^{\nu_{s_1}}}^{\nu_{s_1}}]_{W^{k,p}} = [P_{A^{\nu_{s_2}}}^{\nu_{s_2}}]_{W^{k,p}}.$$

Moreover, there is a bundle $P^\infty = \left(\{U_i^\infty\}_{i \in I}, \{g_{ij}^\infty\}_{i,j \in I} \right) \in \mathcal{P}_G^{k,p} \cap \mathcal{P}_G^0(M^n)$ and a limit connection $A^\infty \in \mathcal{U}^{k,p}(P^\infty)$ such that

$$\lim_{s \rightarrow 0} [P_{A^{\nu_s}}^{\nu_s}]_{W^{k,p}} = [P_{A^\infty}^\infty]_{W^{k,p}}.$$

Furthermore, for every $i \in I$,

$$\begin{aligned} (A_{Coulomb}^{\nu_s})_i &\rightharpoonup A_i^\infty && \text{weakly in } W^{1, \frac{n}{2}}(U_i^\infty; \Lambda^1 T^* U_i^\infty \otimes \mathfrak{g}), \\ F_{A_i^{\nu_s}} &\rightharpoonup F_{A_i^\infty} && \text{weakly in } L^{\frac{n}{2}}(U_i^\infty; \Lambda^2 T^* U_i^\infty \otimes \mathfrak{g}) \end{aligned}$$

and for every $i, j \in I$ with $U_i^\infty \cap U_j^\infty \neq \emptyset$,

$$g_{ij}^{\nu_s} \rightarrow g_{ij}^\infty \quad \text{strongly in } W^{2,q} \cap C^0(U_i^\infty \cap U_j^\infty; G),$$

for some $\frac{n}{2} < q < n$, where $g_{ij}^{\nu_s}$ are the transition maps for $P_{Coulomb}^{\nu_s}$.

Remark 33. *By Dunford-Pettis theorem, the hypothesis on curvatures are of course equivalent to the sequence $\left\{ |F_{A^\nu}|^{\frac{n}{2}} \right\}_{\nu \geq 1}$ being weakly precompact in L^1 .*

Remark 34. *(i) Note that even if $P^\nu = P$ for all $\nu \geq 1$, where $P \in \mathcal{P}_G^{k,p}(M^n) \cap \mathcal{P}_G^0(M^n)$, still $[P^\infty]_{C^0}$ may not be the same as $[P]_{C^0}$. Since it is perfectly possible that $[P]_{C^0} \neq [P_{Coulomb}^{\nu_s}]_{C^0}$ for infinitely many $\nu \geq 1$. Thus in this generality, the only conclusion that we can reasonably expect is that up to a subsequence, $[P_{Coulomb}^{\nu_s}]_{C^0}$ stabilizes to one isomorphism class and the limit is also in the same class. This is exactly what the theorem claims.*

(ii) On the other hand, by Theorem 29, if in addition, $d^*A^\nu \in L^{\left(\frac{n}{2}, 1\right)}$ for every $\nu \geq 1$, then we deduce that $[P_{A^\nu_{Coulomb}}]_{C^0} = [P]_{C^0}$ for every $\nu \geq 1$. Then the stabilization conclusion is trivial and the real content of the theorem is that $[P_\infty]_{C^0} = [P]_{C^0}$.

Remark 35. Note that the condition about the existence of a common trivialization is actually an important subtle point. For an arbitrary sequence of bundles $\{P^\nu\}_{\nu \geq 1}$ over M^n , for any $x \in M^n$, for each $\nu \geq 1$, we can find $U_x^\nu \subset M^n$, a neighborhood of x such that P^ν is trivialized over U_x^ν . But if $\text{diam}(U_x^\nu) \rightarrow 0$ as $\nu \rightarrow \infty$, there is no neighborhood of x in M^n over which all the bundles are trivialized simultaneously. It is not clear if this can be ruled out in general, so the condition is simply an explicit assumption to rule this situation out.

Proof. By equiintegrability, we can find a cover $\{V_i^\infty\}_{i \in I}$ of M^n , which is refinement for the cover $\{W_\alpha\}_{\alpha \in J}$ and we have, for all $\nu \geq 1$,

$$\|F_{A^\nu}\|_{L^{\frac{n}{2}}(V_i^\infty; \Lambda^2 T^* V_i^\infty \otimes \mathfrak{g})} \leq \min \left\{ \varepsilon_{Coulomb}, \frac{\varepsilon_{\Delta C_r}}{4C_{Coulomb}} \right\} \quad \text{for all } i \in I. \quad (43)$$

Thus, $\{V_i^\infty\}_{i \in I}$ is a common fixed cover for the Coulomb bundles $P_{A^\nu_{Coulomb}}^\nu$ for every $\nu \geq 1$. Denoting the transition functions of $P_{A^\nu_{Coulomb}}^\nu$ by g_{ij}^ν , we have the Coulomb condition and the gluing relations for the Coulomb bundles,

$$dg_{ij}^\nu = g_{ij}^\nu (A_{Coulomb}^\nu)_j - (A_{Coulomb}^\nu)_i g_{ij}^\nu \quad \text{in } V_i^\infty \cap V_j^\infty \quad (44)$$

$$d^*(A_{Coulomb}^\nu)_i = 0 \quad \text{in } V_i^\infty \quad (45)$$

for every $i, j \in I$ with $V_i^\infty \cap V_j^\infty \neq \emptyset$ and for every $i \in I$ respectively. Also, we have the estimate

$$\|(A_{Coulomb}^\nu)_i\|_{W^{1, \frac{n}{2}}(V_i^\infty; \Lambda^2 T^* V_i^\infty \otimes \mathfrak{g})} \leq C_{Coulomb} \|F_{A^\nu}\|_{L^{\frac{n}{2}}(V_i^\infty; \Lambda^2 T^* V_i^\infty \otimes \mathfrak{g})} \quad (46)$$

every $i \in I$. Combining (44) and (46) and recalling that G is compact, we deduce,

$$\begin{aligned} \|dg_{ij}^\nu\|_{L^n(V_i^\infty \cap V_j^\infty; G)} \\ \leq C \left(\|F_{A^\nu}\|_{L^{\frac{n}{2}}(V_i^\infty; \Lambda^2 T^* V_i^\infty \otimes \mathfrak{g})} + \|F_{A^\nu}\|_{L^{\frac{n}{2}}(V_j^\infty; \Lambda^2 T^* V_j^\infty \otimes \mathfrak{g})} \right) \end{aligned}$$

Since G is compact, this implies $\|g_{ij}^\nu\|_{W^{1, n}(V_i^\infty \cap V_j^\infty; G)}$ is uniformly bounded.

Thus, there exists a subsequence which converges weakly in $W^{1, n}$. Using (46) and extracting a further subsequence, we can assume that

$$g_{ij}^{\nu_s} \rightharpoonup g_{ij}^\infty \quad \text{weakly in } W^{1, n}(V_i^\infty \cap V_j^\infty; G), \quad (47)$$

$$(A_{Coulomb}^{\nu_s})_i \rightharpoonup A_i^\infty \quad \text{weakly in } W^{1, \frac{n}{2}}(V_i^\infty; \Lambda^1 T^* V_i^\infty \otimes \mathfrak{g}), \quad (48)$$

as $s \rightarrow \infty$ for every i, j . By compactness of the Sobolev embedding, up to the extraction of a further subsequence which we do not relabel, (47) implies

$$g_{ij}^{\nu_s} \rightarrow g_{ij}^\infty \quad \text{strongly in } L^q \text{ for every } q < \infty \quad (49)$$

and since the maps $g_{ij}^{\nu_s}$ satisfy the cocycle conditions, passing to the limit we deduce that the maps g_{ij}^∞ satisfy the cocycle conditions as well and thus they define a $W^{1,n}$ bundle P^∞ . Using compactness of the Sobolev embedding again, up to the extraction of a further subsequence which we do not relabel, (48) implies

$$(A_{Coulomb}^{\nu_s})_i \rightarrow A_i^\infty \quad \text{strongly in } L^r \text{ for every } 1 \leq r < n \quad (50)$$

for every i and thus, we have

$$g_{ij}^{\nu_s} (A_{Coulomb}^{\nu_s})_j - (A_{Coulomb}^{\nu_s})_i g_{ij}^{\nu_s} \rightarrow g_{ij}^\infty A_i^\infty - A_j^\infty g_{ij}^\infty \quad \text{in } L^s$$

for every $s < n$. Combining with (44) and (47), this implies that the gluing relations

$$dg_{ij}^\infty = g_{ij}^\infty A_j^\infty - A_i^\infty g_{ij}^\infty \quad \text{in } V_i^\infty \cap V_j^\infty \quad (51)$$

holds in the sense of distributions and pointwise a.e. for every i, j with $V_i^\infty \cap V_j^\infty \neq \emptyset$. Thus the local representatives $\{A_i^\infty\}_{i \in I}$ patch together to yield a global connection form A^∞ on P^∞ . Note that by (50), we also have

$$(A_{Coulomb}^{\nu_s})_i \wedge (A_{Coulomb}^{\nu_s})_i \rightarrow A_i^\infty \wedge A_i^\infty \quad \text{strongly in } L^{\frac{n}{2}} \text{ for every } 2 \leq r < n$$

for every i . Since (48) implies that $(A_{Coulomb}^{\nu_s})_i \wedge (A_{Coulomb}^{\nu_s})_i$ is uniformly bounded in $L^{\frac{n}{2}}$, by uniqueness of weak limits, we deduce

$$(A_{Coulomb}^{\nu_s})_i \wedge (A_{Coulomb}^{\nu_s})_i \rightharpoonup A_i^\infty \wedge A_i^\infty \quad \text{weakly in } L^{\frac{n}{2}}.$$

Combining this with (48), we obtain

$$F_{A_i^{\nu_s}} \rightharpoonup F_{A_i^\infty} \quad \text{weakly in } L^{\frac{n}{2}}.$$

By (45) and (48), we deduce that A^∞ is Coulomb and thus, up to shrinking the domains which we do not rename, by Theorem 16, we can assume that g_{ij}^∞ are $W_{loc}^{2,p}$ in $V_i^\infty \cap V_j^\infty$ for every $\frac{n}{2} < p < n$ and every i, j with $V_i^\infty \cap V_j^\infty \neq \emptyset$. Now from (51) and (44), we deduce that the equation

$$\begin{aligned} d(g_{ij}^{\nu_s} - g_{ij}^\infty) &= (g_{ij}^{\nu_s} - g_{ij}^\infty) (A_{Coulomb}^{\nu_s})_j - (A_{Coulomb}^{\nu_s})_i (g_{ij}^{\nu_s} - g_{ij}^\infty) \\ &\quad + g_{ij}^\infty \left[(A_{Coulomb}^{\nu_s})_j - A_j^\infty \right] - \left[(A_{Coulomb}^{\nu_s})_i - A_i^\infty \right] g_{ij}^\infty \end{aligned} \quad (52)$$

holds in $V_i^\infty \cap V_j^\infty$ whenever the intersection is non-empty. Since $A_{Coulomb}^{\nu_s}$ and A^∞ are both Coulomb, we deduce the equation

$$\begin{aligned} -\Delta u_{ij}^{\nu_s} &= * \left[du_{ij}^{\nu_s} \wedge * (A_{Coulomb}^{\nu_s})_j \right] + * \left[* (A_{Coulomb}^{\nu_s})_i \wedge du_{ij}^{\nu_s} \right] \\ &\quad + * \left[dg_{ij}^\infty \wedge * \left[(A_{Coulomb}^{\nu_s})_j - A_j^\infty \right] \right] \\ &\quad + * \left[* \left[(A_{Coulomb}^{\nu_s})_i - A_i^\infty \right] \wedge dg_{ij}^\infty \right] \end{aligned} \quad (53)$$

in $V_i^\infty \cap V_j^\infty$ whenever the intersection is non-empty, where $u_{ij}^{\nu_s} = g_{ij}^{\nu_s} - g_{ij}^\infty$. Now we choose exponents $\frac{n}{2} < p < n$ and $1 < r < n$ such that $\frac{1}{n} < \frac{1}{r} + \frac{n-p}{np} < \frac{2}{n}$. Note that since $p > \frac{n}{2}$ implies $\frac{np}{n-p} > n$, such a choice of r is possible. Now, by slightly shrinking the open sets V_i^∞ , we can find open sets $\tilde{U}_i^\infty, \tilde{\tilde{U}}_i^\infty$ such that $\{\tilde{U}_i^\infty\}_{i \in I}$ is still a cover for M^n and for each $i \in I$, we have, $\tilde{U}_i^\infty \subset \subset \tilde{\tilde{U}}_i^\infty \subset \subset V_i^\infty$. Now considering the equation (53) in $\tilde{U}_i^\infty \cap \tilde{\tilde{U}}_j^\infty$, whenever the intersection is non-empty and recalling that g_{ij}^∞ is $W^{2,p}$ in $\tilde{U}_i^\infty \cap \tilde{\tilde{U}}_j^\infty$, using Lemma 12, by our choice in (43), we deduce the estimate

$$\begin{aligned} \|u_{ij}^{\nu_s}\|_{W^{2,q}(\tilde{U}_i^\infty \cap \tilde{\tilde{U}}_j^\infty; G)} &\leq C \|u_{ij}^{\nu_s}\|_{W^{1,2}(\tilde{\tilde{U}}_i^\infty \cap \tilde{\tilde{U}}_j^\infty)} \\ &+ C \|d^* g_{ij}^\infty\|_{L^{\frac{np}{n-p}}(\tilde{\tilde{U}}_i^\infty \cap \tilde{\tilde{U}}_j^\infty)} \|(A_{Coulomb}^{\nu_s})_i - A_i^\infty\|_{L^r(\tilde{\tilde{U}}_i^\infty)} \\ &+ C \|d^* g_{ij}^\infty\|_{L^{\frac{np}{n-p}}(\tilde{\tilde{U}}_i^\infty \cap \tilde{\tilde{U}}_j^\infty)} \|(A_{Coulomb}^{\nu_s})_j - A_j^\infty\|_{L^r(\tilde{\tilde{U}}_j^\infty)}, \end{aligned} \quad (54)$$

where $n > q = \frac{npr}{np+r(n-p)} > \frac{n}{2}$. Now (50) implies that the last two terms on the right hand side of the estimate above converges to zero as $s \rightarrow \infty$. The first term also converges to zero by (49),(50) and (52). Thus, by Sobolev embedding, we obtain

$$\|g_{ij}^{\nu_s} - g_{ij}^\infty\|_{C^0(\overline{\tilde{U}_i^\infty \cap \tilde{\tilde{U}}_j^\infty}; G)} \rightarrow 0 \text{ as } s \rightarrow \infty$$

for each $i, j \in I$ with $\tilde{U}_i^\infty \cap \tilde{\tilde{U}}_j^\infty \neq \emptyset$. By Corollary 3.3 in [22], we deduce the existence of a smaller cover $\{U_i^\infty\}_{i \in I}$ and gauge changes $\sigma_i \in W^{2,q}(U_i^\infty; G)$ satisfying

$$g_{ij}^\infty = \sigma_i^{-1} g_{ij}^{\nu_{s_0}} \sigma_j \quad \text{in } U_i^\infty \cap U_j^\infty,$$

whenever the intersection is non-empty, for some integer s_0 large enough. This proves the result. \square

As an immediate consequence, we obtain Theorem 2.

Proof. (of Theorem 2) In light of the discussions in Remark 34 (ii), this is just a restatement of Theorem 32 in this case. \square

We now prove that $\frac{n}{2}$ -Yang-Mills energy can detect *topological flatness* in a $W^{k,p}$ principal G -bundle equipped with a $\mathcal{U}^{k,p}$ connection for $kp = n$.

Theorem 36 (flatness criterion). *Let $kp = n$. For any cover \mathcal{U} of M^n , there exists a constant $\delta > 0$, depending only on \mathcal{U} , M^n and G such that if P is a $W^{k,p}$ bundle trivialized over \mathcal{U} and A is a $\mathcal{U}^{k,p}$ connection on P , then either $YM_{n/2}(A) > \delta$, or $[PA]_{W^{1,n}} = [P^0]_{C^0}$, where P^0 is a flat bundle.*

Proof. Since for $kp = n$, every $W^{k,p}$ bundle is also a $W^{1,n}$ bundle and every $U^{k,p}$ connection is also a $U^{1,n}$ connection, it is enough to prove for $k = 1$. If the result is false, there for every $\nu \geq 1$, there exists a $W^{1,n}$ bundle P^ν trivialized over U with a $U^{1,n}$ connection $A^\nu \in U^{1,n}(P^\nu)$ such that $[P_{A^\nu}^\nu]_{W^{1,n}} \neq [P^0]_{C^0}$ for any flat bundle P^0 and $F_{A^\nu} \rightarrow 0$ in $L^{\frac{n}{2}}$. Since the strong convergence of the curvatures in $L^{\frac{n}{2}}$ implies the equiintegrability, applying Theorem 32, we deduce that $[P_{A^{\nu_s}}^{\nu_s}]_{W^{1,n}} = [P_{A^\infty}^\infty]_{W^{1,n}}$, for s large enough. But it is easy to see that by the strong convergence of the curvatures in $L^{\frac{n}{2}}$ to zero, we have $dg_{ij}^\infty = 0$ in $U_i^\infty \cap U_j^\infty$, for every $i, j \in I$ with $U_i^\infty \cap U_j^\infty \neq \emptyset$. This means P^∞ is a flat bundle. This contradiction proves the theorem. \square

Combining Theorem 36 with Theorem 29, we immediately obtain Theorem 3.

Appendix A G -valued Sobolev maps

Without loss of generality, we can always assume that the compact finite dimensional Lie group G is endowed with a bi-invariant metric and is smoothly embedded isometrically in \mathbb{R}^{N_0} for some, possibly quite large, integer $N_0 \geq 1$. By compactness of G , there exists a constant $C_G > 0$ such that $G \subset\subset B_{C_G}(0) \subset \mathbb{R}^{N_0}$.

Definition 37. Let $U \subset \mathbb{R}^n$ be open. The space $W^{k,p}(U; G)$ is defined as

$$W^{k,p}(U; G) := \{f \in W^{k,p}(U; \mathbb{R}^{N_0}) : f(x) \in G \text{ for a.e. } x \in U\}.$$

The compactness of G implies that $W^{k,p}(U; G) \subset L^\infty(U; \mathbb{R}^{N_0})$ with the bounds $\|f\|_{L^\infty(U; \mathbb{R}^{N_0})} \leq C_G$ for any $f \in W^{k,p}(U; G)$. By the Gagliardo-Nirenberg inequality, it follows that $W^{k,p}(U; G)$ is an infinite dimensional topological group with respect to the topology it inherits as a topological subspace of the Banach space $W^{k,p}(U; \mathbb{R}^{N_0})$. Note that $W^{k,p}(U; G)$ is not even a linear space, so there is no question of a norm. It inherits only a topology from the norm topology of $W^{k,p}(U; \mathbb{R}^{N_0})$.

On the other hand, since the Lie algebra of G , i.e. \mathfrak{g} is a linear space and consequently so is $\Lambda^k \mathbb{R}^n \otimes \mathfrak{g}$ for any $0 \leq k \leq n$, the space of \mathfrak{g} -valued k -forms of class $W^{k,p}$ is defined by requiring each scalar component of the maps to be $W^{k,p}$ functions in the usual sense. The standard properties of Sobolev functions, including smooth approximation by mollification, carry over immediately to this setting by arguing componentwise. The stark contrast between the two settings is due to the fact that in general a map $g \in W^{k,p}(U; G)$ need not have a $W^{k,p}$ ‘lift’ to the Lie algebra. More precisely, there need not exist a map $u \in W^{k,p}(U; \mathfrak{g})$ with the property that $g = \exp(u)$, where $\exp : \mathfrak{g} \rightarrow G$ is the exponential map of G . However, $\mathfrak{g} = T_{\mathbf{1}_G} G$ and there exists a small enough C^0 -neighborhood of the identity element $\mathbf{1}_G \in G$ in G such the exponential map is a local smooth diffeomorphism onto that neighborhood. We shall use this fact crucially and repeatedly, so we fix some notations.

Notation 38. Let G be a compact finite dimensional Lie group and $\mathcal{O}_G \subset G$ be a neighborhood of the identity in G which is contained in the domain of

the inverse of the exponential map, i.e. $\mathbf{1}_G \in \mathcal{O}_G \subset \text{Dom}(\exp^{-1})$, such that $\exp^{-1}(\mathcal{O}_G) \subset \mathfrak{g}$ is a convex set containing the origin, i.e. $0 \in \exp^{-1}(\mathcal{O}_G) \subset \mathfrak{g}$ is convex.

Now we prove a few lemmas for $kp > n$, which are $W^{k,p}$ -analogues of classical results about G -valued continuous maps. All subsets of \mathbb{R}^n are always assumed to be at least Lipschitz sets.

Lemma 39. *Let $d_0 > 0$ be a real number and $kp > n$. Let $U \subset \mathbb{R}^n$ be open, bounded, convex and let $A, B \subset U$ be two closed convex subsets such that $B \subset\subset A$ and $\text{dist}(B; \partial A) > d_0$. Then given any continuous map $F \in W^{k,p}(A; \mathcal{O}_G)$, there exists a continuous map $\tilde{F} \in W^{k,p}(U; \mathcal{O}_G)$ such that $\tilde{F} = F$ on a neighborhood of B and $\tilde{F} = \mathbf{1}_G$ on a neighborhood of $U \setminus \text{int}(A)$. Moreover, there exists a constant $C_1 = C_1(d_0, n, k, p, G) \geq 1$, nonincreasing with d_0 , such that we have the estimate*

$$\left\| \exp^{-1}(\tilde{F}) \right\|_{W^{k,p}(A; \mathfrak{g})} \leq C_1 \left\| \exp^{-1}(F) \right\|_{W^{k,p}(A; \mathfrak{g})}.$$

Proof. Since B and $U \setminus \text{int}(A)$ are disjoint, one can construct a smooth map $\psi : U \rightarrow [0, 1]$ such that $\psi \equiv 0$ in a neighborhood of $U \setminus \text{int}(A)$ and $\psi \equiv 1$ in a neighborhood of B . Then we set

$$F_\psi(x) := \exp(\psi(x) \exp^{-1}[F(x)]) \quad \text{for all } x \in A.$$

Clearly, F_ψ takes values in \mathcal{O}_G by convexity of $\exp^{-1}(\mathcal{O}_G)$. But since $F_\psi \equiv \mathbf{1}_G$ near the boundary of A , the map

$$\tilde{F}(x) := \begin{cases} F_\psi(x) & \text{if } x \in A, \\ \mathbf{1}_G & \text{if } x \in U \setminus \text{int}(A), \end{cases}$$

is continuous and satisfies all our requirements. By the smoothness of ψ and the exponential map, the Sobolev bounds follow from straight forward computation and obvious estimates. The only dependence of the constant C_1 on d_0 is via the L^∞ norms of the derivatives of ψ and hence is nonincreasing. \square

As a consequence, we deduce

Lemma 40 (Extension). *Let $d_0 > 0$ be a real number and $kp > n$. Let $U, V, W \subset \mathbb{R}^n$ be convex open sets such that $W \subset\subset V \subset\subset U$, $\text{dist}(W; \partial V) > d_0$ and U is bounded. Then there exists a constant $\delta_G = \delta_G(n, G) > 0$ such that for any two maps $f \in W^{k,p}(U; G)$ and $g \in C^\infty(V; G)$ satisfying the bound*

$$\left\| f^{-1}g - \mathbf{1}_G \right\|_{W^{k,p}(V; G)} \leq \delta_G,$$

we can find a map $\tilde{f} \in W^{k,p}(U; G)$ such that $\tilde{f} = g$ in a neighborhood of W and $\tilde{f} = f$ in a neighborhood of $U \setminus V$ in U . Moreover, there exists a constant $C_2 = C_2(d_0, n, k, p, G) \geq 1$, nonincreasing with d_0 , such that we have the estimate

$$\left\| \exp^{-1}(f^{-1}\tilde{f}) \right\|_{W^{k,p}(V; \mathfrak{g})} \leq C_2 \left\| \exp^{-1}(f^{-1}g) \right\|_{W^{k,p}(V; \mathfrak{g})}.$$

Proof. We use the previous lemma by choosing $B = \overline{W}$, $A = \overline{V}$ and $F = f^{-1}g$. Clearly, there is a smallness parameter δ as claimed such that the bound forces $f^{-1}g$ to take values in a neighborhood $\mathcal{O}_G \subset G$ which satisfies the assumptions of Lemma 39. Now we set

$$\tilde{f}(x) := f(x)\tilde{F}(x) \quad \text{for all } x \in U,$$

where \tilde{F} is the extension of $F = f^{-1}g$ given by Lemma 39. The estimate follows by simple computations and obvious estimates. \square

Lemma 41 (Relative smooth approximation). *Let $kp > n$. Let $U, V, W \subset \mathbb{R}^n$ be convex open sets such that $W \subset\subset V \subset\subset U$ and U is bounded. Then there exists a constant $\delta_G = \delta_G(n, G) > 0$ such that if $f \in W^{k,p}(U; G)$ satisfies*

$$\text{osc}(f; V) \leq \delta_G,$$

then for every $\varepsilon > 0$, there exists a smooth map $f^\varepsilon \in C^\infty(W; G)$ such that

$$\|f^{-1}f^\varepsilon - \mathbf{1}_G\|_{W^{k,p}(W; G)} \leq \varepsilon.$$

Moreover, if $A \subset U$ is a closed subset (possibly empty) such that f is smooth in a neighborhood of $A \cap \overline{W}$, then f^ε can be chosen to ensure $f^\varepsilon = f$ in $A \cap \overline{W}$.

Proof. If f takes values in a finite dimensional vector space, the lemma is completely classical (see e.g. Theorem 2.5 in [4]) without requiring any smallness condition on the oscillations of f . So we just need to choose δ_G small enough such that $(\bar{f})^{-1}f \subset \mathcal{O}_G$, for some constant element $\bar{f} \in G$, where $\mathcal{O}_G \subset G$ is as in Lemma 39. Then we can write

$$f(x) = \bar{f} \exp u(x) \quad \text{for all } x \in V$$

for some continuous map $u \in W^{k,p}(V; \mathfrak{g})$. Note that since f is smooth in a neighborhood of $A \cap \overline{W}$, which we can assume to be contained inside V and we have

$$u(x) = \exp^{-1} \left[(\bar{f})^{-1} f(x) \right] \quad \text{for all } x \in V,$$

we infer that u is smooth in a neighborhood of $A \cap \overline{W}$. Since \mathfrak{g} is a finite dimensional vector space, we can find a sequence $\{u^\varepsilon\} \subset C^\infty(\overline{W}; \mathfrak{g})$ such that

$$u^\varepsilon \xrightarrow{W^{k,p}} u \quad \text{in } \overline{W} \text{ as } \varepsilon \rightarrow 0 \quad \text{and} \quad u^\varepsilon|_{A \cap \overline{W}} = u|_{A \cap \overline{W}}.$$

Choosing $\varepsilon > 0$ small enough and reducing δ if necessary, we can ensure that the image of u^ε is contained in $\exp^{-1}(\mathcal{O}_G)$ as well. Now $f^\varepsilon(x) := \bar{f} \exp[u^\varepsilon(x)]$ is the desired map, which satisfies the estimate if we choose ε suitably small. \square

Appendix B Smooth Approximation in subcritical regime

Now we prove the smooth approximation theorem for $W^{k,p}$ bundles for $kp > n$.

Proof. (of Theorem 8) We prove only the case $k = 1$. The case $k = 2$ is similar. Also, we only show the existence of an approximating smooth cocycle h_{ij} . The existence of the maps σ_i follows, as already proved for $k = 2$ by Uhlenbeck in [22], Corollary 3.3, but the argument works for $k = 1$ as well.

During the course of this proof, we will freely reduce $\varepsilon > 0$ finitely many times in order to make it suitably small. Also, we set $\delta_G > 0$ to be the smaller of the two smallness parameters δ_G given by Lemma 40 and Lemma 41. All opens sets we are going to chose below are always assumed to be at least Lipschitz, convex and bounded without further comment. Now the rest of the proof involves two nested induction arguments.

Step 1: Outer induction:: We begin by choosing N^2 open sets $\{V_i^r\}_{1 \leq i, r \leq N}$ such that we have $M^n = \bigcup_{i=1}^N V_i^N$ and for each $1 \leq i \leq N$ we have the inclusions

$$V_i^N \subset\subset V_i^{N-1} \subset\subset \dots \subset\subset V_i^{r+1} \subset\subset V_i^r \subset\subset \dots \subset\subset V_i^1 \subset\subset V_i \subset\subset U_{\phi(i)},$$

together with the smallness conditions

$$\text{osc}(g_{ij}; \overline{V_i} \cap \overline{V_j}) \leq \frac{\delta_G}{2}. \quad (55)$$

Here and henceforth g_{ij} stands for $g_{\phi(i)\phi(j)}$, where ϕ is the refinement map. Now, once we have chosen our sets $\{V_i^r\}_{1 \leq i, r \leq N}$, there exists a number $d_0 > 0$ such that we have

$$\text{dist}(V_i^{r+1}; \partial V_i^r) \geq (N+1)^4 d_0 \quad \text{for all } 1 \leq r \leq N.$$

Let $C_2(d_0) \geq 1$ be the constant given by Lemma 40 for this $d_0 > 0$. Now we set

$$C_0 := 100^{nN} [C_{\text{exp}} C_G C_2(d)]^4,$$

where $C_G \geq 1$ is an L^∞ bound for G and $C_{\text{exp}} \geq 1$ is a C^2 bound for the smooth maps \exp and \exp^{-1} for G .

Step 1a: Hypotheses for outer induction:: For each $1 \leq r \leq N$, we want to inductively construct a collection of smooth maps $\{g_{ij}^r\}_{1 \leq i, j \leq r}$ such that $g_{ij}^r : V_i^r \cap V_j^r \rightarrow G$ is smooth, $g_{ii}^r = \mathbf{1}_G$ for all $1 \leq i \leq r$ and satisfies

(H1) the cocycle condition

$$g_{ij}^r g_{jk}^r = g_{ik}^r \quad \text{for all } x \in V_i^r \cap V_j^r \cap V_k^r, \text{ for all } 1 \leq i, j, k \leq r, \quad (\mathbf{H}_r^1)$$

(H2) and the estimate

$$\|g_{ij}^r - g_{ij}\|_{W^{k,p} \cap C^0(V_i^r \cap V_j^r; G)} \leq (2C_0)^{-\frac{N^2}{r^2}} \varepsilon. \quad (\mathbf{H}_r^2)$$

Setting $g_{11}^1 = \mathbf{1}_G$ in V_1^1 , the hypotheses are met for $r = 1$. So we assume that we have already constructed such a family for all $1 \leq r \leq r_0$ for some $1 \leq r_0 \leq N-1$ and show that we can construct such a family for $r = r_0 + 1$.

Step 1b: Induction step for outer induction: Given such a family of smooth maps $\{g_{ij}^{r_0}\}_{1 \leq i, j \leq r_0}$ where $g_{ij}^{r_0} : V_i^{r_0} \cap V_j^{r_0} \rightarrow G$ for all $1 \leq i, j \leq r_0$ that satisfies (\mathbf{H}_r^1) and (\mathbf{H}_r^2) for $r = r_0$, we can define the maps $g_{ij}^{r_0+1} : V_i^{r_0+1} \cap V_j^{r_0+1} \rightarrow G$ as the restriction

$$g_{ij}^{r_0+1} := g_{ij}^{r_0} \Big|_{V_i^{r_0+1} \cap V_j^{r_0+1}}.$$

Also, we obviously set $g_{(r_0+1)(r_0+1)}^{r_0+1} = \mathbf{1}_G$ in $V_{r_0+1}^{r_0+1}$. Thus, it only remains to construct smooth maps $g_{i(r_0+1)}^{r_0+1} : V_i^{r_0+1} \cap V_{r_0+1}^{r_0+1} \rightarrow G$ for the values $1 \leq i \leq r_0$, such that they satisfy, for all $1 \leq i < j \leq r_0$, the identity

$$g_{j(r_0+1)}^{r_0+1}(x) = [g_{ij}^{r_0+1}(x)]^{-1} g_{i(r_0+1)}^{r_0+1}(x), \quad (56)$$

for all $x \in V_i^{r_0+1} \cap V_j^{r_0+1} \cap V_{r_0+1}^{r_0+1}$, along with the estimates

$$\left\| g_{i(r_0+1)}^{r_0+1} - g_{i(r_0+1)} \right\|_{W^{k,p} \cap C^0(V_i^{r_0+1} \cap V_{r_0+1}^{r_0+1}; G)} \leq (2C_0)^{-\frac{N^2}{(r_0+1)^2}} \varepsilon, \quad (57)$$

for all $1 \leq i \leq r_0$. This will be done by another induction.

Step 2: Inner induction: To do this, we first chose $(r_0 + 1)^2$ open sets $\{W_i^l\}_{1 \leq i, l \leq r_0+1}$ such that for each $1 \leq i \leq r_0 + 1$ we have the inclusions

$$V_i^{r_0+1} \subset\subset W_i^{r_0+1} \subset\subset \dots \subset\subset W_i^{l+1} \subset\subset W_i^l \subset\subset \dots \subset\subset W_i^1 \subset\subset V_i^{r_0}$$

and for all $1 \leq i \leq r_0 + 1, 1 \leq l \leq r_0$, we have,

$$\text{dist}(W_i^{l+1}; \partial W_i^l), \text{dist}(V_i^{r_0+1}, \partial W_i^{r_0+1}), \text{dist}(W_i^1, \partial V_i^{r_0}) \geq d_0.$$

Step 2a: Hypotheses for inner induction: Let $1 \leq l_0 \leq r_0 - 1$ and suppose we have constructed maps $h_{i(r_0+1)}^{l_0} \in C^\infty(W_i^{l_0} \cap W_{r_0+1}^{l_0}; G)$ for all $1 \leq i \leq l_0$ satisfying

$$h_{i(r_0+1)}^{l_0} \left(h_{j(r_0+1)}^{l_0} \right)^{-1} = g_{ij}^{r_0} \quad \text{in } W_i^{l_0} \cap W_j^{l_0} \cap W_{r_0+1}^{l_0}, \quad (58)$$

for all $1 \leq i, j \leq l_0$, together with the estimates

$$\left\| h_{i(r_0+1)}^{l_0} - g_{i(r_0+1)} \right\|_{W^{k,p} \cap C^0(W_i^{l_0} \cap W_{r_0+1}^{l_0}; G)} \leq (2C_0)^{-\frac{N^2}{l_0(r_0+1)}} \varepsilon \quad \text{for all } 1 \leq i \leq l_0. \quad (59)$$

Now we construct maps $h_{i(r_0+1)}^{l_0+1} : W_i^{l_0+1} \cap W_{r_0+1}^{l_0+1} \rightarrow G$ for all $1 \leq i \leq l_0 + 1$ satisfying

$$h_{i(r_0+1)}^{l_0+1} \left(h_{j(r_0+1)}^{l_0+1} \right)^{-1} = g_{ij}^{r_0} \quad \text{in } W_i^{l_0+1} \cap W_j^{l_0+1} \cap W_{r_0+1}^{l_0+1}, \quad (60)$$

for all $1 \leq i, j \leq l_0 + 1$, together with the estimates

$$\left\| h_{i(r_0+1)}^{l_0+1} - g_{i(r_0+1)} \right\|_{W^{k,p} \cap C^0(W_i^{l_0+1} \cap W_{r_0+1}^{l_0+1}; G)} \leq (2C_0)^{-\frac{N^2}{(l_0+1)(r_0+1)}} \varepsilon, \quad (61)$$

for all $1 \leq i \leq l_0 + 1$. Note that by virtue of (55), we can use Lemma 41 with $A = \emptyset$ to construct a smooth map $h_{1(r_0+1)}^1 \in C^\infty(W_1^1 \cap W_{r_0+1}^1; G)$ such that we have

$$\left\| h_{1(r_0+1)}^1 - g_{1(r_0+1)} \right\|_{W^{k,p} \cap C^0(W_1^1 \cap W_{r_0+1}^1; G)} \leq (2C_0)^{-\frac{N^2}{(r_0+1)}} \varepsilon.$$

This implies that (58) and (59) are satisfied for $l_0 = 1$ and we can start the induction.

Step 2b: Induction step for inner induction:: As before, by restricting already constructed maps, it only remains to construct *one* smooth map $h_{(l_0+1)(r_0+1)}^{l_0+1} \in C^\infty(W_{l_0+1}^{l_0+1} \cap W_{r_0+1}^{l_0+1}; G)$ such that

$$h_{(l_0+1)(r_0+1)}^{l_0+1} = \left[g_{i(l_0+1)}^{r_0} \right]^{-1} h_{i(r_0+1)}^{l_0} \quad \text{in } W_i^{l_0+1} \cap W_{l_0+1}^{l_0+1} \cap W_{r_0+1}^{l_0+1} \quad (62)$$

for all $1 \leq i \leq l_0$ and we have the estimate

$$\left\| h_{(l_0+1)(r_0+1)}^{l_0+1} - g_{(l_0+1)(r_0+1)} \right\|_{W^{k,p} \cap C^0(W_{l_0+1}^{l_0+1} \cap W_{r_0+1}^{l_0+1}; G)} \leq (2C_0)^{-\frac{N^2}{(l_0+1)(r_0+1)}} \varepsilon. \quad (63)$$

We define $\hat{h}_{(l_0+1)(r_0+1)} : \bigcup_{1 \leq i \leq l_0} (W_i^{l_0} \cap W_{l_0+1}^{l_0} \cap W_{r_0+1}^{l_0}) \rightarrow G$ by setting

$$\hat{h}_{(l_0+1)(r_0+1)} := \left[g_{i(l_0+1)}^{r_0} \right]^{-1} h_{i(r_0+1)}^{l_0} \quad \text{in } W_i^{l_0} \cap W_{l_0+1}^{l_0} \cap W_{r_0+1}^{l_0}$$

for all $1 \leq i \leq l_0$. Note that $g_{i(l_0+1)}^{r_0}$ and $h_{i(r_0+1)}^{l_0}$ are already defined in $V_i^{r_0} \cap V_{l_0+1}^{r_0}$ and $W_i^{l_0} \cap W_{r_0+1}^{l_0}$ respectively, by the induction hypotheses. By (\mathbf{H}_r^1) for $r = r_0$ and (58), the definitions agree in quadruple intersections and thus $\hat{h}_{(l_0+1)(r_0+1)} : \bigcup_{1 \leq i \leq l_0} (W_i^{l_0} \cap W_{l_0+1}^{l_0} \cap W_{r_0+1}^{l_0}) \rightarrow G$ is actually smooth. By using (59), (\mathbf{H}_r^2)

for $r = r_0$ and noting that $l_0 + 1 \leq r_0$, one can estimate the norm

$$\left\| g_{(l_0+1)(r_0+1)}^{-1} \hat{h}_{(l_0+1)(r_0+1)} - \mathbf{1}_G \right\|_{W^{k,p} \left(\bigcup_{1 \leq i \leq l_0} (W_i^{l_0} \cap W_{l_0+1}^{l_0} \cap W_{r_0+1}^{l_0}); G \right)}.$$

Now, choosing $\varepsilon > 0$ suitably small, this norm can be made smaller than δ_G . Pick an open set X satisfying

$$\bigcup_{1 \leq i \leq l_0} \left(W_i^{l_0+1} \cap W_{l_0+1}^{l_0+1} \cap W_{r_0+1}^{l_0+1} \right) \subset\subset X \subset\subset \bigcup_{1 \leq i \leq l_0} \left(W_i^{l_0} \cap W_{l_0+1}^{l_0} \cap W_{r_0+1}^{l_0} \right).$$

By Lemma 40, we find a map $\tilde{h}_{(l_0+1)(r_0+1)} \in W^{k,p}(V_{l_0+1}^{r_0} \cap V_{r_0+1}^{r_0}; G)$ such that $\tilde{h}_{(l_0+1)(r_0+1)} = \hat{h}_{(l_0+1)(r_0+1)}$ in a neighborhood of X and we have

$$\begin{aligned} & \left\| \exp^{-1} \left(g_{(l_0+1)(r_0+1)}^{-1} \tilde{h}_{(l_0+1)(r_0+1)} \right) \right\|_{W^{k,p}(V_{l_0+1}^{r_0} \cap V_{r_0+1}^{r_0}; \mathfrak{g})} \\ & \lesssim \left\| \exp^{-1} \left(g_{(l_0+1)(r_0+1)}^{-1} \hat{h}_{(l_0+1)(r_0+1)} \right) \right\|_{W^{k,p} \left(\bigcup_{1 \leq i \leq l_0} (W_i^{l_0} \cap W_{l_0+1}^{l_0} \cap W_{r_0+1}^{l_0}); \mathfrak{g} \right)}. \end{aligned}$$

Combining this estimate with (55) and choosing ε smaller if necessary, we can force that $\text{osc} \left(\tilde{h}_{(l_0+1)(r_0+1)}; W_{l_0+1}^{l_0} \cap W_{r_0+1}^{l_0} \right) \leq \delta_G$. Hence by Lemma 41, there exists $h_{(l_0+1)(r_0+1)}^{l_0+1} \in C^\infty \left(W_{l_0+1}^{l_0+1} \cap W_{r_0+1}^{l_0+1}; G \right)$ satisfying (62) and (63). \square

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