



# Modelling how increased Cathepsin B/L and decreased TMPRSS2 usage for cell entry by the SARS-CoV-2 Omicron variant may affect the efficacy and synergy of TMPRSS2 and Cathepsin B/L inhibitors

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## ABSTRACT

The SARS-CoV-2 Omicron variant harbours many mutations in its spike protein compared to the original SARS-CoV-2 strain, which may alter its ability to enter cells, cell tropism, and response to interventions blocking virus entry. To elucidate these effects, we developed a mathematical model of SARS-CoV-2 entry into target cells and applied it to analyse recent *in vitro* data. SARS-CoV-2 can enter cells via two pathways, one using the host proteases Cathepsin B/L and the other using the host protease TMPRSS2. We found enhanced entry efficiency of the Omicron variant in cells where the original strain preferentially used Cathepsin B/L and reduced efficiency where it used TMPRSS2. The Omicron variant thus appears to have evolved to use the Cathepsin B/L pathway better but at the expense of its ability to use the TMPRSS2 pathway compared to the original strain. We estimated >4-fold enhanced efficiency of the Omicron variant in entry via the Cathepsin B/L pathway and >3-fold reduced efficiency via the TMPRSS2 pathway compared to the original or other strains in a cell type-dependent manner. Our model predicted that Cathepsin B/L inhibitors would be more efficacious and TMPRSS2 inhibitors less efficacious in blocking Omicron variant entry into cells than the original strain. Furthermore, model predictions suggested that drugs simultaneously targeting the two pathways would exhibit synergy. The maximum synergy and drug concentrations yielding it would differ for the Omicron variant compared to the original strain. Our findings provide insights into the cell entry mechanisms of the Omicron variant and have implications for intervention targeting these mechanisms.

## 1. Introduction

The SARS-CoV-2 variant Omicron, declared a variant of concern on 25th November 2021, has become the dominant strain worldwide. It harbours as many as 37 mutations in the spike protein compared to the original SARS-CoV-2 strain (Cameroni et al., 2021) and is able to evade neutralising antibodies generated by previously infected or vaccinated individuals (Cameroni et al., 2021; Garcia-Beltran et al., 2021; Hoffmann et al., 2021; Willett et al., 2022), possibly explaining its increased transmissibility and rapid global spread. The spike protein facilitates the entry of the virus into cells (Hoffmann et al., 2020a; Koch et al., 2021). Indeed, growing data indicates that the high number of mutations in the Omicron variant affects its viral entry properties and cell tropism (Garcia-Beltran et al., 2021; Hoffmann et al., 2021; Meng et al., 2021;

Peacock et al., 2022; Willett et al., 2022; Zhao et al., 2021), which in turn may influence its ability to establish infection post-exposure and the severity of the subsequent symptoms. How the mutations influence the efficacies of entry inhibitors remains to be elucidated.

Early studies showed that the original SARS-CoV-2 (Wuhan-Hu-1) strain displayed broad cell tropism, with viral entry a key determinant of the tropism both *in vitro* (Hoffmann et al., 2020a) and *in vivo* (Liu et al., 2021). Intriguingly, multiple *in vitro* studies suggest that cell tropism of the Omicron variant may be altered and its entry efficiency may be different from the original and other variants in a cell line-dependent manner (Garcia-Beltran et al., 2021; Hoffmann et al., 2021; Meng et al., 2021; Peacock et al., 2022; Zhao et al., 2021). For instance, SARS-CoV-2 pseudotyped virus bearing either the B.1 or the Delta variant spike protein showed higher entry efficiency than the Omicron

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pseudotyped virus in Caco-2 (human, colon) and Calu-3 (human, lung) cells, whereas the Omicron pseudotyped virus entered Vero (African green monkey, kidney) and 293T (human, kidney) cells more efficiently than the other variants (Hoffmann et al., 2021). Similar trends were observed in live SARS-CoV-2 virus infection assays (Meng et al., 2021; Zhao et al., 2021): the Delta variant infection spread was significantly greater than the Omicron variant in Calu-3 cells (Meng et al., 2021; Zhao et al., 2021), whereas the spread of the two variants was similar in VeroE6 cells (Zhao et al., 2021). What causes the entry efficiency of the Omicron variant relative to other variants to be higher in some cells and lower in others?

The first step in SARS-CoV-2 entry into target cells is the binding of the viral spike protein, S, with the host cell surface receptor angiotensin-converting enzyme 2 (ACE2) (Balistreri et al., 2021; Jackson et al., 2022). Cell tropism is thus expected to be affected by ACE2 expression levels (Hoffmann et al., 2020a; Koch et al., 2021; Liu et al., 2021). The Omicron spike protein binds soluble human ACE2 more strongly than the ancestral strain (Cameroni et al., 2021; Hoffmann et al., 2021). Further, ACE2 is necessary for the entry of all SARS-CoV-2 variants (Garcia-Beltran et al., 2021; Hoffmann et al., 2021; Hoffmann et al., 2020a; Meng et al., 2021; Zhao et al., 2021). Thus, any variation in ACE2 expression across cell types is likely to have similar effects on the entry efficiencies of all the variants. The cell type-dependent variation in the entry efficiency of the Omicron variant is therefore unlikely to arise from the variations in the ACE2 expression level across cell types. Omicron spike protein incorporation into pseudotyped virus appears to be compromised compared to the Delta and Wuhan D614G strains (Meng et al., 2021). However, this reduction in spike protein density is expected to decrease the Omicron entry efficiency across all cell types, thus ruling it out as a potential cause of the differential entry efficiency observed.

Following ACE2 engagement, successful entry requires that the spike protein be cleaved into subunits by host proteases, either by the transmembrane serine protease TMPRSS2 at the plasma membrane or the cysteine proteases Cathepsin B and Cathepsin L in the endosomal vesicles (Jackson et al., 2022). The proteases TMPRSS2 and Cathepsin B/L are thought to work independently, facilitating SARS-CoV-2 entry through two independent pathways (Koch et al., 2021; Padmanabhan et al., 2020) (Fig. 1A). We recently analysed data on SARS-CoV-2

pseudotyped virus infection *in vitro* (Hoffmann et al., 2020a) using a mathematical model of SARS-CoV-2 entry and found that the relative usage of the TMPRSS2 and Cathepsin B/L entry pathways by the original SARS-CoV-2 strain varied widely across cell lines (Padmanabhan et al., 2020). For example, Vero cells predominantly admitted entry through the Cathepsin B/L pathway, whereas Calu-3 cells allowed entry via the TMPRSS2 pathway. Vero cells overexpressing TMPRSS2 permitted entry via both pathways (Hoffmann et al., 2020a). Importantly, the original strain displayed the ability to use either pathway, with the preferred pathway possibly based on the relative expression levels of the two proteases (Koch et al., 2021). We reasoned that the Omicron variant might use these pathways differently from the original (or the Delta) strain, possibly underlying its differential entry efficiency and altered cell tropism.

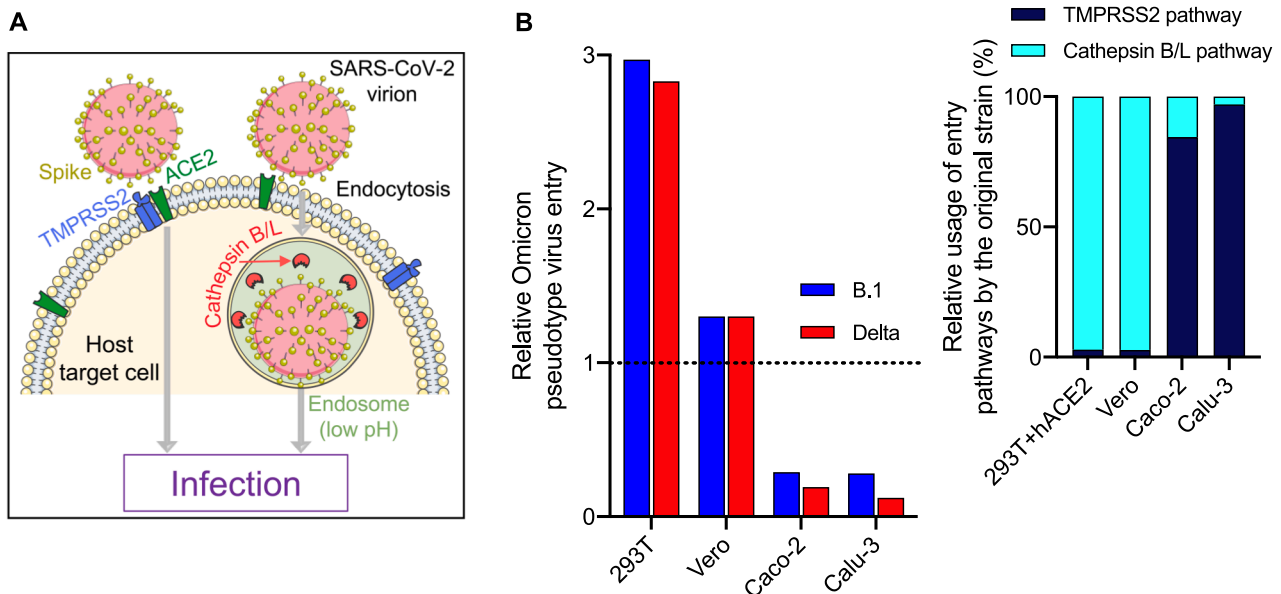
Here, to elucidate the entry mechanisms of the Omicron variant, we developed a mathematical model of SARS-CoV-2 entry that explicitly considered the two independent entry pathways. Applying the model to the analysis of available *in vitro* data, we deduced the usage of the two pathways by the Omicron variant relative to the other strains. We then applied the model to predict the efficacies and synergy of drugs that target host proteases facilitating entry via the two pathways.

## 2. Methods

### 2.1. Model of SARS-CoV-2 entry and the efficacy of entry inhibitors targeting host proteases

*In vitro* experiments typically employ virions or pseudotyped viral particles bearing the spike protein, S, of a specific SARS-CoV-2 strain to assess its entry efficiency, determined by measuring the fraction of cells infected post virus exposure. We previously developed a mathematical model to analyse such experiments (Padmanabhan et al., 2020). We adapted the model here to compare the pathway usage of the Omicron variant relative to other variants and to predict the effect of entry inhibitors.

In culture, the expression levels of host proteases are expected to vary across cells and affect viral entry into the cells. Based our previous work (Padmanabhan et al., 2020; Padmanabhan and Dixit, 2011, 2012), we let the expression levels,  $n_t$  and  $n_c$ , of TMPRSS2 and Cathepsin B/L,



**Fig. 1.** Omicron variant entry efficiency relative to B.1 and Delta strains correlates with the relative usage of the TMPRSS2 and Cathepsin B/L pathways. (A) Schematic of the two independent entry pathways accessible to SARS-CoV-2. (B) The Omicron entry efficiency relative to either the B.1 (blue) or the Delta (red) variant across cell types. Data was taken from Hoffmann et al (2021). *Inset*, the relative usage of the entry pathways by the original strain. Data was taken from Padmanabhan et al. (2020). Panel A adapted from Padmanabhan et al. (2020).

respectively, follow the log-normal distributions given by

$$\psi_t(n_t) = \frac{1}{n_t \sigma_t \sqrt{2\pi}} e^{-\frac{(\ln n_t - \bar{n}_t)^2}{2\sigma_t^2}} \quad (1)$$

$$\psi_c(n_c) = \frac{1}{n_c \sigma_c \sqrt{2\pi}} e^{-\frac{(\ln n_c - \bar{n}_c)^2}{2\sigma_c^2}} \quad (2)$$

Here,  $\bar{n}_t$  and  $\bar{n}_c$  were the associated means, and  $\sigma_t$  and  $\sigma_c$  the standard deviations. Thus, at the start of infection, a fraction  $\phi_{tc} = \psi_t(n_t)\psi_c(n_c)\Delta n_t\Delta n_c$ , of the cells in culture would express proteases in the narrow range  $\Delta n_t$  and  $\Delta n_c$  around  $n_t$  and  $n_c$ , respectively. Recognizing that entry efficiency would increase with protease expression (Padmanabhan et al., 2020; Padmanabhan and Dixit, 2011, 2012), we defined the relative susceptibility of these latter cells to virus entry through the TMPRSS2 pathway,  $S_t$ , and the Cathepsin B/L pathway,  $S_c$ , as  $S_t = \frac{(n_t)^{h_t}}{(n_t^{50})^{h_t} + (n_t)^{h_t}}$  and  $S_c = \frac{(n_c)^{h_c}}{(n_c^{50})^{h_c} + (n_c)^{h_c}}$ , where  $h_t$  and  $h_c$  were Hill coefficients, and  $n_t^{50}$  and  $n_c^{50}$  were expression levels at which  $S_t = 0.5$  and  $S_c = 0.5$ , respectively. The overall susceptibility of the cells based on the independence of the two pathways was thus

$$S_{tc} = S_t + S_c - S_t S_c \quad (3)$$

We defined  $T_{tc}$  as the above subpopulation (or fraction) of cells and considered a total of  $M \times N$  such subpopulations, with  $t = 1, 2, \dots, M$  and  $c = 1, 2, \dots, N$ , defining the range of expression levels of the two proteases across cells. The following equations then described the ensuing viral dynamics:

$$\frac{dT_{tc}}{dt} = (\lambda - \mu)T_{tc} - kS_{tc}T_{tc}V; \quad t = 1, 2, \dots, M; \quad c = 1, 2, \dots, N \quad (4)$$

$$\frac{dI_{tc}}{dt} = kS_{tc}T_{tc}V - \delta I_{tc}; \quad t = 1, 2, \dots, M; \quad c = 1, 2, \dots, N \quad (5)$$

$$\frac{dV}{dt} = p \sum_{c=1}^N \sum_{t=1}^M I_{tc} - c_V V \quad (6)$$

Here, cells in the subpopulation  $T_{tc}$  proliferate and die with rate constants  $\lambda$  and  $\mu$ , respectively, and get infected by free virions,  $V$ , with the second order rate constant  $kS_{tc}$ .  $k$  is thus the rate constant of the infection of the subpopulation for which entry is not limited by the proteases, i.e., for cells with  $S_{tc} = 1$ . Infection produces corresponding infected cell subpopulations  $I_{tc}$ , which release free virions at the rate  $p$  per cell and die with a rate constant  $\delta$ . Virions are cleared with the rate constant  $c_V$ . With pseudotyped viruses, which are replication incompetent, we let  $p = 0$ .

In the presence of a TMPRSS2 inhibitor, a Cathepsin B/L inhibitor, or both, the susceptibilities were lowered to  $S_{tc}(D_T)$ ,  $S_{tc}(D_C)$ , and  $S_{tc}(D_T, D_C)$ , respectively, where  $D_T$  and  $D_C$  were the concentrations of the TMPRSS2 and Cathepsin B/L inhibitors. We describe the latter susceptibilities below.

**Effect of host protease inhibitors.** In the presence of a protease inhibitor targeting a specific protease, the drug would bind and block a fraction of the protease molecules from facilitating entry. With a TMPRSS2 inhibitor at concentration  $D_T$ , we described the abundance of free TMPRSS2, denoted  $n_t^f$ , using  $n_t^f = \frac{\gamma_T}{\gamma_T + D_T} n_t$ , where  $\gamma_T$  was the drug concentration that reduced the abundance by half. The expression for  $n_t^f$  could be derived mechanistically assuming reaction equilibrium of drug-protease binding and species balance constraints (Padmanabhan et al., 2020; Padmanabhan and Dixit, 2011). The susceptibility of cells  $T_{tc}$  to infection through the TMPRSS2 pathway thus reduced to  $S_t(D_T) = \frac{(n_t^f)^{h_t}}{(n_t^{50})^{h_t} + (n_t^f)^{h_t}}$ . In experiments (Hoffmann et al., 2020a), Cathepsin B/L inhibitors did not affect SARS-CoV-2 entry into Calu-3 cells, which predominantly allows entry through the TMPRSS2 pathway, whereas TMPRSS2 inhibitors did not inhibit SARS-CoV-2 entry in Vero cells,

which predominantly permits entry through the Cathepsin B/L pathway. We therefore assumed that the susceptibility through the Cathepsin B/L pathway was unaffected by a TMPRSS2 inhibitor. Similarly, in the presence of a Cathepsin B/L inhibitor at concentration  $D_C$ , we let the abundance of free Cathepsin B/L,  $n_c^f$ , follow  $n_c^f = \frac{\gamma_C}{\gamma_C + D_C} n_c$ , and the susceptibility through the Cathepsin B/L pathway be reduced to  $S_c(D_C) = \frac{(n_c^f)^{h_c}}{(n_c^{50})^{h_c} + (n_c^f)^{h_c}}$ . When both types of inhibitors are used simultaneously, the susceptibility was  $S_{tc}(D_T, D_C) = S_t(D_T) + S_c(D_C) - S_t(D_T)S_c(D_C)$ .

To assess whether the drugs exhibited synergy, which occurs when the combined effect of drugs is larger than the sum of their individual effects, we predicted the population of infected cells in the absence of drugs,  $I_{tc}$ , in the presence of a TMPRSS2 inhibitor,  $I_{tc}(D_T)$ , a Cathepsin B/L inhibitor,  $I_{tc}(D_C)$ , and both,  $I_{tc}(D_T, D_C)$ , at any given time following the start of infection. The total fractions of cells unaffected by the drugs individually and together were then given by  $f^u(D_T) = \frac{\sum_{c=1}^N \sum_{t=1}^M I_{tc}(D_T)}{\sum_{c=1}^N \sum_{t=1}^M I_{tc}}$ ,  $f^u(D_C) = \frac{\sum_{c=1}^N \sum_{t=1}^M I_{tc}(D_C)}{\sum_{c=1}^N \sum_{t=1}^M I_{tc}}$ , and  $f^u(D_T, D_C) = \frac{\sum_{c=1}^N \sum_{t=1}^M I_{tc}(D_T, D_C)}{\sum_{c=1}^N \sum_{t=1}^M I_{tc}}$ . Since the TMPRSS2 and Cathepsin B/L pathways operate independently, we expect the combined effect of the drugs to follow Bliss independence, where the expected fraction of cells unaffected by drugs can be computed using  $f_{Bliss}^u(D_T, D_C) = f^u(D_T)f^u(D_C)$ . The extent of Bliss synergy then followed as  $\beta_{Bliss} = f_{Bliss}^u(D_T, D_C) - f^u(D_T, D_C)$ , so that

$$\beta_{Bliss} = \frac{\sum_{c=1}^N \sum_{t=1}^M I_{tc}(D_T)}{\sum_{c=1}^N \sum_{t=1}^M I_{tc}} \times \frac{\sum_{c=1}^N \sum_{t=1}^M I_{tc}(D_C)}{\sum_{c=1}^N \sum_{t=1}^M I_{tc}} - \frac{\sum_{c=1}^N \sum_{t=1}^M I_{tc}(D_T, D_C)}{\sum_{c=1}^N \sum_{t=1}^M I_{tc}} \quad (7)$$

## 2.2. Mean-field model to compare entry efficiencies of variants

To quantify the relative efficiency of the usage of the Cathepsin B/L and TMPRSS2 pathways by the Omicron variant, we developed a mean-field version of the model above by considering ‘average’ susceptibilities of the cells to entry via the two pathways. We thus let target cells,  $T$ , be infected by pseudotyped virions,  $V$ , to produce infected cells,  $I$ , depending on the ‘mean’ expression levels of TMPRSS2 and Cathepsin B/L. The following equations then described the ensuing dynamics:

$$\frac{dT}{dt} = (\lambda - \mu)T - k\bar{S}_{tc}TV \quad (8)$$

$$\frac{dI}{dt} = k\bar{S}_{tc}TV - \delta I \quad (9)$$

$$\frac{dV}{dt} = pI - c_V V \quad (10)$$

Here, we defined the average susceptibility of the cell population to entry via the Cathepsin B/L pathway as  $\bar{S}_c$  and that via the TMPRSS2 pathway as  $\bar{S}_t$ . These susceptibilities increase with the expression levels of the respective proteases and saturate to unity when the levels are in excess. For a given cell type, a viral variant that has a higher  $\bar{S}_c$  (or  $\bar{S}_t$ ) would be more efficient at entry via the Cathepsin B/L (or TMPRSS2) pathway than a variant with a lower  $\bar{S}_c$  (or  $\bar{S}_t$ ). Assuming entry via the two pathways to be independent, the overall susceptibility of the population to entry can be written as  $\bar{S}_{tc} = \bar{S}_t + \bar{S}_c - \bar{S}_t\bar{S}_c$ . This susceptibility determined the rate of target cell infection relative to the maximal rate  $k$ . We let  $p = 0$  as described above.

**Data analysis using the mean-field model.** Experiments with pseudotyped virions typically last less than 24 h. It is reasonable to assume that cell proliferation and death do not affect the infection dynamics significantly during this period. Therefore, we ignored the proliferation and death terms in Eqs. (8)–(9), which allowed us to derive an analytical expression for the time evolution of the population of infected cells:  $I(t) = T_0 \left( 1 - e^{-\frac{k\bar{S}_{tc}V_0}{c_V}(e^{-c_V t} - 1)} \right)$ , where  $T_0$  and  $V_0$  are the

initial target cell density and viral concentration, respectively. Using this expression, we obtained the fraction of cells infected by the pseudotyped virions,  $\chi$ , at time  $t_d$  post-infection as

$$\chi = \frac{I(t_d)}{T_0} = (1 - e^{\vartheta S_c V_0}) \quad (11)$$

where  $\vartheta = \frac{k}{c_V} (e^{-c_V t_d} - 1)$  is a constant for a given  $t_d$ .

We fit this expression to measured data from recent assays and estimated the efficiency of the usage of the two pathways by the Omicron variant relative to the original or other strains.

**Model parameters.** We chose the target cell proliferation and death rate constants from growth kinetics studies of Vero cells (Jiang et al., 2019; Ursache et al., 2015), a commonly used cell line for studying SARS-CoV-2 infection *in vitro*. Based on the cell seeding density and the multiplicity of infection used in experimental studies (Hoffmann et al., 2020a), we fixed the initial target cells and initial viral titre to be  $1 \times 10^5$  cell ml<sup>-1</sup> and  $1 \times 10^4$  ffu ml<sup>-1</sup>, respectively, and the extent of inhibition was assessed at 24 h post-infection. The infected cell death rate and the virion clearance rate constants were chosen from SARS-CoV-2 infections dynamics studies (Gonçalves et al., 2020). The means of the protease levels were varied as mentioned in the figure legend. The standard deviation of the distribution of protease levels, the Hill Coefficients, and the levels at which the susceptibility of entry is 0.5 were assumed, based on other viruses where available (Padmanabhan and Dixit, 2015). We performed sensitivity analysis (Fig. S1) to test the robustness of our model predictions to the uncertainties in the current estimates of viral dynamics parameter values, given possible limitations on their identifiability (Korosec et al., 2023). The model parameter values and initial conditions are summarised in Table 1.

### 3. Results

#### 3.1. Correlation of entry efficiency with pathway usage

To test our hypothesis that the Omicron variant uses the entry pathways differently, we examined data from the experiments of Hoffmann et al (2021), who measured the extent of infection of cells *in vitro* by the Omicron variant (BA.1 sublineage) relative to other variants in different cell types. Interestingly, we found a correlation between the entry efficiency of the Omicron variant and the relative usage of the two entry pathways by the original strain (Fig. 1B). With cell lines (Calu-3 and Caco-2) where the usage of the TMPRSS2 entry pathway by the original strain was dominant, the Omicron pseudotyped virus entry was significantly less efficient than the B.1 and delta strains. By contrast, the Omicron virus entry was more efficient in cell lines (293T and Vero) where the Cathepsin B/L entry pathway usage by the original strain was dominant (Fig. 1B). This indicates that the Omicron variant entry is relatively less efficient through the TMPRSS2 pathway and more efficient via the Cathepsin B/L pathway than the other strains. Consistent with this notion, camostat mesylate, a TMPRSS2 inhibitor, was less potent against the Omicron variant than the Delta variant in blocking live virus infection of VeroE6 cells overexpressing TMPRSS2, which allows entry via both pathways (Zhao et al., 2021). Moreover, syncytium formation in a cell–cell fusion assay, which requires TMPRSS2 but not Cathepsins, was severely impaired for the Omicron variant compared to both the Delta (Meng et al., 2021; Zhao et al., 2021) and the Wuhan-Hu-1 D614G (Meng et al., 2021) strains. The increased efficiency of Cathepsin B/L usage together with the reduced efficiency of TMPRSS2 usage by the Omicron variant may explain its altered cell tropism relative to the original strain or other variants.

#### 3.2. Omicron variant entry with low Cathepsin B/L expression

We reasoned that the increased efficiency of Cathepsin B/L usage and reduced TMPRSS2 usage by the Omicron variant would imply that the

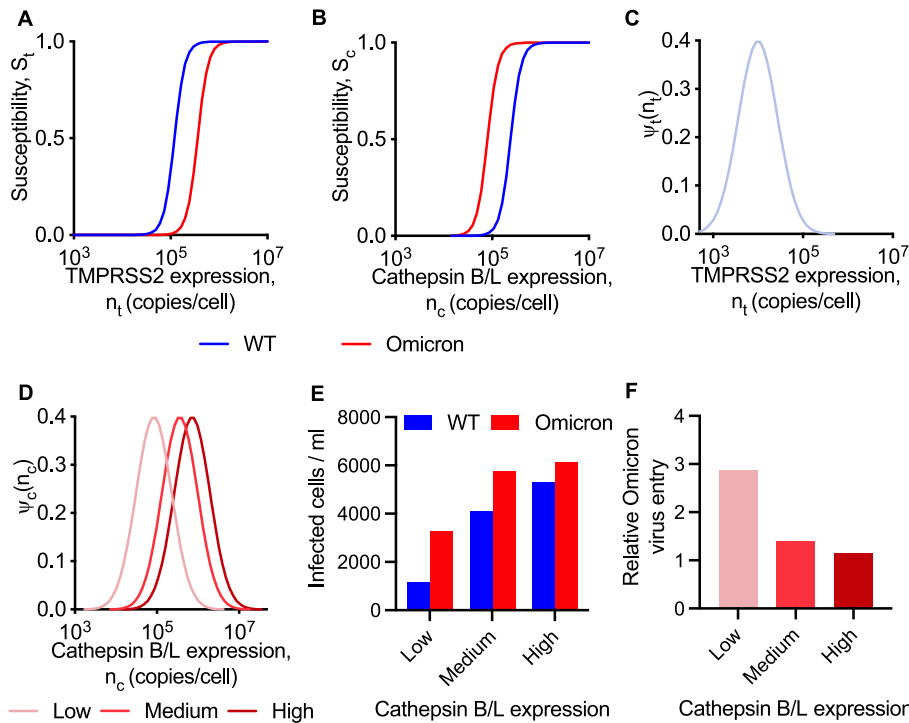
**Table 1**  
Model parameters and their values.

Parameter	Description	Values	Ref.
$\lambda$	Target cell proliferation rate constant	0.77 d <sup>-1</sup>	(Jiang et al., 2019)
$\mu$	Target cell death rate constant	0.22 d <sup>-1</sup>	(Ursache et al., 2015)
$\delta$	Infected cell death rate constant	0.53 d <sup>-1</sup>	(Gonçalves et al., 2020)
$c_V$	Virion clearance rate constant	10 d <sup>-1</sup>	(Gonçalves et al., 2020)
$k$	Infection rate constant of cells with excess proteases	$1 \times 10^{-4}$ ml ffu <sup>-1</sup> d <sup>-1</sup>	(Padmanabhan and Dixit, 2015)
$T(0)$	Initial target cells	$1 \times 10^5$ cell ml <sup>-1</sup>	(Padmanabhan and Dixit, 2015)
$V(0)$	Initial viral titre	$1 \times 10^4$ ffu ml <sup>-1</sup>	(Padmanabhan and Dixit, 2015)
$t_d$	Time of assessment	24 h	(Hoffmann et al., 2020a)
$h_t$	Hill coefficient	4	(Padmanabhan and Dixit, 2015)
$h_c$	Hill coefficient	4	(Padmanabhan and Dixit, 2015)
$\bar{n}_t$	Mean of TMPRSS2 distribution	6–18	Varied
$\bar{n}_c$	Mean of Cathepsin B/L distribution	6–18	Varied
$n_t^{50}$ (Original strain)	TMPRSS2 expression at which $S_t = 0.5$	$1.2 \times 10^5$ copies/cell	Assumed
$n_c^{50}$ (Original strain)	Cathepsin B/L expression at which $S_c = 0.5$	$2.4 \times 10^5$ copies/cell	Assumed
$n_t^{50}$ (Omicron variant)	TMPRSS2 expression at which $S_t = 0.5$	$3.6 \times 10^5$ copies/cell	Assumed
$n_c^{50}$ (Omicron variant)	Cathepsin B/L expression at which $S_c = 0.5$	$8 \times 10^4$ copies/cell	Assumed
$\sigma_t$	Standard deviation of TMPRSS2 distribution	1	(Padmanabhan and Dixit, 2015)
$\sigma_c$	Standard deviation of Cathepsin B/L distribution	1	(Padmanabhan and Dixit, 2015)

\*ffu stands for focus-forming units. We set  $p = 0$  for pseudoviruses.

variant would successfully enter cells that express low Cathepsin B/L levels, where the original strain might fail. To elucidate this, we performed calculations using our model (Eqs. (1)–(6)) by varying the expression level of Cathepsin B/L. We first set  $n_t^{50} = 3.6 \times 10^5$  copies/cell and  $n_c^{50} = 8 \times 10^4$  copies/cell for the Omicron variant and  $n_t^{50} = 1.2 \times 10^5$  copies/cell and  $n_c^{50} = 2.4 \times 10^5$  copies/cell for the original strain so that for given distributions of the TMPRSS2 and Cathepsin B/L expression levels, the susceptibility of cells to entry via the TMPRSS2 pathway was lower (Fig. 2A) and the Cathepsin B/L pathway was higher (Fig. 2B) for the Omicron variant than the original strain. Recall that  $n_t^{50}$  is the expression level at which entry efficiency via the TMPRSS2 pathway is half-maximal. Thus, the lower the  $n_t^{50}$ , the more efficient is the usage of the TMPRSS2 pathway. Analogous notions apply to the Cathepsin B/L pathway. We then considered three *in silico* cell lines with a constant TMPRSS2 distribution (Fig. 2C) but different Cathepsin B/L levels, the latter termed low, medium and high (Fig. 2D). For each of these cell lines, we predicted the distributions of cells infected by the original strain as well as by the Omicron variant (Fig. 2E) and compared the two (Fig. 2F). We found that when Cathepsin B/L expression was high, the advantage of the Omicron variant was undermined because of the abundance of Cathepsin B/L, so that the two strains had similar entry levels (Fig. 2F). As the expression level decreased, the Omicron variant was more successful at entry. With medium expression level, the Omicron variant had ~1.4-fold greater entry, and with low expression level, it had more than ~2.9-fold greater entry success than the original strain.



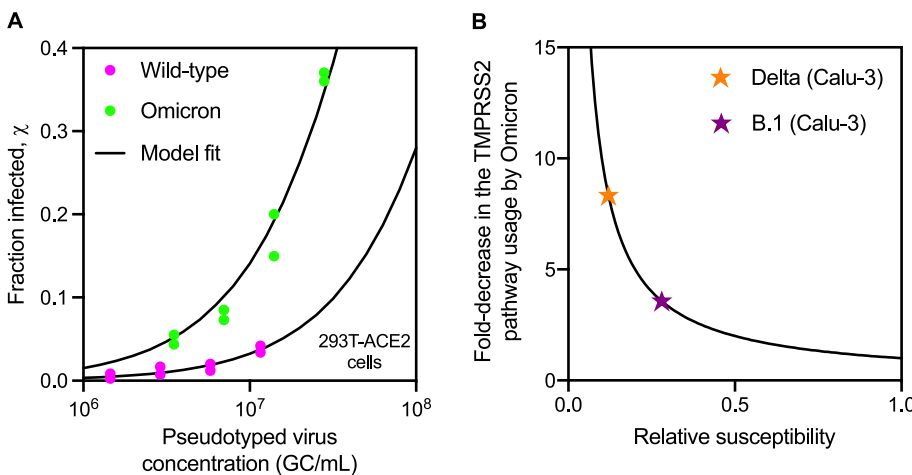


**Fig. 2.** The relative entry efficiency of the Omicron variant depends on the protease expression. (A, B) Dependence of susceptibility of infection on TMPRSS2 (A) and Cathepsin B/L (B) expression levels for the original strain (blue line) and Omicron variant (red line). (C) The log-normal distribution of TMPRSS2 across cells with the mean TMPRSS2 expression levels  $\bar{n}_t = 9.21$ . (D) The log-normal distribution of Cathepsin B/L across cells with low ( $\bar{n}_c=11.34$ ), medium ( $\bar{n}_c=12.79$ ) and high ( $\bar{n}_c=13.49$ ) mean Cathepsin B/L expression levels. (E, F) Cells infected by the wild-type (blue bar) and Omicron variant (red bar) (E) and the Omicron entry efficiency relative to the original strain (F) at different Cathepsin B/L expression levels shown in Fig. 2D and fixed TMPRSS2 expression level shown in Fig. 2C. The other parameters and initial conditions are listed in Table 1.

With these predictions further strengthening our hypothesis above, we asked whether we could apply our model to analyse available data to quantify the increased (decreased) entry efficiency of the Omicron variant via the Cathepsin B/L (TMPRSS2) pathway. Because the distributions of the protease levels across cells are not known, we employed a simplified, mean-field version of our model for this analysis (Methods).

### 3.3. Quantitative estimates of relative entry efficiency

We considered data from cell types where the original strain nearly exclusively entered via either the Cathepsin B/L or the TMPRSS2 pathways, so that we could estimate the relative efficiency of the usage of either pathway by the Omicron variant. We thus examined first data from Garcia-Beltran et al. (Garcia-Beltran et al., 2021), who measured the fraction of 293T-ACE2 cells infected by pseudotyped virus bearing the wild-type or the Omicron spike proteins at different viral concentrations,  $V_0$  (Fig. 3A). 293T-ACE2 cells predominantly permit original strain entry via the Cathepsin B/L pathway (Padmanabhan et al., 2020).



**Fig. 3.** Estimation of entry pathway usage by the Omicron variant. (A) Fits of model predictions (lines) to the experimental data (symbols) taken from Garcia-Beltran et al. (2021) measuring the fraction of cells infected by pseudotyped virus bearing either the wild-type or the Omicron spike proteins. Data was fit using the tool NLINFIT in MATLAB R2017b. (B) The fold-decrease in Omicron entry efficiency through the TMPRSS2 pathway as a function of relative susceptibility to entry. Symbols place experimental data of relative infection taken from Hoffmann et al (2021) on the relative susceptibility curve predicted (see text) so that the fold decrease can be read off. In A and B, experimental data was extracted using Engauge Digitizer 12.1.

Given the relatively poor efficiency of TMPRSS2 usage by the Omicron variant, we made the approximation  $\bar{S}_c \approx \bar{S}_i$  in Eqs. ((8)–(10)). Using the resulting expression (Eq. (11)), we fit predictions of  $\chi$  as a function of  $V_0$  to the corresponding experimental data (Garcia-Beltran et al., 2021) and estimated the composite parameter  $\vartheta \bar{S}_c$  for the wild-type (wt) and the Omicron variant. Our model provided good fits to the data (Fig. 3A). Taking ratios of the estimated composite parameter and recognizing that  $\vartheta$  is unlikely to be strain dependent, we obtained  $\bar{S}_c^{Omicron} / \bar{S}_c^{wt} \sim 4.6$ , indicating an  $\sim 4.6$ -fold increased efficiency of the usage of the Cathepsin B/L pathway by the Omicron variant in 293T-ACE2 cells relative to the original strain.

We next analysed the experiments of Hoffmann et al (2021) mentioned above (Fig. 1B). We focussed on Calu-3 cells, which appear to allow entry of the original strain nearly exclusively via TMPRSS2. Here, we therefore made the approximation  $\bar{S}_c \approx \bar{S}_i$  in Eqs. ((8)–(10)). Unlike the above dataset, measurements here were available at a single initial viral load. Further, measurements were available of the fractions of cells

infected by viruses pseudotyped with the Omicron variant relative to those with the B.1 or Delta variants. Note that B.1 has spike proteins identical to the original strain except for the D614G mutation. Because the overall infection of Calu-3 cells is small, Taylor series expansion of Eq. (11) yielded the approximation  $\chi \approx \partial S_t V_0$ . Accordingly, the susceptibility of cells to entry via the TMPRSS2 pathway of the Omicron variant relative to the B.1 strain would be given by the ratio of the fractions of cells infected in the two assays:  $\frac{S_t^{Omicron}}{S_t^{B.1}} = \chi^{Omicron} / \chi^{B.1}$ . Using the latter expression and the corresponding data yielded  $\frac{S_t^{Omicron}}{S_t^{B.1}} / \frac{S_t^{B.1}}{S_t^{Delta}} \sim 0.28$  and  $\frac{S_t^{Omicron}}{S_t^{Delta}} \sim 0.12$ . Thus, we estimated  $\sim 3.6$ -fold and  $\sim 8.3$ -fold decreased efficiency of the Omicron variant in using TMPRSS2 for entry in Calu-3 cells compared to the B.1 and Delta strains, respectively (Fig. 3B).

Together, thus, the Omicron variant appears to have evolved to use Cathepsin B/L more efficiently and TMPRSS2 less efficiently for virus entry than the original and other strains.

### 3.4. Efficacies of Cathepsin B/L and TMPRSS2 inhibitors in blocking Omicron variant entry

Drugs targeting the proteases Cathepsin B/L and TMPRSS2 offer promising routes to treat SARS-CoV-2 infection (Hashimoto et al., 2021; Hoffmann et al., 2020a; Hoffmann et al., 2020b; Kreutzberger et al., 2021; Ou et al., 2021). The improved efficiency of Cathepsin B/L usage and reduced efficiency of TMPRSS2 usage would imply that drugs targeting the former but not the latter would be preferred for treating infections with the Omicron variant. To examine this, we applied our model (Eqs. (1)–(6)) to predict the ability of the two classes of inhibitors to prevent viral entry. We considered an *in silico* cell line with log-normal distributions of the expression levels of TMPRSS2 and Cathepsin B/L (Fig. 4A) and strain-dependent susceptibility of cells to entry via the two pathways (Fig. 2A, B). In the presence of a TMPRSS2 inhibitor, our model predicted that entry of the original strain would decrease in a dose-dependent manner and saturate, for the parameters chosen, to  $\sim 45\%$  of that in the absence of the drug (Fig. 4B). The latter plateau represented the entry via the Cathepsin B/L pathway when entry via the TMPRSS2 pathway was fully blocked. Under the same conditions, entry of the Omicron variant saw hardly any decrease compared to that in the absence of the drug. This is because the Omicron variant entry proceeded only minimally via the TMPRSS2 pathway, leaving little room for the drug to act.

The use of a Cathepsin B/L inhibitor, however, had the opposite effect. The entry of the original strain showed hardly any reduction with increase in drug concentration (Fig. 4C). On the other hand, the entry of the Omicron variant decreased in a dose-dependent manner and

plateaued to a value of  $\sim 38\%$  of that in the absence of the drug.

It followed, thus, that Cathepsin B/L inhibitors would be more effective in blocking Omicron variant entry than TMPRSS2 inhibitors.

### 3.5. Synergy between Cathepsin B/L and TMPRSS2 inhibitors against both the original strain and Omicron variant

Previously, we predicted synergy between the two types of inhibitors in blocking virus entry (Padmanabhan and Dixit, 2015), which has since been observed in experiments with the original strain (Hoffmann et al., 2020a; Kreutzberger et al., 2021). Here, we applied our model to estimate the extent of synergy with the Omicron variant relative to the original strain (Eqs. (1)–(6)) (Fig. 5A–J). Given the substantial cell-type dependence in entry efficiency, we first considered two *in silico* cell types, one with relatively low (Fig. 5A) and the other with relatively high (Fig. 5E) mean TMPRSS2 expression levels. Both the cell types expressed moderate Cathepsin B/L levels (Fig. 5A, E). The TMPRSS2 and Cathepsin B/L inhibitors worked synergistically to block original strain and Omicron variant entry in a dose-dependent manner, albeit to different extents (Fig. 5B–D, F–H).

At low drug levels, Bliss synergy,  $\beta_{Bliss}$ , was minimal because the chances of the drugs acting together on the same cell were minimal (Fig. 5D, H). As the drug levels increased, the synergy increased and plateaued at a value that depended on the expression levels of the proteases and the viral strain (Fig. 5D, H). This effect could be understood by considering the relative usage of the two entry pathways. In our calculations, drug synergy was high when the relative preferences for the two pathways were similar, so that both pathways had to be blocked and drugs targeting both pathways would thus synergize. When usage of either of the two pathways dominated, the drug that blocked the dominant pathway alone had a role, leaving little room for synergy. For instance, when TMPRSS2 levels were low (Fig. 5A), the original strain used both pathways for entry (Fig. 5B), whereas the Omicron variant predominantly used the Cathepsin B/L pathway. Consequently, the maximum synergy achieved against the original strain ( $\beta_{Bliss} = 0.34$ ) was higher than the Omicron variant ( $\beta_{Bliss} = 0.07$ ) (Fig. 5B). In contrast, when TMPRSS2 levels were high (Fig. 5E), the original strain predominantly used the TMPRSS2 pathway, and the Omicron variant used both the pathways for entry. The synergy for the Omicron variant ( $\beta_{Bliss} = 0.54$ ) was now much higher than that for the original strain ( $\beta_{Bliss} = 0.38$ ) (Fig. 5H).

We next predicted synergy by varying either the mean expression levels of TMPRSS2 (Fig. 5I) or Cathepsin B/L (Fig. 5J). The synergy with the original strain and the Omicron variant showed a non-monotonic dependence on protease expression levels. No synergy was observed at low and high protease expression levels, when virus entry through one

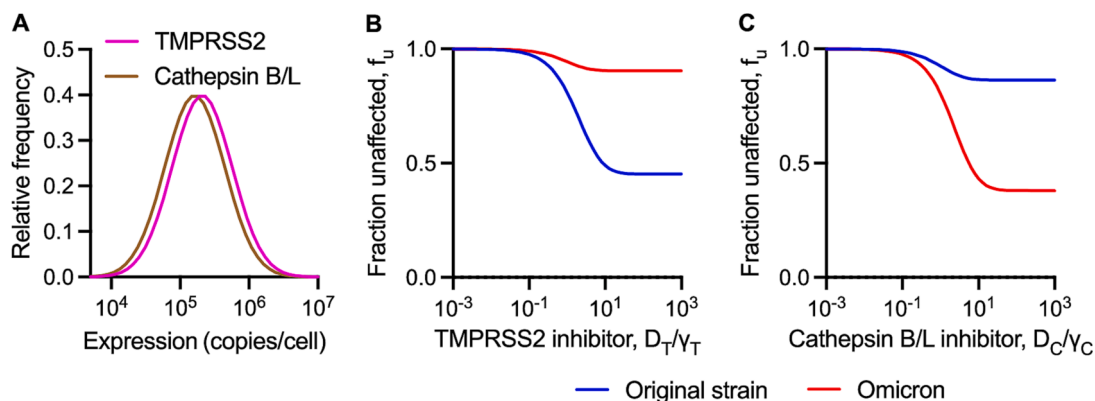
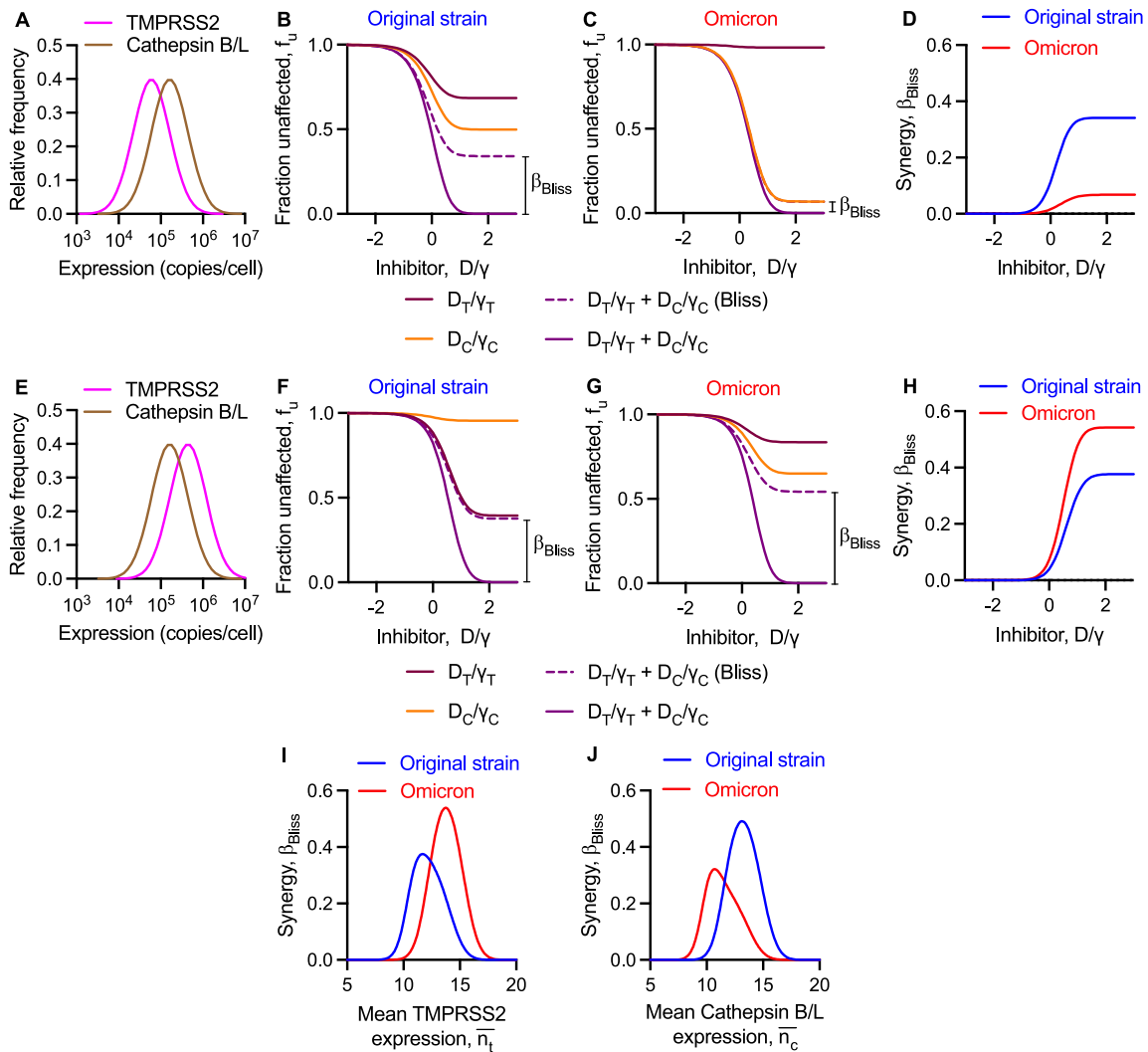


Fig. 4. Predictions of the efficacies of TMPRSS2 and Cathepsin B/L inhibitors against the wild-type and Omicron variant. (A) The log-normal distribution of TMPRSS2 and cathepsin B/L across cells. (B, C) The fraction of infection events caused by the original strain (blue line) and Omicron variant (red line) uninhibited by different concentrations of a TMPRSS2 inhibitor (B) and a Cathepsin B/L inhibitor (C). In A–C,  $\bar{n}_c = 12$  and  $\bar{n}_t = 12.25$ . The other parameters and initial conditions are listed in Table 1.



**Fig. 5.** Predictions of the effect of combination treatment targeting both TMPRSS2 and Cathepsin B/L pathways against the original strain and Omicron variant. (A-D) The log-normal distribution of TMPRSS2 and cathepsin B/L across cells (A) employed to predict the fraction of infection events caused by the original strain (B) and the Omicron variant (C) unaffected by Cathepsin B/L inhibitor, TMPRSS2 inhibitor or both and the Bliss synergy (D) over a range of drug concentrations. (E-H) The log-normal distribution of TMPRSS2 and cathepsin B/L across cells (E) employed to predict the fraction of infection events caused by the original strain (F) and the Omicron variant (G) unaffected by Cathepsin B/L inhibitor, TMPRSS2 inhibitor or both and the Bliss synergy (H) over a range of drug concentrations. In B, C, F, and G, the extent of Bliss synergy is marked. (I, J) The predicted Bliss synergy for varying TMPRSS2 expression and fixed mean ( $\bar{n}_c=12$ ) Cathepsin B/L expression and for varying Cathepsin B/L expression and fixed mean ( $\bar{n}_t=12$ ) TMPRSS2 expression at two different drug concentrations. In B-D and F-H,  $D_C/\gamma_C = D_T/\gamma_T = D/\gamma$ . In I,  $\bar{n}_c = 12$ . In J,  $\bar{n}_t = 12$ . In I-J,  $D_C/\gamma_C = D_T/\gamma_T = 10$ . The other parameters and initial conditions are listed in Table 1.

of the two pathways was dominant (Fig. 5I, J). Synergy peaked at intermediate protease expression levels when the usage of the two entry pathways was comparable (Fig. 5I, J). Because the Omicron variant uses the TMPRSS2 pathway less efficiently and the Cathepsin B/L pathway more efficiently than the original strain, synergy for Omicron peaked at a relatively higher TMPRSS2 (Fig. 5I) and lower Cathepsin B/L (Fig. 5J) expression levels than for the original strain. Drug levels that would yield optimal synergy would thus be different for the original strain and the Omicron variant.

In summary, our model predicts that drug combinations targeting TMPRSS2 and Cathepsin B/L inhibitors would synergistically block original strain and Omicron variant entry, albeit to different extents in a protease expression level-dependent manner.

#### 4. Discussion

Several studies have reported changes in cellular tropism and cell entry properties of the Omicron variant compared to the original SARS-

CoV-2 strain and other variant (Garcia-Beltran et al., 2021; Hoffmann et al., 2021; Meng et al., 2021; Peacock et al., 2022; Willett et al., 2022; Zhao et al., 2021). Quantifying the changes in the preference of the Omicron variant for different entry routes may help evaluate the efficacies of potential entry inhibitors and optimise entry inhibitor-based treatments (Gunst et al., 2021; Zhuravel et al., 2021). Mathematical models of SARS-CoV-2 kinetics have provided valuable insights into COVID-19 disease progression, drug action, and the effectiveness of vaccines and treatments (Amidei and Dobrovoly, 2022; Chatterjee et al., 2022; Desikan et al., 2021; Garg et al., 2021; Gonçalves et al., 2020; Goyal et al., 2020; Ke et al., 2021; Kissler et al., 2021; Néant et al., 2021; Padmanabhan et al., 2022; Perelson and Ke, 2021). Here, adapting a previously developed mathematical model of SARS-CoV-2 entry (Padmanabhan et al., 2020) to the analysis of *in vitro* data on variants (Garcia-Beltran et al., 2021; Hoffmann et al., 2021), we quantified the altered usage of host proteases required for entry by the Omicron variant relative to the original strain and the Delta variant. We then applied the model to examine the influence of the latter on the efficacies and

synergy of drugs targeting the host proteases mediating entry.

Our analysis shows that the Omicron variant appears to have evolved to use Cathepsin B/L more efficiently and TMPRSS2 less efficiently than the original strain and the Delta variant for cell entry. Specifically, we estimated >4-fold enhanced efficiency in the usage of the Cathepsin B/L pathway and >3-fold reduced efficiency in the usage of the TMPRSS2 pathway by the Omicron variant in the cell lines examined (Fig. 3). The alteration in the preference of the Omicron variant for entry pathways may have clinical implications. Drugs targeting TMPRSS2, such as camostat mesylate (Hoffmann et al., 2020a), and the Cathepsin B/L pathway, such as CA-074 methyl ester (Hashimoto et al., 2021), are in development. The efficacy of TMPRSS2 inhibitors is likely to decrease and that of Cathepsin B/L inhibitors is likely to increase against the Omicron strain. Our model predictions corroborated this expectation. Available experimental data too are consistent with this expectation. Camostat mesylate, a TMPRSS2 inhibitor, worked poorly against the Omicron variant compared to the Delta variant in blocking infection *in vitro* (Zhao et al., 2021). Future studies may test whether Cathepsin B/L inhibitors would work better against the Omicron variant than the original and Delta strains. The mechanistic origins of the altered entry pathway usage by the Omicron variant remain poorly elucidated. Although molecular dynamics simulations can offer insights (Aggarwal et al., 2021; Ray et al., 2021; Zimmerman et al., 2021), the large number of mutations in the spike protein of the Omicron variant render the mechanisms difficult to unravel.

In a previous study, we predicted synergy between TMPRSS2 and Cathepsin B/L inhibitors against original SARS-CoV-2 infection (Padmanabhan et al., 2020). This synergy has since been observed experimentally (Hoffmann et al., 2020a; Kreutzberger et al., 2021). Here, we predicted that the drugs would also exhibit synergy against the Omicron variant. The extent of synergy and the drug concentrations at which the synergy would be maximum, however, are likely to be different for the Omicron variant compared to the other strains. The difference is expected because of the different entry pathway usages involved. Our formalism offers a route to identify the optimal drug concentrations using the distributions of the expression levels of the proteases and the dependent susceptibilities of cells to entry via the two pathways as inputs. While such inputs have been available for other viruses such as HIV-1 and hepatitis C virus and enabled quantitative model predictions (Brandenberg et al., 2015; Koizumi et al., 2017; Magnus et al., 2009; Mulampaka and Dixit, 2011; Padmanabhan and Dixit, 2011, 2015, 2017; Padmanabhan et al., 2014; Sen et al., 2019; Venugopal et al., 2018), they are currently not available for SARS-CoV-2. Consequently, our findings of drug efficacies and synergy, although robust, remain qualitative.

Since late 2021, more than a hundred Omicron sublineages, including BA.1.1, BA.2, BA.2.3, BA.2.75, BA.2.9, BA.2.12.1, BA.3, BA.4, and BA.5, have emerged (Xia et al., 2022). These sublineages have evolved to exhibit different transmissibility, virulence, and immune evasion (Xia et al., 2022). Whether they also have different preferences for entry routes is not fully understood. Our study has focussed on the BA.1 sublineage. Our model presents a framework to analyse the emerging *in vitro* data and quantify the changes in the entry pathways used by the other Omicron sublineages.

Implications of our findings also follow for our understanding of the cell tropism of the Omicron variant. Because of its preferred and more efficient usage of the Cathepsin B/L pathway, it is likely to preferentially infect cells expressing high levels of Cathepsin B/L. It would be interesting to test whether such cells are present in greater abundance in the upper respiratory tract, facilitating greater transmission of the Omicron variant than other pre-Omicron variants. Similarly, future studies may test whether the reduced usage of TMPRSS2 results in less severe disease compared to the other variants.

## CRedit authorship contribution statement

**Pranesh Padmanabhan:** Conceptualization, Methodology, Formal analysis, Investigation, Writing – original draft, Writing – review & editing. **Narendra M. Dixit:** Investigation, Conceptualization, Methodology, Writing – original draft, Writing – review & editing.

## Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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## Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.jtbi.2023.111568>.

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