

Contents lists available at ScienceDirect

Journal of Algebra

journal homepage: www.elsevier.com/locate/jalgebra

On symmetric closed subsets of real affine root systems



ALGEBRA

Dipnit Biswas, Irfan Habib¹, R. Venkatesh^{*,2}

Department of Mathematics, Indian Institute of Science, Bangalore 560012, India

A R T I C L E I N F O

Article history: Received 17 October 2022 Available online 30 March 2023 Communicated by Volodymyr Mazorchuk

Keywords: Root systems Affine Kac-Moody algebras Closed subsets

ABSTRACT

Any symmetric closed subset of a finite crystallographic root system must be a closed subroot system. This is not, in general, true for real affine root systems. In this paper, we determine when this is true and also give a very explicit description of symmetric closed subsets of real affine root systems. At the end, using our results, we study the correspondence between symmetric closed subsets of real affine root systems and the regular subalgebras generated by them.

@ 2023 Elsevier Inc. All rights reserved.

1. Introduction

One of the main motivations to study closed subsets of a given finite crystallographic root system is that they are closely related to the regular subalgebras of the corresponding semi-simple Lie algebra (see [14,11]). They also appear in various other contexts. For example, they appear in

* Corresponding author.

E-mail addresses: dipnitbiswas@iisc.ac.in (D. Biswas), irfanhabib@iisc.ac.in (I. Habib), rvenkat@iisc.ac.in (R. Venkatesh).

¹ I.H. was partially supported by DST/INSPIRE/03/2019/000172.

 $^{^{2}\,}$ R.V. was partially supported by the Infosys Young Investigator Award grant.

- the classification of maximal closed connected subgroups of maximal rank of a connected compact Lie group [2]
- the theory of abelian/ad-nilpotent ideals of Borel subalgebras [22,8]
- the theory Chevalley groups [17]
- the classification of reflection subgroups of finite and affine Weyl groups [12].

Various special classes of closed subsets of a finite root system were classified by many authors. For example,

- A. Borel and J. De Siebenthal classified maximal closed subroot systems of finite root systems in [2]
- E. B. Dynkin came up with an algorithm to classify all subroot systems (upto the Weyl group conjugacy) of a finite root system in [14]
- Z. I. Borevič used topological methods in [3] to determine all closed subsets of root systems of type $A_n, 1 \le n \le 8$, and this work was subsequently taken forward by others, see for e.g. [5]
- the invertible closed subsets were classified in [10]
- recently, A. Douglas and W.A. de Graaf have given an algorithm for classifying all closed subsets of a finite root system, up to conjugation by the associated Weyl group, in [11].

But the problem of classifying closed subsets of (real) affine root systems is wide open. Again some very particular classes were studied in this setting. The invertible closed subsets of affine root systems were classified in [9] and the parabolic subsets were classified in [16]. Anna Felikson et al. started the classification of regular subalgebras of affine Kac-Moody algebras (which are related to the closed subroot systems of real affine root systems) in [15] and it was completed in [21], see also [20]. The classification of the reflection subgroups of finite and affine Weyl groups has been achieved in [12] by classifying subroot systems of finite and real affine root systems. It is well-known that the classification of all subroot systems may be deduced from that of the closed subroot systems in the finite setting, see [6]. A combinatorial description of biclosed sets of real affine root systems has been given very recently in [1].

In this paper, we are mainly interested in the closed subsets of real affine root systems, as they are closely related to the Cartan invariant subalgebras of the corresponding affine Kac-Moody algebras and this will be discussed elsewhere. Suppose Ψ is a closed subset of a real affine root system Φ , i.e., $\alpha, \beta \in \Psi$ and $\alpha + \beta \in \Phi$ implies that $\alpha + \beta \in \Psi$, then it is easy to see that Ψ can be written as a union of its symmetric part $\Psi^r = \Psi \cap -\Psi$ and special part $\Psi^s = \Psi \setminus \Psi^r$. Both symmetric and special parts of Ψ are closed in Φ . So the classification of closed subsets of Φ reduces to the classification of symmetric and special closed subsets of Φ . In general the problem of classifying special closed subsets is very hard, even in the finite case, and it will be discussed elsewhere. We only focus on symmetric closed subsets of real affine root systems here. The main motivation for this work comes from the following two assertions about the finite root system:

- each symmetric closed subset of a finite root system must be a closed subroot system and
- there is a one to one correspondence between closed subsets of a finite root system and the Cartan invariant subalgebras of corresponding semi-simple Lie algebra (see [11, Proposition 4.1] for the precise statement).

Both of these statements are not true in general for real affine root systems and this naturally motivates us to ask the following questions:

- (1) When a given symmetric closed subset of a real affine root system is a closed subroot system?
- (2) Is it possible to classify all symmetric closed subsets of a real affine root system?
- (3) Consider the map $\Psi \mapsto \mathfrak{g}(\Psi)$ where Ψ is a symmetric closed subset of a real affine root system and $\mathfrak{g}(\Psi)$ is the subalgebra generated by \mathfrak{g}_{α} , $\alpha \in \Psi$. This map is not injective for any affine Kac-Moody algebras (see Section 6). Is it possible to determine the preimage of $\mathfrak{g}(\Psi)$?

We will address all these questions in this paper. The paper is organized as follows: we recall the definitions and set up all the notations in Section 2. The symmetric closed subsets of real affine root systems whose gradient is closed are studied in Section 3, and the symmetric closed subsets of real affine root systems whose gradient is semi-closed are studied in Section 4. The correspondence between symmetric closed subsets of real affine root systems and regular subalgebras of corresponding affine Lie algebras is discussed in Section 6. We summarize all our results at the end, in Section 7.

2. Preliminaries

Throughout this paper, we denote by \mathbb{C} (resp. \mathbb{R}), the field of complex numbers (resp. real numbers) and by \mathbb{Z} (resp. \mathbb{Z}_+), the set of integers (resp. non-negative integers).

2.1. Let E be an Euclidean space over \mathbb{R} endowed with a positive definite symmetric bilinear form (\cdot, \cdot) . A finite (crystallographic) root system $\mathring{\Phi}$ is a finite subset of E satisfying the following properties (see [4, Chapter VI] or [18, Section 9.2]):

$$0 \notin \mathring{\Phi}, \quad \operatorname{Span}_{\mathbb{R}} \mathring{\Phi} = E, \quad s_{\alpha}(\mathring{\Phi}) = \mathring{\Phi}, \quad \forall \alpha \in \mathring{\Phi}, \quad (\beta, \alpha^{\vee}) \in \mathbb{Z}, \quad \forall \alpha, \beta \in \mathring{\Phi},$$

where $\alpha^{\vee} := 2\alpha/(\alpha, \alpha)$ and s_{α} is the reflection acting on E defined by $s_{\alpha}(x) = x - (x, \alpha^{\vee})\alpha$, $x \in E$. For the rest of this paper, let $\mathring{\Phi}$ be a finite root system in E. In addition, if $\mathring{\Phi}$ satisfies $\mathbb{R}\alpha \cap \mathring{\Phi} = \{\pm \alpha\}$ for $\alpha \in \mathring{\Phi}$, then we call $\mathring{\Phi}$ reduced. Moreover,

we call any subset $\Psi \subseteq \mathring{\Phi}$ irreducible whenever $\Psi = \Psi' \cup \Psi''$ with $(\Psi', \Psi'') = 0$ implies $\Psi' = \emptyset$ or $\Psi'' = \emptyset$. A subset $\Psi \subseteq \mathring{\Phi}$ is said to be a subroot system of $\mathring{\Phi}$ if $s_{\alpha}(\beta) \in \Psi$ for all $\alpha, \beta \in \Psi$. Any root system can be written as a direct sum of irreducible subroot systems (see [4, Chapter VI]) and the reduced irreducible root systems were classified in terms of their Dynkin diagrams (see [18, Theorem 11.4]). They are the classical types A_n $(n \geq 1), B_n$ $(n \geq 2), C_n$ $(n \geq 3), D_n$ $(n \geq 4)$ and the exceptional types $E_{6,7,8}, F_4$ and G_2 . For a direct construction of these root systems we refer to [18, Section 12.1]. Moreover, there is only one non-reduced irreducible root system of rank n, namely

$$BC_n = B_n \cup C_n = \{\pm \epsilon_i : 1 \le i \le n\} \cup \{\pm \epsilon_i \pm \epsilon_j : 1 \le i \ne j \le n\} \cup \{\pm 2\epsilon_i : 1 \le i \le n\},$$

where $\epsilon_1, \ldots, \epsilon_n$ denotes an orthonormal basis of E with respect to (\cdot, \cdot) . Recall that a subset $\mathring{\Psi}$ of $\mathring{\Phi}$ is said to be symmetric if $\mathring{\Psi} = -\mathring{\Psi}$, and it is called closed in $\mathring{\Phi}$ if $\alpha, \beta \in \mathring{\Psi}$ and $\alpha + \beta \in \mathring{\Phi}$ implies $\alpha + \beta \in \mathring{\Psi}$. We record the following simple and important fact on finite root systems, and we include a proof for the reader's convenience.

Lemma 1. Let $\mathring{\Psi}$ be a symmetric closed subset of $\mathring{\Phi}$, then $\mathring{\Psi}$ is a closed subroot system of $\mathring{\Phi}$.

Proof. Let $\alpha, \beta \in \mathring{\Psi}$. Suppose $(\beta, \alpha^{\vee}) = 0$, then $s_{\alpha}(\beta) = \beta \in \mathring{\Psi}$. So assume that $(\beta, \alpha^{\vee}) \neq 0$. If $(\beta, \alpha^{\vee}) < 0$, then $\beta, \beta + \alpha, \ldots, \beta + (-(\beta, \alpha^{\vee}))\alpha$ are elements of $\mathring{\Phi}$ by [18, Proposition 8.4, Page 89]. Since $\mathring{\Psi}$ is closed, we have $\beta, \beta + \alpha, \ldots, \beta + (-(\beta, \alpha^{\vee}))\alpha \in \mathring{\Psi}$ which implies $s_{\alpha}(\beta) = \beta - (\beta, \alpha^{\vee})\alpha \in \mathring{\Psi}$. Since $\mathring{\Psi}$ is symmetric, the case $(\beta, \alpha^{\vee}) > 0$ can be done similarly. \Box

2.2. The Weyl group W of a finite root system $\mathring{\Phi}$ is defined to be the subgroup of GL(E) generated by $s_{\alpha}, \alpha \in \mathring{\Phi}$. At most two root lengths occur in any reduced irreducible finite root system $\mathring{\Phi}$ and all roots of a given length are conjugate under the Weyl group W of $\mathring{\Phi}$ (see for example [18, Section 10.4]). We denote the set of short roots (resp. long roots) by $\mathring{\Phi}_s$ (resp. $\mathring{\Phi}_{\ell}$) and if there is only one root length then we say that every root is short by convention. If $\mathring{\Phi}$ is non-reduced irreducible finite root system, we define:

$$\mathring{\Phi}_s = \{\pm \epsilon_i, \ 1 \le i \le n\}, \quad \mathring{\Phi}_\ell = \{\pm \epsilon_i \pm \epsilon_j : 1 \le i \ne j \le n\}, \quad \mathring{\Phi}_d = \{\pm 2\epsilon_i, \ 1 \le i \le n\}.$$

Note that $\mathring{\Phi}_d = \{ \alpha \in \mathring{\Phi} : \alpha/2 \in \mathring{\Phi} \}$ is the set of divisible roots in BC_n and define the non-divisible roots of BC_n by $\mathring{\Phi}_{nd} = \mathring{\Phi} \setminus \mathring{\Phi}_d$. Further, set by $\mathring{\Pi}$ a base of $\mathring{\Phi}$ and set

$$m_{\mathring{\Phi}} = \begin{cases} 1, & \mathring{\Phi} \text{ is of type } A_n, D_n \text{ or } E_n \\ 2, & \mathring{\Phi} \text{ is of type } B_n, C_n \text{ or } F_4 \\ 3, & \mathring{\Phi} \text{ is of type } G_2 \end{cases}$$

We often use m instead of $m_{\hat{\Phi}}$ if the underlying $\hat{\Phi}$ is understood. We end with the following fact (see [7, Proposition 8.17]).

Lemma 2. Let $\mathring{\Phi}$ be a finite irreducible root system. Let $\beta \in \mathring{\Phi}$ and write $\beta = \sum_{\alpha \in \mathring{\Pi}} k_{\alpha} \alpha$. Then β is a long root if and only if m divides k_{α} for each short root $\alpha \in \mathring{\Pi}$. \Box

2.3. Let $\mathring{\Phi}$ be an irreducible reduced finite root system. Let $\mathring{\mathfrak{g}}$ be a finite-dimensional semi-simple Lie algebra over \mathbb{C} and $\mathring{\mathfrak{h}}$ a Cartan subalgebra of $\mathring{\mathfrak{g}}$ such that the root system corresponding to the pair $(\mathring{\mathfrak{g}}, \mathring{\mathfrak{h}})$ is $\mathring{\Phi}$. Let σ be a Dynkin diagram automorphism of $\mathring{\mathfrak{h}}$ with respect to $\mathring{\Phi}$ and denote m by the order of σ . We know that $m \in \{1, 2, 3\}$. Let ξ be a primitive m-th root of unity. We have

$$\mathring{\mathfrak{g}} = \bigoplus_{j \in \mathbb{Z}/m\mathbb{Z}} \mathfrak{g}_j, \ \mathfrak{g}_j = \{ x \in \mathfrak{g} : \sigma(x) = \xi^j x \}.$$

It is known that \mathfrak{g}_0 is again a finite-dimensional simple Lie algebra over \mathbb{C} with a Cartan subalgebra $\mathfrak{h}_0 = \mathring{\mathfrak{h}} \cap \mathfrak{g}_0$. Moreover, \mathfrak{g}_j is a \mathfrak{g}_0 -module and we denote the set of non-zero weights of \mathfrak{g}_j with respect to \mathfrak{h}_0 by $\mathring{\Phi}_j$. Then we have

$$\mathring{\Phi} := \mathring{\Phi}_0 \cup \cdots \cup \mathring{\Phi}_{m-1}.$$

The types of $\mathring{\Phi}, \mathring{\Phi}_0, \ldots, \mathring{\Phi}_{m-1}$ can be extracted from [19, Section 7.8, 7.9, 8.3]. The corresponding affine Kac–Moody algebra $\mathfrak{g} = \widehat{\mathcal{L}}(\mathring{\mathfrak{g}}, \sigma)$ is defined by

$$\mathfrak{g} = \mathcal{L}(\mathring{\mathfrak{g}}, \sigma) \oplus \mathbb{C}c \oplus \mathbb{C}d, \quad \mathcal{L}(\mathring{\mathfrak{g}}, \sigma) = \bigoplus_{j \in \mathbb{Z}/m\mathbb{Z}} \mathfrak{g}_j \otimes \mathbb{C}[t^{\pm m}]t^j,$$

where $\mathcal{L}(\mathfrak{g}, \sigma)$ is called the loop algebra, $\mathcal{L}(\mathfrak{g}, \sigma) \oplus \mathbb{C}c$ is the universal central extension of the loop algebra and $d = t\frac{d}{dt}$ is the degree derivation. For more details we refer the reader to [19, Section 7,8]. The set of roots of \mathfrak{g} with respect to the Cartan subalgebra $\mathfrak{h} = \mathfrak{h}_0 \oplus \mathbb{C}c \oplus \mathbb{C}d$ is exactly $\Delta \setminus \{0\}$, where

$$\Delta := \{ \alpha + r\delta : \alpha \in \check{\Phi}_j \cup \{0\}, \ r \in j + m\mathbb{Z}, \ 0 \le j < m \}.$$

Denote Φ (resp. Φ^{im}) by the set of real (resp. imaginary) roots of \mathfrak{g} . Then we have

$$\Phi = \bigcup_{\alpha \in \mathring{\Phi}} (\alpha + \Lambda_{\alpha}), \ \Phi^{\mathrm{im}} = \mathbb{Z}\delta,$$

where $\Lambda_{\alpha} = \Lambda_{\beta}$ if α and β have same length and common $\Lambda_s, \Lambda_\ell, \Lambda_d$ can be found in the following table for each case.

(\mathfrak{g},m)	$\mathring{\Phi}_0$	$\mathring{\Phi}_1$	$\mathring{\Phi}_2$	$\mathring{\Phi}$	Λ_s	Λ_ℓ	Λ_d
$(\mathfrak{g}(\mathring{\Phi}), 1)$	$\mathring{\Phi}$	/	/	$\mathring{\Phi}$	$\mathbb Z$	\mathbb{Z}	/
$(A_{2n}, 2)$	B_n	$\mathring{\Phi}_0 \cup \{\pm 2\epsilon_i : 1 \le i \le n\}$	/	BC_n	$\frac{1}{2} + \mathbb{Z}$	\mathbb{Z}	$2\mathbb{Z}$
$(A_{2n-1},2)$	C_n	$(C_n)_s$	/	C_n	\mathbb{Z}	$2\mathbb{Z}$	/
$(D_{n+1},2)$	B_n	$(B_n)_s$	/	B_n	\mathbb{Z}	$2\mathbb{Z}$	/
$(E_6, 2)$	F_4	$(F_4)_s$	/	F_4	\mathbb{Z}	$2\mathbb{Z}$	/
$(D_4, 3)$	G_2	$(G_2)_s$	$(G_2)_s$	G_2	\mathbb{Z}	$3\mathbb{Z}$	/

We end this section with the following definitions.

Definition 1. Let Ψ be a subset of Δ (resp. Φ). Set $\Psi_{re} := \Psi \cap \Phi$ and $\Psi_{im} := \{r \in \mathbb{Z} : r\delta \in \Psi\} \cup \{0\}.$

- (1) We say Ψ is symmetric if $\Psi = -\Psi$, where $-\Psi = \{-\alpha : \alpha \in \Psi\}$.
- (2) We say Ψ is real closed or closed in Φ if
 - (a) Ψ is a non-empty subset of Φ
 - (b) if $\alpha, \beta \in \Psi$ such that $\alpha + \beta \in \Phi$, then we have $\alpha + \beta \in \Psi$.

(3) We say Ψ is a subroot system if for any $\alpha \in \Psi_{re}$, $\beta \in \Psi$ we have $s_{\alpha}(\beta) \in \Psi$.

2.4. Let Φ be a real irreducible affine root system as in Section 2.3 and let Ψ be a closed subset of Φ . The symmetric part of Ψ defined to be $\Psi^r := \{\alpha \in \Psi \mid -\alpha \in \Psi\}$ and the special part of Ψ defined to be $\Psi^s := \{\alpha \in \Psi \mid -\alpha \notin \Psi\}$. It is clear that Ψ^r is a symmetric closed subset of Φ and Ψ^s is a closed subset of Φ , and we have

$$\Psi = \Psi^r \sqcup \Psi^s.$$

The gradient of Ψ is $Gr(\Psi) := \{ \alpha \in \mathring{\Phi} \mid \alpha + k\delta \in \Psi \text{ for some } k \in \mathbb{Z} \}$. For given $\alpha \in Gr(\Psi)$, we define $Z_{\alpha}(\Psi) := \{ k \in \mathbb{Z} \mid \alpha + k\delta \in \Psi \}$. We will simply use Z_{α} for $Z_{\alpha}(\Psi)$ if the dependence of the underlying Ψ is understood. Clearly we have

$$\Psi = \bigcup_{\alpha \in Gr(\Psi)} \{ \alpha + k\delta \mid k \in Z_{\alpha} \}.$$

For a given $(p_{\alpha})_{\alpha \in Gr(\Psi)}$, where $p_{\alpha} \in Z_{\alpha}$, define $Z'_{\alpha} := Z_{\alpha} - p_{\alpha}$ for $\alpha \in Gr(\Psi)$. We often make specific choices of $(p_{\alpha})_{\alpha \in Gr(\Psi)}$ and make sure that the map $\alpha \mapsto p_{\alpha}$ gives us a \mathbb{Z} -linear function from $p : Gr(\Psi) \to \mathbb{Z}$. For example, we have (see [13, Lemma 13] and [21, Lemma 2.1.1]):

Lemma 3. Let Ψ be a symmetric closed subset of Φ . Suppose $Gr(\Psi)$ is a closed, reduced subroot system with a base B and assume that we have

$$Z_{\alpha} + Z_{\beta} \subseteq Z_{\alpha+\beta} \quad \text{for all} \quad (\alpha, \beta, \alpha+\beta) \in Gr(\Psi)^{\times 3}.$$

$$(2.1)$$

Choose $p_{\alpha} \in Z_{\alpha}$ arbitrarily for $\alpha \in B$ and extend the map $\alpha \mapsto p_{\alpha}, \alpha \in B$ to $Gr(\Psi)$ \mathbb{Z} -linearly. Then we have $p_{\alpha} \in Z_{\alpha}$, for all $\alpha \in Gr(\Psi)$.

Proof. Define a \mathbb{Z} -linear function $p: Gr(\Psi) \to \mathbb{Z}$ extending $\alpha \mapsto p_{\alpha}, \alpha \in B$. We claim that $p_{\alpha} \in Z_{\alpha}$ for all $\alpha \in Gr(\Psi)$. Since $p_{-\alpha} = -p_{\alpha}$ and $Z_{-\alpha} = -Z_{\alpha}$, it is enough to prove that $p_{\alpha} \in Z_{\alpha}$ for all positive roots $\alpha \in Gr(\Psi)$. Let $\alpha \in Gr(\Psi)$ be a positive root, then we can write $\alpha = \alpha_1 + \cdots + \alpha_r$ with each partial sum $\alpha_1 + \cdots + \alpha_i$ is again a root in $Gr(\Psi)$ for $1 \leq i \leq r$. Since Ψ is closed and using the condition (2.1), we have $\alpha_1 + \cdots + \alpha_i + (p_{\alpha_1} + \cdots + p_{\alpha_i})\delta \in \Psi$ for $1 \leq i \leq r$. In particular, we have $p_{\alpha} = p_{\alpha_1} + \cdots + p_{\alpha_r} \in Z_{\alpha}$. This completes the proof. \Box

2.5. We collect here some basic facts in this subsection. Let Ψ be a subset of Φ and let

$$\Psi = \Psi_1 \sqcup \cdots \sqcup \Psi_r \tag{2.2}$$

be the decomposition of Ψ into irreducible subsets. Then we have

$$Gr(\Psi) = Gr(\Psi_1) \sqcup \cdots \sqcup Gr(\Psi_r)$$
(2.3)

is the decomposition of $Gr(\Psi)$ into irreducible subsets.

Proposition 1. Let Ψ be a subset of Φ .

- (1) Suppose Ψ is symmetric, then each Ψ_i in (2.2) and each $Gr(\Psi_i)$ in (2.3) are symmetric.
- (2) Suppose Ψ is closed in Φ , then each Ψ_i in (2.2) is closed in Φ . In addition if $Gr(\Psi)$ is closed in $\mathring{\Phi}$, then each $Gr(\Psi_i)$ in (2.3) is closed in $\mathring{\Phi}$.
- (3) Suppose Ψ is symmetric closed in Φ . Then $\alpha + \beta \notin \Phi$ if $\alpha \in \Psi_i$ and $\beta \in \Psi_j$ for $i \neq j$.
- (4) Assume that $Gr(\Psi)$ is closed in Φ . Then
 - (a) Ψ is symmetric closed in Φ if and only if each Ψ_i is symmetric closed in Φ .
 - (b) Ψ is a closed subroot system of Φ if and only if each Ψ_i is a closed subroot system of Φ .

Proof. We only prove the statement 4(a) as all other statements are easy to check. The forward direction follows from (3). For the converse part, we assume that each Ψ_i is symmetric closed in Φ . Since $Gr(\Psi)$ is symmetric closed in $\mathring{\Phi}$, it must be a closed subroot system. Hence each $Gr(\Psi_i)$ is a closed subroot system of $\mathring{\Phi}$ and it has a base say B_i . Then $B := \bigcup_i B_i$ is a base for $Gr(\Psi)$. Let $\alpha + r\delta \in \Psi_i$ and $\beta + s\delta \in \Psi_i$ with $i \neq j$. If $\alpha + \beta + (r+s)\delta \in \Phi$, then $\alpha + \beta \in \mathring{\Phi}$. This implies $\alpha + \beta \in Gr(\Psi)$ as $Gr(\Psi)$ is closed, which is impossible because $\alpha \in Gr(\Psi_i)$ and $\beta \in Gr(\Psi_j)$, and a sum of the roots from different orthogonal components is not a root, see [7, Proposition 16.21]. This completes the proof. \Box

Remark 1. The fourth statement in Proposition 1 is false in general if we drop the condition $Gr(\Psi)$ is closed. For example, let us consider Φ be of type $D_{n+1}^{(2)}$, $n \ge 2$. Set $I_n = \{1, 2, \ldots, n\}$. For $I \subseteq I_n$, let

$$\Psi_I := \{ \pm \epsilon_k + (2\mathbb{Z} + 1)\delta : k \in I \} \cup \{ \pm (\epsilon_k \pm \epsilon_\ell) + 2\mathbb{Z}\delta : k \neq \ell \in I \}.$$

It is clear that Ψ_I is a symmetric closed subset of Φ for each I. Now take $\Psi = \Psi_I \cup \Psi_J$ where I, J form a partition of I_n , then Ψ is not a closed subset of Φ .

2.6. Given $S \subseteq \Phi$, we define $\mathfrak{g}(S)$ by the subalgebra of \mathfrak{g} generated by $\mathfrak{g}_{\alpha}, \alpha \in S$. Let $\Delta(S)$ be the set of roots of $\mathfrak{g}(S)$. We end this section with the following proposition.

Proposition 2. Let Ψ be a symmetric subset of Φ . Then $\Delta(\Psi) \cap \Phi$ is a minimal closed subroot system of Φ containing Ψ .

Proof. By [21, Lemma 11.1.2, Page 1301], it is enough to prove that $\Delta(\Psi) = -\Delta(\Psi)$. Suppose $\beta \in \Delta(\Psi)$, then there exists $\beta_1, \ldots, \beta_r \in \Psi$ such that $\beta = \beta_1 + \cdots + \beta_r$. Since the Chevalley involution [19, Chapter 1, Page 7] of \mathfrak{g} takes \mathfrak{g}_{α} to $\mathfrak{g}_{-\alpha}$ for all $\alpha \in \Delta$, we have

$$[\mathfrak{g}_{\alpha_1},\cdots[\mathfrak{g}_{\alpha_{r-1}},\mathfrak{g}_{\alpha_r}]]\neq 0 \iff [\mathfrak{g}_{-\alpha_1},\cdots[\mathfrak{g}_{-\alpha_{r-1}},\mathfrak{g}_{-\alpha_r}]\neq 0.$$

Since Ψ is symmetric, we have $-\beta_1, \ldots, -\beta_r \in \Psi$ and $0 \neq [\mathfrak{g}_{-\beta_1}, \cdots [\mathfrak{g}_{-\beta_{r-1}}, \mathfrak{g}_{-\beta_r}]] \subseteq \mathfrak{g}(\Psi)$. This implies $-\beta \in \Delta(\Psi)$. Hence we have $\Delta(\Psi) = -\Delta(\Psi)$.

Suppose $\Psi \subseteq S$ is a closed subroot system of Φ . Then we have $\mathfrak{g}(\Psi) \subseteq \mathfrak{g}(S)$ and the real root of $\mathfrak{g}(S)$ is equal to S by [21, Corollary 11.1.5, Page 1304]. Since the real roots of $\mathfrak{g}(\Psi)$ are also real roots of $\mathfrak{g}(S)$, we must have $\Delta(\Psi) \cap \Phi \subseteq S$. \Box

Corollary 1. Let Ψ be a symmetric closed subset of Φ . Then $\Delta(\Psi) \cap \Phi = \Psi$ if and only if Ψ is a closed subroot system of Φ .

3. Symmetric closed subsets of affine root systems

In this section, we fix a real affine root system Φ which is not of type $A_{2n}^{(2)}$. We need the following notation: for $k \in \mathbb{Z}_+$, denote by $\pi_k : \mathbb{Z} \to \mathbb{Z}/k\mathbb{Z}$ the quotient map $x \to x \pmod{k}$. Recall that m is the lacing number associated with $\mathring{\Phi}$.

3.1. We define a semi-closed subset of finite root systems as in [21, Definition 4.4.1].

Definition 2. A symmetric subset $\mathring{\Psi}$ of $\mathring{\Phi}$ is called a semi-closed subset of $\mathring{\Phi}$ if

- (1) $\mathring{\Psi}$ is not closed in $\mathring{\Phi}$.
- (2) If $\alpha, \beta \in \mathring{\Psi}$ such that $\alpha + \beta \in \mathring{\Phi} \setminus \mathring{\Psi}$, then $(\alpha, \beta, \alpha + \beta)$ is of type (s, s, ℓ) .

We need the following simple lemma, see [21, Proposition 4.1.2].

Lemma 4. Let Φ be a real twisted affine root system not of type $A_{2n}^{(2)}$. Let $\Psi \leq \Phi$ be a closed subset. Then $Gr(\Psi)$ is either closed or semi-closed subset of $\mathring{\Phi}$. \Box

3.2. We now consider the case when $Gr(\Psi)$ is a closed subroot system of Φ . The following proposition will be used as a primary tool to prove our main theorem in most of the cases.

Proposition 3. Let Φ be a real affine root system not of type $A_{2n}^{(2)}$. Let Ψ be a symmetric closed subset of Φ such that $Gr(\Psi)$ is closed in $\mathring{\Phi}$ and no irreducible component of $Gr(\Psi)$ is of type A_1 . Suppose that there is a \mathbb{Z} -linear function $p : Gr(\Psi) \to \mathbb{Z}$, $\alpha \mapsto p_{\alpha}$, such that $p_{\alpha} \in Z_{\alpha}$, and $|\pi_m(Z_{\alpha})| = 1$, for all $\alpha \in Gr(\Psi)$ Then we have

- (1) $Z'_{\alpha} = Z'_{\beta} = Z'_{\alpha+\beta} := A \text{ for } (\alpha, \beta, \alpha+\beta) \in Gr(\Psi)^{\times 3} \text{ and } A \text{ is a subgroup of } m\mathbb{Z}.$
- (2) For any $\alpha, \beta \in \Psi$, we have $s_{\alpha}(\beta) \in \Psi$. In particular, Ψ is a closed subroot system of Φ .

Proof. Recall that $Z'_{\alpha} = Z_{\alpha} - p_{\alpha}$ for $\alpha \in Gr(\Psi)$. Let $(\alpha, \beta, \alpha + \beta) \in Gr(\Psi)^{\times 3}$. We claim that

$$Z_{\alpha} + Z_{\beta} \subseteq Z_{\alpha+\beta}.$$

Let $r \in Z_{\alpha}$ and $s \in Z_{\beta}$. If $\alpha + \beta$ is short or Φ is untwisted then the result is immediate. So assume that $\alpha + \beta$ is long. Then we have $p_{\alpha+\beta} \equiv 0 \pmod{m}$. Since $r \equiv p_{\alpha} \pmod{m}$ and $s \equiv p_{\beta} \pmod{m}$ and the map p is \mathbb{Z} -linear we have $r+s \equiv 0 \pmod{m}$. This implies $\alpha + \beta + (r+s)\delta \in \Phi$. Since Ψ is closed, it follows that $\alpha + \beta + (r+s)\delta \in \Psi$ and so $r + s \in Z_{\alpha+\beta}$. Hence $Z_{\alpha} + Z_{\beta} \subseteq Z_{\alpha+\beta}$. Now using the linearity of p, we get

$$Z'_{\alpha} + Z'_{\beta} \subseteq Z'_{\alpha+\beta} \tag{3.1}$$

for all $(\alpha, \beta, \alpha + \beta) \in Gr(\Psi)^{\times 3}$. Since (3.1) is true for all tuples $(\alpha, \beta, \alpha + \beta)$ such that $\alpha, \beta, \alpha + \beta \in Gr(\Psi)$. We have

$$Z'_{\alpha} + Z'_{\beta} \subseteq Z'_{\alpha+\beta}, \ \ Z'_{\alpha+\beta} + Z'_{-\alpha} \subseteq Z'_{\beta}, \ \text{and} \ \ Z'_{\alpha+\beta} + Z'_{-\beta} \subseteq Z'_{\alpha}.$$

This implies $A = Z'_{\alpha} = Z'_{\beta} = Z'_{\alpha+\beta}$. It is easy to see that $Z'_{-\mu} = -Z'_{\mu}$ for all $\mu \in Gr(\Psi)$. Thus $A \subseteq m\mathbb{Z}$ satisfies A = -A and $A + A \subseteq A$, so it must be a subgroup of $m\mathbb{Z}$.

To prove (2), let $\alpha, \beta \in \Psi$. Write $\alpha = \alpha' + s\delta$, $\beta = \beta' + r\delta$. We claim that $s_{\alpha}(\beta) = \beta - (\beta, \alpha^{\vee})\alpha \in \Psi$. Suppose $\beta' \neq \pm \alpha'$, then using "unbroken string property" of Δ we get $s_{\alpha}(\beta) \in \Psi$ as Ψ is closed and any root of the form $\beta + p\alpha, p \in \mathbb{Z}$, must be real. Now assume that $\beta' = \pm \alpha'$. Since no irreducible component of $Gr(\Psi)$ is of type A_1 , there is a $\gamma' \in Gr(\Psi)$ such that $\alpha' + \gamma' \in Gr(\Psi)$. Applying the Part (1) for the triple $(\alpha', \gamma', \alpha' + \gamma')$, we get that $Z'_{\alpha'}$ is a subgroup of $m\mathbb{Z}$. We have

$$s_{\alpha'+s\delta}(\pm \alpha'+r\delta) = \mp \alpha' + (r \mp 2s)\delta$$

Since $s \in Z_{\alpha'}$, $r \in Z_{\pm \alpha'}$, there are $z_1, z_2 \in Z'_{\alpha'}$ such that $s = z_1 + p_{\alpha'}$ and $r = z_2 + p_{\pm \alpha'}$. Now we have $r \mp 2s = z_2 \pm p_{\alpha'} \mp 2(z_1 + p_{\alpha'}) = (z_2 \mp 2z_1) \mp p_{\alpha'} \in Z'_{\alpha'} + p_{\mp \alpha'}$ as $Z'_{\alpha'}$ is a group. Hence $s_{\alpha}(\beta) \in \Psi$ and this completes the proof. \Box

3.3. When $Gr(\Psi)$ is closed in $\mathring{\Phi}$, using Proposition 1 4(a), we see that to classify a symmetric closed subsets of Φ we only need to classify irreducible symmetric closed subsets of Φ . So without loss of any generality we assume that Ψ is irreducible symmetric and closed in Φ in what follows.

Proposition 4. Let Φ be a real affine root system not of type $A_{2n}^{(2)}$. Let Ψ be an irreducible symmetric closed subset of Φ such that $Gr(\Psi)$ is closed in $\mathring{\Phi}$ and it is not of type A_1 . If there exists a short root β such that $|\pi_m(Z_\beta)| = 1$, then there exists $n \in \mathbb{Z}_+$ and a \mathbb{Z} -linear function $p: Gr(\Psi) \to \mathbb{Z}, \alpha \mapsto p_\alpha$, with $p_\alpha \in Z_\alpha$, for all $\alpha \in Gr(\Psi)$ such that

$$\Psi = \{ \alpha + (p_{\alpha} + n\mathbb{Z})\delta : \alpha \in Gr(\Psi) \}$$

In particular Ψ is a closed subroot system of Φ .

Proof. Note that $Gr(\Psi)$ must be a closed subroot system of $\mathring{\Phi}$. Fix a short simple root $\beta \in Gr(\Psi)$ such that $|\pi_m(Z_\beta)| = 1$. We first show that $|\pi_m(Z_\alpha)| = 1$ for all simple roots α . We prove this by induction on k where $\beta = \alpha_0, \dots, \alpha_k = \alpha$ is the unique path from β to α . Set $\gamma_j = \sum_{i=0}^j \alpha_i$ for $0 \le j \le k$, and note that $\gamma_j \in Gr(\Psi)$, for all $0 \le j \le k$. We claim that $|\pi_m(Z_{\alpha_j})| = 1$ and $|\pi_m(Z_{\gamma_j})| = 1$, for all $0 \le j \le k$. For k = 1, we have α is adjacent to β and $\alpha + \beta \in Gr(\Psi)$. Consider $Z_{\alpha+\beta} + Z_{-\alpha} \subseteq Z_{\beta}$ which is always true as β is short. This gives $Z_{\alpha+\beta}$ and $Z_{-\alpha}$ must contain only one congruence class modulo m. Since $Z_{-\alpha} = -Z_{\alpha}$, we have $|\pi_m(Z_{\gamma})| = 1$ for $\gamma = \alpha, \alpha + \beta$. For general k, we must have γ_{k-1} is short by Lemma 2 since β is short. Since $\gamma_k = \gamma_{k-1} + \alpha$, we have $Z_{\gamma_k} + Z_{-\alpha} \subseteq Z_{\gamma_{k-1}}$. By induction hypothesis, we have $|\pi_m(Z_{\gamma_{k-1}})| = 1$, and this implies the desired claim.

We shall now show that $|\pi_m(Z_\alpha)| = 1$ for every positive root α . We proceed by induction on $ht(\alpha)$. If α is long or $ht(\alpha) = 1$, then there is nothing to prove. Assume

that $\operatorname{ht}(\alpha) > 1$, then we can write $\alpha = \gamma + \alpha_k$ where $\gamma \in \mathring{\Phi}^+$ and α_k is a simple root. If α_k is short, we have $Z_{\gamma} + Z_{-\alpha} \subseteq Z_{-\alpha_k}$ and this immediately implies that $|\pi_m(Z_{\alpha})| = 1$. If α_k is long, then γ is short and we have $Z_{\alpha} + Z_{-\alpha_k} \subseteq Z_{\gamma}$. By induction hypothesis, we have $|\pi_m(Z_{\gamma})| = 1$, hence we get $|\pi_m(Z_{\alpha})| = 1$. We now check the condition (2.1) is satisfied. Since Ψ is closed, the only non-trivial case is when Φ is twisted and $(\alpha_1, \alpha_2, \alpha_1 + \alpha_2) \in Gr(\Psi)^{\times 3}$ is of type (s, s, ℓ) . Since $Z_{\alpha_1+\alpha_2} + Z_{-\alpha_1} \subseteq Z_{\alpha_2}$ holds, we must have $r + s \equiv 0 \pmod{m}$ for all $r \in Z_{\alpha_1}$ and $s \in Z_{\alpha_2}$. This implies $Z_{\alpha_1} + Z_{\alpha_2} \subseteq Z_{\alpha_1+\alpha_2}$. Now Proposition 3 completes the proof. \Box

Remark 2. The assumptions on the $Gr(\Psi)$ in Proposition 3 and 4 are very sharp, i.e., the conclusions of Proposition 3 and 4 are not valid when one of the components of $Gr(\Psi)$ is of type A_1 . For example, we can take

$$\Psi = \{\alpha + \delta, -\alpha + \delta, -\alpha - \delta, \alpha - \delta\}$$

in $A_1^{(1)}$, it is symmetric closed but not subroot system. Take $\alpha_1 = \alpha + \delta$, $\beta_1 = -\alpha + \delta$, then $s_{\alpha_1}(\beta_1) = \alpha + 3\delta \notin \Psi$. Note that $Z_{\alpha}(\Psi) = \{\pm 1\}$ which is far from being a coset.

3.3.1. Now we assume that there is a short root $\beta \in Gr(\Psi)$ such that $|\pi_m(Z_\beta)| > 1$. In this case, we have to deal with the case $Gr(\Psi)$ is of type B_2 separately. First we assume that $Gr(\Psi)$ is not of type B_2 , then we have:

Proposition 5. Let Φ , Ψ , $Gr(\Psi)$ be as before in Proposition 4. Further assume that $Gr(\Psi)$ is not of type B_2 . Suppose that there exists a short root $\beta \in Gr(\Psi)$ such that $|\pi_m(Z_\beta)| > 1$, then we have,

$$\Psi = \{ \alpha + (p_{\alpha} + n_s \mathbb{Z})\delta : \alpha \in Gr(\Psi)_s \} \cup \{ \gamma + (p_{\gamma} + mn_s \mathbb{Z})\delta : \gamma \in Gr(\Psi)_\ell \}$$
(3.2)

for some $n_s \in \mathbb{Z}_+$. In particular Ψ is a closed subroot system.

Proof. Clearly Φ is twisted and we have $|\pi_m(Z_\alpha)| > 1$ for all short roots $\alpha \in \Pi$ by the proof of Proposition 4. Let $Z_{\gamma,0} = Z_\gamma \cap m\mathbb{Z}$, for $\gamma \in Gr(\Psi)$ and note that $Z_{\gamma,0} = Z_\gamma$ for long roots $\gamma \in Gr(\Psi)$. Define Ψ_0 as follows

$$\Psi_0 := \{\gamma + Z_{\gamma,0}\delta : \gamma \in Gr(\Psi)_s\} \cup \{\gamma + Z_{\gamma,0}\delta : \gamma \in Gr(\Psi)_\ell\}$$

If m = 2, clearly $Z_{\gamma,0} \neq \emptyset$ for all $\gamma \in Gr(\Psi)$. If m = 3, then $\Phi = D_4^{(3)}$. Since $Gr(\Psi)$ is not of type A_1 and it is irreducible, we must have $Gr(\Psi) = \mathring{\Phi}$ (which is of type G_2). If $\{\alpha_1, \alpha_2\}$ is a basis of $\mathring{\Phi}$ with α_1 is short, then the short roots of $\mathring{\Phi}$ are $\alpha_1, \alpha_2 + \alpha_1$ and $\alpha_2 + 2\alpha_1$. Since $Z_{\alpha_2} \subseteq m\mathbb{Z}$ and $Z_{\alpha_2} + Z_{\alpha_1} \subseteq Z_{\alpha_2 + \alpha_1}$, we have

$$\pi_m(Z_{\alpha_1}) \subseteq \pi_m(Z_{\alpha_2+\alpha_1}).$$

Let $x, y \in \pi_m(Z_{\alpha_1})$ such that $x \neq y$. Since $Z_{\alpha_2+\alpha_1} + Z_{\alpha_1} \subseteq Z_{\alpha_2+2\alpha_1}$, we have $2x, x + y, 2y \in \pi_m(Z_{\alpha_2+2\alpha_1})$. Since $x \neq y$, we have $|\pi_m(Z_{\alpha_2+2\alpha_1})| = 3$. Now since $Z_{\alpha_2+2\alpha_1} + Z_{-\alpha_1} \subseteq Z_{\alpha_2+\alpha_1}$, we have $|\pi_m(Z_{\alpha_2+\alpha_1})| = 3$. Again since $Z_{\alpha_2+\alpha_1} + Z_{-\alpha_2} \subseteq Z_{\alpha_1}$, we must have $|\pi_m(Z_{\alpha_1})| = 3$. This proves that $Z_{\alpha,0} \neq \emptyset$ for $\alpha \in \mathring{\Phi}_s$. Hence $Z_{\gamma,0} \neq \emptyset$ for all $\gamma \in Gr(\Psi)$.

Clearly the condition (2.1) is satisfied for Ψ_0 . Hence we have a \mathbb{Z} -linear function $p: Gr(\Psi_0) \to \mathbb{Z}$ with $p_{\gamma} \in Z_{\gamma,0}$ for all $\gamma \in Gr(\Psi_0) = Gr(\Psi)$. All the necessary conditions of Proposition 3 are satisfied for Ψ_0 . Since $Gr(\Psi)$ is irreducible, there exists $n_{\ell} \in m\mathbb{Z}$ such that $Z'_{\gamma,0} = n_{\ell}\mathbb{Z}$ for all $\gamma \in Gr(\Psi)$. In particular, we have $Z'_{\gamma} = n_{\ell}\mathbb{Z}$ for all long roots $\gamma \in Gr(\Psi)$.

Now let $Gr(\Psi)$ be of type B_n with $n \geq 2$, in particular m = 2. We claim that Z'_{α} is a union on cosets modulo $n_{\ell}\mathbb{Z}$ for all $\alpha \in Gr(\Psi)_s$. Let $\alpha \in Gr(\Psi)$ be a short root. Since $Gr(\Psi)$ is irreducible, there exists a long root γ such that $(\alpha, \gamma) \neq 0$. Without loss of generality let $(\alpha, \gamma) < 0$. Since Ψ is closed, we have the following relations

$$Z_{\gamma} + Z_{\alpha} \subseteq Z_{\alpha+\gamma}, \ Z_{\alpha+\gamma} + Z_{-\gamma} \subseteq Z_{\alpha}.$$

Since p is \mathbb{Z} -linear, we have

$$Z'_{\gamma} + Z'_{\alpha} \subseteq Z'_{\alpha+\gamma}, \quad Z'_{\alpha+\gamma} + Z'_{-\gamma} \subseteq Z'_{\alpha}.$$

Since $Z'_{\gamma} = n_{\ell}\mathbb{Z}$, the above relations show that $Z'_{\alpha} = Z'_{\alpha+\gamma}$ and $Z'_{\alpha} + n_{\ell}\mathbb{Z} \subseteq Z'_{\alpha}$. Thus we have Z'_{α} is a union of cosets modulo $n_{\ell}\mathbb{Z}$ for all short roots $\alpha \in Gr(\Psi)$. Now if α and γ are short roots such that $\alpha + \gamma$ is long, then we have

$$(Z'_{\alpha} + Z'_{\gamma}) \cap m\mathbb{Z} \subseteq Z'_{\alpha+\gamma}, \quad Z'_{\alpha+\gamma} + Z'_{-\gamma} \subseteq Z'_{\alpha}$$

$$(3.3)$$

Fix a short root α and write $Z'_{\alpha} = \bigcup_i (a^i_{\alpha} + n_{\ell}\mathbb{Z})$ with $0 \leq a^i_{\alpha} \neq a^j_{\alpha} < n_{\ell}$, $i \neq j$. Since $Gr(\Psi)$ is of type B_n $(n \geq 2)$, there is a short root γ such that $\alpha + \gamma$ is long. Equations in (3.3) imply that $n_{\ell}\mathbb{Z} \subseteq Z'_{\alpha}$ and hence $a^k_{\alpha} = 0$ for some k and $2 \nmid a^j_{\alpha}$ for all $j \neq k$. Since $|\pi_m(Z_{\alpha})| > 1$, there exists i such that a^i_{α} is odd. Let a^r_{γ} be one such odd coset for γ . If $a^i_{\alpha}, a^j_{\alpha}$ are both odd, then by equation (3.3), we get that $a^i_{\alpha} + a^r_{\gamma} \equiv$ $0 \pmod{n_{\ell}}$ and $a^j_{\alpha} + a^r_{\gamma} \equiv 0 \pmod{n_{\ell}}$. Hence it follows that $a^i_{\alpha} = a^j_{\alpha}$ and Z'_{α} is a union of exactly two cosets $n_{\ell}\mathbb{Z} \cup (a_{\alpha} + n_{\ell}\mathbb{Z})$ with a_{α} odd. Similarly $Z'_{\gamma} = n_{\ell}\mathbb{Z} \cup (a_{\gamma} + n_{\ell}\mathbb{Z})$. Furthermore $a_{\alpha} + a_{\gamma} \equiv 0 \pmod{n_{\ell}}$. Till this point the argument is valid for B_2 as well. Now assume that $n \geq 3$. Let β_1, β_2 be short roots. Then there is a short root β_3 such that $\beta_i + \beta_j$ is a long root for $1 \leq i \neq j \leq 3$. Applying equation (3.3) for the triples $(\beta_1, \beta_2, \beta_1 + \beta_2), (\beta_2, \beta_3, \beta_2 + \beta_3), (\beta_1, \beta_3, \beta_1 + \beta_3)$ successively we get that

$$a_{\beta_1} + a_{\beta_2} \equiv 0 \pmod{n_\ell}, \quad a_{\beta_2} + a_{\beta_3} \equiv 0 \pmod{n_\ell}, \quad a_{\beta_1} + a_{\beta_3} \equiv 0 \pmod{n_\ell}$$

Hence we have $a_{\beta_1} = a_{\beta_2} = a_{\beta_3} = n_\ell/2$. This proves that $Z'_{\beta_1} = Z'_{\beta_2} = n_\ell \mathbb{Z} \cup (n_\ell/2 + n_\ell \mathbb{Z}) = \frac{n_\ell}{2} \mathbb{Z}$. Hence if $Gr(\Psi)$ is of type $B_n (n \ge 3)$, then Ψ is of the form (3.2).

Now let $Gr(\Psi)$ be not of type B_n . Let $\Psi' = \bigcup_{\alpha \in \mathring{\Phi}_s} \{ \alpha + r\delta \in \Psi : r \in \mathbb{Z} \}$. Since $Gr(\Psi)_s = Gr(\Psi')$, we have Ψ' is symmetric closed subset of $\mathring{\Phi}_s^{(1)}$. Note that $Z_\alpha(\Psi) = Z_\alpha(\Psi')$ for all $\alpha \in Gr(\Psi)_s$. Since $Gr(\Psi)_s$ is irreducible, by Proposition 3, there exists $n_s \in \mathbb{Z}_+$ such that $Z'_\alpha(\Psi) = Z'_\gamma(\Psi) = n_s\mathbb{Z}$ for all $\alpha, \gamma \in Gr(\Psi)_s$. Note that given $\alpha \in Gr(\Psi)_s$, there exists a $\gamma \in Gr(\Psi)_s$ such that $\alpha + \gamma \in Gr(\Psi)_\ell$. Applying equation (3.3), we get that $n_\ell\mathbb{Z} \subseteq n_s\mathbb{Z}$ and $mn_s\mathbb{Z} = n_s\mathbb{Z} \cap m\mathbb{Z} \subseteq n_\ell\mathbb{Z}$. We have $n_s \mid n_\ell$ and $n_\ell \mid mn_s$. Let $n_\ell = rn_s$. Then $r \mid m$. Since m is prime, either r = 1 or r = m. If r = 1, then $n_\ell = n_s$, and since $m \mid n_\ell$, we have that $|\pi_m(Z_\alpha)| = 1$ for all short roots α which is a contradiction. Hence r = m and consequently, Ψ is of the form (3.2). This completes the proof. \Box

Proposition 6. Let Φ , Ψ , $Gr(\Psi)$ as before in Proposition 4. Assume that there exists a short root $\beta \in Gr(\Psi)$ such that $|\pi_m(Z_\beta)| > 1$. Suppose $Gr(\Psi)$ is of type B_2 , then we have $\Psi = \Psi^+ \cup (-\Psi^+)$ where Ψ^+ is of the form

$$\Psi^+ = \{\epsilon_i + (p_{\epsilon_i} + A_i)\delta : i = 1, 2\} \cup \{\alpha + (p_\alpha + n_\ell \mathbb{Z})\delta : \alpha \in \Phi_\ell^+\}$$
(3.4)

where

- (1) $p: Gr(\Psi) \to \mathbb{Z}$ is a \mathbb{Z} -linear function such that $p_{\epsilon_i} \in 2\mathbb{Z}$ for i = 1, 2,
- (2) $A_i = n_\ell \mathbb{Z} \cup (a_i + n_\ell \mathbb{Z}), n_\ell \in 2\mathbb{Z}_+, 0 \le a_1, a_2 < n_\ell \text{ such that } a_1 + a_2 \equiv 0 \pmod{n_\ell}$ and both a_1, a_2 are odd.

Moreover, we have Ψ is a closed subroot system if and only if $a_1 = a_2 = n_\ell/2$. In this case Ψ is of the form

$$\Psi = \{ \alpha + (p_{\alpha} + n_s \mathbb{Z})\delta : \alpha \in Gr(\Psi)_s \} \cup \{ \beta + (p_{\beta} + mn_s \mathbb{Z})\delta : \beta \in Gr(\Psi)_\ell \}$$
(3.5)

Proof. From the proof of Proposition 5, we have that Ψ^+ is of the form (3.4). If $a_1 \equiv a_2 \pmod{n_\ell}$, then along with $a_1 + a_2 \equiv 0 \pmod{n_\ell}$, we get that $a_1 = a_2 = n_\ell/2$. Hence $(n_\ell \mathbb{Z}) \cup (a_2 + n_\ell \mathbb{Z}) = (n_\ell/2)\mathbb{Z}$ and hence Ψ is of the form (3.2). In particular, Ψ is a closed subroot system.

Conversely, suppose that $Gr(\Psi)$ is of type B_2 and Ψ is a closed subroot system of Φ whose positive part Ψ^+ as given in (3.4). Let $\alpha = \epsilon_i + (p_{\epsilon_i} + a_i)\delta$, $\alpha' = \epsilon_i + p_{\epsilon_i}\delta$. Then $s_{\alpha'}(\alpha) = -\epsilon_i + (p_{-\epsilon_i} + a_i)\delta$. Since $p_{-\epsilon_i} + a_i$ is odd and $p_{-\epsilon_i} + (-a_i) + n_\ell \mathbb{Z}$ is the coset in $Z_{-\epsilon_i}$ which contains odd integers, we must have $a_i \equiv -a_i \pmod{n_\ell}$. Hence $a_i = n_\ell/2$ for i = 1, 2. \Box

3.4. We end this section with the main result about untwisted real affine root systems.

Theorem 1. Let Φ be a real untwisted irreducible affine root system. Let Ψ be a symmetric closed subset of Φ such that none of the irreducible components of $Gr(\Psi)$ is of type A_1 . Then Ψ is a closed subroot system of Φ .

Proof. Since Φ is untwisted, it is easy to see that $Gr(\Psi)$ is a symmetric closed subset of Φ . As m = 1 in this case, we get the result from Proposition 4. \Box

Remark 3. The same example in Remark 2 suggests that the assumption in Theorem 1 is necessary.

4. Semi-closed gradient case

As before, we assume that Φ is a real twisted affine root system which is not of type $A_{2n}^{(2)}$. In this section, we consider the case when Ψ is a symmetric closed subset of Φ with $Gr(\Psi)$ is semi-closed in $\mathring{\Phi}$. We cannot assume that Ψ is irreducible as in Section 3.3, because we may miss the interaction between two roots coming from the different orthogonal components of (2.3) (see Remark 1). Moreover, we have to deal with each individual affine root system separately due to their gradient behavior.

4.1. Twisted real affine root system of type $D_{n+1}^{(2)}$

In this case, the real affine roots are given by

$$\Phi = \{\pm\epsilon_i + r\,\delta \mid r \in \mathbb{Z}, 1 \le i \le n\} \cup \{\pm\epsilon_i \pm \epsilon_j + 2r\delta \mid r \in \mathbb{Z}, 1 \le i \ne j \le n\}$$

and $\mathring{\Phi}$ is of type $B_n, n \geq 2$. We assume that Ψ is a symmetric closed subset of Φ such that $Gr(\Psi)$ is semi-closed in $\mathring{\Phi}$. For $J \subseteq I_n$, we define

$$B_J := \{\pm \epsilon_j : j \in J\} \cup \{\pm \epsilon_j \pm \epsilon_{j'} : j \neq j' \in J\}.$$

Recall that by definition, a semi-closed subset is symmetric. First we will determine the semi-closed subsets of $\mathring{\Phi}$ which occur as the gradient of some symmetric closed subset of $D_{n+1}^{(2)}$. Maximal semi-closed subroot systems of $\mathring{\Phi}$ were determined in [20]. The following result generalizes [20, Theorem 2(2)] for B_n type.

Lemma 5. Let Φ be of type $D_{n+1}^{(2)}$ and Ψ a symmetric closed subset of Φ . Suppose that $\mathring{\Psi}$ is a semi-closed subset of $\mathring{\Phi}$ such that $\mathring{\Psi} = Gr(\Psi)$, then there exist non-empty subsets J_o and J_e of I_n such that $J_e \cap J_o = \emptyset$ and $\mathring{\Psi} \setminus (B_{J_e} \cup B_{J_o})$ is a closed subroot system of long roots of B_n .

Proof. Assume that $\mathring{\Psi} = Gr(\Psi)$ for some symmetric closed subset Ψ of $D_{n+1}^{(2)}$. Set $Z_p = Z_{\epsilon_p}$ for each $p \in I$ where

$$I := \{ p \in I_n \mid \epsilon_p \in \check{\Psi} \}.$$

Note that $\epsilon_p + \epsilon_q \in \mathring{\Psi}$ for $p, q \in I$ if and only if $(Z_p + Z_q) \cap 2\mathbb{Z} \neq \emptyset$. Since $Z_{-\epsilon_p} = -Z_p$, we have $\epsilon_p + \epsilon_q \in \mathring{\Psi}$ for $p, q \in I$ if and only if $\epsilon_p - \epsilon_q \in \mathring{\Psi}$ for $p, q \in I$. Since $\mathring{\Psi}$ is semi-closed, there exist $\epsilon_i, \epsilon_j \in \mathring{\Psi}$ such that $\epsilon_i + \epsilon_j \notin \mathring{\Psi}$, this implies $I \neq \emptyset$ and $(Z_i + Z_j) \cap 2\mathbb{Z} = \emptyset$. Without loss of generality, assume that $Z_i \subseteq 2\mathbb{Z}$ and $Z_j \subseteq 2\mathbb{Z} + 1$. We claim that for any $k \in I$, we have either $Z_k \subseteq 2\mathbb{Z}$ or $Z_k \subseteq 2\mathbb{Z} + 1$. Suppose that for some $k \in I, Z_k$ contains both even and odd elements. Then $\exists r, s \in \mathbb{Z}$ such that $\epsilon_k + 2r\delta, \epsilon_k + (2s+1)\delta \in \Psi$. Let $2q \in Z_i$ and $2p+1 \in Z_j$ be arbitrary. Then we have $\epsilon_k + \epsilon_i + 2(r+q)\delta, \epsilon_k - \epsilon_j + 2(s-p)\delta \in \Psi$ and

$$\epsilon_k + \epsilon_i + 2(r+q)\delta - (\epsilon_k - \epsilon_j + 2(s-p)\delta) = \epsilon_i + \epsilon_j + 2(r+q+p-s)\delta \in \Psi$$

as Ψ is closed in Φ . This implies $\epsilon_i + \epsilon_j \in \mathring{\Psi}$, a contradiction. Hence the claim follows.

Now define $J_e := \{p \in I \mid Z_p \subseteq 2\mathbb{Z}\}$ and $J_o := I \setminus J_e$. We claim that $(s,t), (t,s) \in J_e \times J_o$ implies that $\pm \epsilon_s \pm \epsilon_t \notin \mathring{\Psi}$. Suppose that $\pm \epsilon_s \pm \epsilon_t + 2k\delta \in \Psi$ with $(s,t) \in J_e \times J_o$. Then we have

$$\pm \epsilon_t + 2(k+r^{\mp})\delta = (\pm \epsilon_s \pm \epsilon_t + 2k\delta) + (\mp \epsilon_s + 2r^{\mp}\delta) \in \Psi,$$

where $r^{\mp} \in \mathbb{Z}$ such that $\mp \epsilon_s + 2r^{\mp}\delta \in \Psi$. This is a contradiction since $t \in J_o$. By symmetry we get $(t, s) \in J_e \times J_o$ implies that $\pm \epsilon_s \pm \epsilon_t \notin \mathring{\Psi}$. Note that $\pm \epsilon_i \pm \epsilon_j \in \mathring{\Psi}$ for all $i, j \in J_e$ (or J_o). Hence $\{\pm \epsilon_i : i \in I\}$ generates a root system of type $B_{J_e} \sqcup B_{J_o}$ in $\mathring{\Psi}$. If $\mathring{\Psi} = B_{J_e} \sqcup B_{J_o}$, then there is nothing to prove. So assume that $\mathring{\Psi} \neq B_{J_e} \sqcup B_{J_o}$. It is clear that $\mathring{\Psi} \setminus (B_{J_e} \sqcup B_{J_o})$ is a symmetric closed subset of $(B_n)_\ell$ since $\mathring{\Psi}$ is semi-closed in $\mathring{\Phi}$. Hence it is a closed subroot system of $(B_n)_\ell$ by Lemma 1. \Box

Remark 4.

- (1) Since the long root of B_n forms a root system of type D_n , it follows that $\Psi \setminus (B_{J_e} \sqcup B_{J_o})$ is a union of root systems of type A and D ([2]).
- (2) The converse of Lemma 5 is also true.

Proposition 7. Let Ψ be a symmetric closed subset of Φ such that $Gr(\Psi)$ is semi-closed. If (2.2) and (2.3) are the decomposition of Ψ and $Gr(\Psi)$ respectively into irreducible components, then for each i, there exists a \mathbb{Z} -linear function $p_i : Gr(\Psi_i) \to \mathbb{Z}, \ \alpha \mapsto p_i(\alpha) \in \mathbb{Z}_{\alpha}$, and $n_i \in 2\mathbb{Z}_+$ such that

$$\Psi_i = \{ \alpha + (p_i(\alpha) + n_i \mathbb{Z}) \delta : \alpha \in Gr(\Psi_i) \}.$$

In particular, Ψ is a closed subroot system of Φ . Moreover, we have $r \geq 2$, and $Gr(\Psi_1)$, $Gr(\Psi_2)$ are of type B, and the rest of $Gr(\Psi_i)$'s are of either type A or D, and $p_1(\alpha) \in 2\mathbb{Z} + 1$ (resp. $p_2(\alpha) \in 2\mathbb{Z}$) for a short root $\alpha \in Gr(\Psi_1)$ (resp. $Gr(\Psi_2)$).

Proof. Since $Gr(\Psi)$ is semi-closed, by Lemma 5, we have $Gr(\Psi) = \mathring{\Psi}_1 \sqcup \mathring{\Psi}_2 \sqcup \cdots \sqcup \mathring{\Psi}_r$ where $\mathring{\Psi}_1$ and $\mathring{\Psi}_2$ are of type *B*. For $1 \leq i \leq r$, let $\Psi_i := \{\alpha + r\delta \in \Psi \mid \alpha \in \mathring{\Psi}_i\}$. Then $\Psi = \Psi_1 \sqcup \cdots \sqcup \Psi_r$ is the decomposition of Ψ into irreducible components. It is easy to see that each Ψ_i is a symmetric closed subset of Φ with $Gr(\Psi_i)$ is closed in $\mathring{\Phi}$. From the proof of Lemma 5, we have $|\pi_m(Z_\alpha)| = 1$ for all $\alpha \in Gr(\Psi)$. Now Proposition 4 gives the desired result for the first part of the proof. Again the second part is clear from Lemma 5. \Box

4.2. Twisted real affine root system of type $A_{2n-1}^{(2)}$

In this case, the real affine roots are given by

$$\Phi = \{ \pm 2\epsilon_i + 2r\delta \mid r \in \mathbb{Z}, 1 \le i \le n \} \cup \{ \pm \epsilon_i \pm \epsilon_j + r\delta, \mid r \in \mathbb{Z}, 1 \le i \ne j \le n \}.$$

The next proposition is the main result of this subsection.

Proposition 8. Let Ψ be a symmetric closed subset of Φ such that $Gr(\Psi)$ is semi-closed in $\mathring{\Phi}$. Let $\Psi = \Psi_1 \sqcup \cdots \sqcup \Psi_r$ be the decomposition of Ψ into irreducible components. Assume that $Gr(\Psi_i)$ is not of type A_1 or B_2 for each $1 \leq i \leq r$. Then we have Ψ is a closed subroot system.

Proof. Define $I := \{i \in I_n \mid 2\epsilon_i \in Gr(\Psi)\}$. Since $Gr(\Psi)$ is semi-closed, there exist $\epsilon_s - \epsilon_t, \epsilon_s + \epsilon_t \in Gr(\Psi)$ but $2\epsilon_s \notin Gr(\Psi)$. Hence $I \neq I_n$. Let

$$\Psi' := \left(\bigcup_{i \in I} (\pm 2\epsilon_i + 2\mathbb{Z}\delta) \cup \bigcup_{i \neq j \in I} (\pm \epsilon_i \pm \epsilon_j + \mathbb{Z}\delta) \right) \cap \Psi, \quad \Psi'' := \Psi \setminus \Psi'$$

If $\epsilon_k \pm \epsilon_\ell \in Gr(\Psi)$ for some $k \in I$, but $\ell \in I_n \setminus I$. Then we have $2\epsilon_k + 2p\delta$, $\epsilon_k \pm \epsilon_\ell + r\delta \in \Psi$ for some $p, r \in \mathbb{Z}$. This implies that

$$\epsilon_k \mp \epsilon_\ell + (2p - r)\delta = (2\epsilon_k + 2p\delta) - (\epsilon_k \pm \epsilon_\ell + r\delta) \in \Psi,$$

and hence $\mp 2\epsilon_{\ell} + 2(p-r)\delta = (\epsilon_k \mp \epsilon_{\ell} + (2p-r)) - (\epsilon_k \pm \epsilon_{\ell} + r\delta) \in \Psi$, which is a contradiction as $\ell \notin I$. So if $\epsilon_i \pm \epsilon_j \in Gr(\Psi)$, then either both $i, j \in I$ or both $i, j \notin I$. In particular, we have

$$\Psi'' = \left(\bigcup_{i \neq j \in I_n \setminus I} (\pm \epsilon_i \pm \epsilon_j + \mathbb{Z}\delta)\right) \cap \Psi$$

is a closed subroot system of Φ , and $\Psi = \Psi' \sqcup \Psi''$ is an orthogonal decomposition.

It is easy to see that Ψ' is a symmetric closed subset of Φ with $Gr(\Psi')$ is a symmetric closed subset of $\mathring{\Phi}$. Then by Proposition 5, Ψ' is also a closed subroot systems of Φ , and hence Ψ is a closed subroot system of Φ . \Box

Remark 5. Let $\Psi_+ = (2\epsilon_1 + 4\mathbb{Z}\delta) \cup (2\epsilon_2 + 4\mathbb{Z}\delta) \cup (\epsilon_1 \pm \epsilon_2 + (4\mathbb{Z} \cup \pm 1 + 4\mathbb{Z})\delta) \cup \{\epsilon_i + \epsilon_j + (1 + 4\mathbb{Z})\delta, \epsilon_i - \epsilon_j + 4\mathbb{Z}\delta : 3 \le i \ne j \le 5\}$ and set $\Psi = \Psi_+ \cup -\Psi_+$. Then Ψ is not a closed subroot system. So the condition that any irreducible component of $Gr(\Psi)$ is not of type A_1 or B_2 cannot be dropped from Proposition 8.

4.3. Twisted real affine root system of type $D_4^{(3)}$

In this case, the real roots are given by

$$\Phi = \{ \pm (\epsilon_i - \epsilon_j) + r\delta, \pm (2\epsilon_i - \epsilon_j - \epsilon_k) + 3r\delta \mid i, j, k \in I_3, i \neq j, r \in \mathbb{Z} \}$$

Note that $\mathring{\Phi}$ is of type G_2 and both $\mathring{\Phi}_s$ and $\mathring{\Phi}_\ell$ are of type A_2 . The next lemma is a generalization of [20, Theorem 2] and [21, Lemma 7.1.1].

Lemma 6. Let Ψ be a symmetric closed subset of Φ with $Gr(\Psi)$ semi-closed. Then $Gr(\Psi)$ is $\mathring{\Phi}_s$.

Proof. Since $Gr(\Psi)$ is semi-closed, there exist short roots $\alpha, \beta \in Gr(\Psi)$ such that $\alpha + \beta \in \mathring{\Phi}_{\ell}$ and $\alpha + \beta \notin Gr(\Psi)$. Now since $\alpha + \beta$ is long in type $G_2, \alpha - \beta$ must be short and hence $\alpha - \beta \in Gr(\Psi)$. Since $\alpha - \beta \neq \alpha, \beta$ and $Gr(\Psi)$ is symmetric, we have $\mathring{\Phi}_s \subseteq Gr(\Psi)$. Note that $Gr(\Psi)$ can not contain two long positive roots as $Gr(\Psi) \subsetneq \mathring{\Phi}$. We claim that $Gr(\Psi)$ can not contain any long root. On the contrary assume that $Gr(\Psi)$ contains a long root, say $2\epsilon_i - \epsilon_j - \epsilon_k \in Gr(\Psi)$. Let $S = \pi_m(Z_{\epsilon_j - \epsilon_k})$. It is clear that $|S| \neq 3$. Suppose that |S| = 2. Let $\epsilon_i - \epsilon_j + p_1 \delta, \epsilon_j - \epsilon_k + p_2 \delta, \epsilon_i - \epsilon_k + p_3 \delta \in \Psi$. Since $Gr(\Psi) = \mathring{\Phi}_s \cup \{\pm (2\epsilon_i - \epsilon_j - \epsilon_k)\}$ we have

$$p_1 \not\equiv p_2 \pmod{3}, \quad p_3 \not\equiv -p_2 \pmod{3} \tag{4.1}$$

Let ℓ be the element which is not in S. Then $p_1 \equiv \ell \pmod{3}$ and $p_3 \equiv -\ell \pmod{3}$ which gives $|\pi_m(Z_{\epsilon_i - \epsilon_k})| = 1$, but this is impossible since we have $Z_{\epsilon_i - \epsilon_j} + Z_{\epsilon_j - \epsilon_k} \subseteq Z_{\epsilon_i - \epsilon_k}$.

Now assume that |S| = 1 and let $S = \{\ell'\}$. In this case, we have one more relation along with (4.1) namely

$$p_3 - p_1 \equiv \ell' \pmod{3} \tag{4.2}$$

which implies that $|\pi_m(Z_{\epsilon_i-\epsilon_j})| = 1$ and $|\pi_m(Z_{\epsilon_i-\epsilon_k})| = 1$. Suppose that $\pi_m(Z_{\epsilon_i-\epsilon_j}) = \{r\}$. Then we have $\pi_m(Z_{\epsilon_i-\epsilon_k}) = \{r+\ell'\}$. Since Ψ is closed, for any $2\epsilon_i - \epsilon_j - \epsilon_k + 3a\delta \in \Psi$, we have

$$\epsilon_i - \epsilon_k + (3a - p_1)\delta = (2\epsilon_i - \epsilon_j - \epsilon_k + 3a\delta) + (\epsilon_j - \epsilon_i - p_1\delta) \in \Psi$$

This implies $3a - p_1 \equiv r + \ell' \pmod{3}$, which in turn implies that $\ell' \equiv -2r \equiv r \pmod{3}$. This contradicts Equation (4.1). So $|S| \neq 1$ and hence the result. \Box **Proposition 9.** Let Ψ be a closed symmetric subset of Φ with $Gr(\Psi)$ semi-closed in $\dot{\Phi}$. Then Ψ is of the form

$$\Psi = \pm (\epsilon_i - \epsilon_j + r\mathbb{Z}\delta) \cup \pm (\epsilon_j - \epsilon_k + (r\mathbb{Z} + \ell)\delta) \cup \pm (\epsilon_i - \epsilon_k + (r\mathbb{Z} + \ell)\delta)$$

where $r \in 3\mathbb{Z}_+$ and $\{i, j, k\}$ is a permutation of $\{1, 2, 3\}$. In particular, Ψ is a closed subroot system of Φ .

Proof. By Lemma 6, it follows that $Gr(\Psi) = \mathring{\Phi}_s$. Note that we can consider Ψ as an irreducible symmetric closed subset of the untwisted root system of type $A_2^{(1)}$. By Theorem 1, there is a \mathbb{Z} -linear function $p: Gr(\Psi) \to \mathbb{Z}, \alpha \mapsto p_\alpha \in Z_\alpha$ and $r \in \mathbb{Z}_+$ such that

$$\Psi = \{ \alpha + (p_{\alpha} + r\mathbb{Z})\delta : \alpha \in Gr(\Psi) \}$$

In particular, Ψ is a closed subroot system of Φ . Next we determine r and p_{α} explicitly. At first, we claim that $0 \in \pi_m(Z_{\alpha})$ for some $\alpha \in Gr(\Psi) \cap \mathring{\Phi}^+$. Suppose that $\epsilon_1 - \epsilon_2 + r_1\delta$, $\epsilon_2 - \epsilon_3 + r_2\delta$, $\epsilon_1 - \epsilon_3 + r_3\delta \in \Psi$. We then have the following relations:

$$r_1 \not\equiv r_2 \pmod{3}, \quad -r_3 \not\equiv r_2 \pmod{3}, \quad -r_3 \not\equiv r_1 \pmod{3}.$$
 (4.3)

This implies that the integers $r_1, r_2, -r_3 \pmod{3}$ are all distinct, so one of them must be 0 (mod 3). With out loss of generality we assume that $r_1 \equiv 0 \pmod{3}$. By similar argument we get $r'_1, r_2, -r_3 \pmod{3}$ are all distinct for any $r' \in Z_{\epsilon_1 - \epsilon_2}$ and using (4.3) we get $r'_1 \equiv 0 \pmod{3}$ and $r_2 \equiv r_3 \pmod{3}$. This implies Ψ is of the form

$$\Psi = \pm (\epsilon_i - \epsilon_j + r\mathbb{Z}\delta) \cup \pm (\epsilon_j - \epsilon_k + (r\mathbb{Z} + \ell)\delta) \cup \pm (\epsilon_i - \epsilon_k + (r\mathbb{Z} + \ell)\delta)$$

where $r \in 3\mathbb{Z}_+$ and $\{i, j, k\}$ is a permutation of $\{1, 2, 3\}$. \Box

4.4. Twisted real affine root system of type $E_6^{(2)}$

In this case, the real roots are given by

$$\Phi = \left\{ \pm \epsilon_i + r\delta, \pm \epsilon_i \pm \epsilon_j + 2s\delta : 1 \le i \ne j \le 4, r, s \in \mathbb{Z} \right\} \cup \left\{ \sum_{i=1}^4 \pm \epsilon_i/2 + r\delta : r \in \mathbb{Z} \right\}.$$

The gradient root system is of type F_4 , and the short roots $\mathring{\Phi}_s$ form a root system of type D_4 . For convenience, we call a short root of the form $\frac{1}{2} \left(\sum_{i=1}^4 \pm \epsilon_i \right)$ as a *special short root*. For $I \neq \emptyset$ and a special short root $\mu = \frac{1}{2} \left(\sum_{i=1}^4 \nu_i \epsilon_i \right)$ define $D^+_{\mu}(\Psi, I) := \{\epsilon_i \mid i \in I\} \cup \{ \sum_{i=1}^4 \lambda_i \epsilon_i / 2 \mid \lambda_i \in \{\pm 1\}, i \in I, \lambda_j = \nu_j \text{ for } j \notin I \}$ and set

$$D_{\mu}(\Psi, I) = D_{\mu}^{+}(\Psi, I) \cup -D_{\mu}^{+}(\Psi, I).$$

Let Ψ be a symmetric closed subset of Φ such that $\operatorname{Gr}(\Psi)$ is semi-closed. If $Gr(\Psi)$ does not contain any special short root, then Ψ can be realized as a symmetric, closed subset of the real affine root system of type $D_5^{(2)}$ and hence it is a closed subroot system (see Proposition 7). So without loss of generality, we can assume that there is a special short root in $Gr(\Psi)$, say $\alpha = \frac{1}{2} \left(\sum_{i=1}^{4} \nu_i \epsilon_i \right) \in Gr(\Psi)$. Let $I = \{i \in I_4 \mid \epsilon_i \in Gr(\Psi)\}$. Since $Gr(\Psi)$ is semi-closed, there exist short roots $\beta, \gamma \in Gr(\Psi)$ such that $\beta + \gamma$ is a long root and $\beta + \gamma \notin Gr(\Psi)$. We claim that we can always choose β, γ to be special short roots. For $|I| \leq 1$, it is obvious. Suppose $|I| \geq 2$, and there are $\mu_k \epsilon_k, \mu_r \epsilon_r \in$ $Gr(\Psi)$ with $k \neq r$, but $\mu_k \epsilon_k + \mu_r \epsilon_r \notin Gr(\Psi)$. Then since $\alpha = \frac{1}{2} \left(\sum_{i=1}^{4} \nu_i \epsilon_i \right) \in Gr(\Psi)$ and $Gr(\Psi)$ is semi-closed, we have that $\beta = \frac{1}{2} \left(\mu_k \epsilon_k + \mu_r \epsilon_r + \sum_{i \notin \{k,r\}} \nu_i \epsilon_i \right)$ and $\gamma = \frac{1}{2} \left(\sum_{i=1}^{4} \nu_i \epsilon_i \right) = \frac{1}{2} \left(\sum_{i=1}^{4} \nu_i \epsilon_i \right)$

$$\frac{1}{2} \left(\mu_k \epsilon_k + \mu_r \epsilon_r + \sum_{i \notin \{k,r\}} -\nu_i \epsilon_i \right) \text{ are in } Gr(\Psi). \text{ Clearly } \beta + \gamma = \mu_k \epsilon_k + \mu_r \epsilon_r.$$

Proposition 10. Let Ψ be a symmetric, closed subset of Φ such that no irreducible component of $Gr(\Psi)$ is of type A_1 and $Gr(\Psi)$ is semi-closed. Set $I = \{i \in I_4 : \epsilon_i \in Gr(\Psi)\}$, then we have $|I| \equiv 0 \pmod{2}$ and exactly one of the following holds.

(1) If |I| = 2, then $\check{\Phi}_s \supseteq Gr(\Psi) = D_{\beta}(\Psi, I)$ where β is a special short root in $Gr(\Psi)$. There exists a \mathbb{Z} -linear function $p: D_{\beta}(\Psi, I) \to \mathbb{Z}$, $p_{\alpha} \in Z_{\alpha}, \alpha \in D_{\beta}(\Psi, I)$ and $n \in 2\mathbb{Z}_+$ such that

$$\Psi = \{ \alpha + (p_{\alpha} + n\mathbb{Z})\delta \mid \alpha \in D_{\beta}(\Psi, I) \}.$$

(2) If |I| = 4, then there exists a partition J_1, J_2 of I_4 such that $|J_1| = |J_2| = 2$ and a \mathbb{Z} -linear function $p: Gr(\Psi) \to \mathbb{Z}$ with $p_{\epsilon_i} \in 2\mathbb{Z}$ (resp. $p_{\epsilon_i} \in 2\mathbb{Z} + 1$) if $i \in J_1$ (resp. $i \in J_2$) such that

$$\Psi := \bigcup_{\alpha \in \mathring{\Phi}_s} \{ \alpha + (p_\alpha + n\mathbb{Z})\delta \} \cup \bigcup_{J \in \{J_1, J_2\}} \{ \pm (\epsilon_i \pm \epsilon_j) + (p_{\pm(\epsilon_i \pm \epsilon_j)} + n\mathbb{Z})\delta : i \neq j \in J \}.$$

(3) If $I = \emptyset$, there exists a \mathbb{Z} -linear function $p : Gr(\Psi) \to \mathbb{Z}$ and $m_0, m_1 \in 2\mathbb{Z}_+$ such that $\Psi = \Psi_0 \cup \Psi_1$ where $\Psi_i = \{\alpha + (p_\alpha + m_i\mathbb{Z})\delta \mid \alpha \in Gr(\Psi_i)\}$. Moreover, $Gr(\Psi)$ is a root system of type $B_2 \times B_2$ and we have $p_\alpha \in 2\mathbb{Z}$ (resp. $2\mathbb{Z} + 1$) if $\alpha \in Gr(\Psi_0)$ (resp. $Gr(\Psi_1)$ and α is short).

Proof. From the above discussion in Section 4.4, we can always choose special short roots $\beta, \gamma \in Gr(\Psi)$ such that the $\beta + \gamma$ is a long root and $\beta + \gamma \notin Gr(\Psi)$ as $Gr(\Psi)$ is semi-closed. Let $\beta = \frac{1}{2} (\lambda_{s_1} \epsilon_{s_1} + \lambda_{s_2} \epsilon_{s_2} + \lambda_{s_3} \epsilon_{s_3} + \lambda_{s_4} \epsilon_{s_4})$ and $\gamma = \frac{1}{2} (\lambda_{s_1} \epsilon_{s_1} + \lambda_{s_2} \epsilon_{s_2} - \lambda_{s_3} \epsilon_{s_3} - \lambda_{s_4} \epsilon_{s_4})$. Note that we have $(Z_{\beta} + Z_{\gamma}) \cap 2\mathbb{Z} = \emptyset$, so we have $\beta - \gamma \notin Gr(\Psi)$ and $|\pi_m(Z_{\beta})| = 1$. First we assume that $I \neq \emptyset$. We claim that ϵ_{s_1} (resp. ϵ_{s_3}) $\in Gr(\Psi)$ if and only if ϵ_{s_2} (resp. $\epsilon_{s_4}) \in Gr(\Psi)$. Suppose $s_1 \in I$, then we have $\gamma' = \gamma - \lambda_{s_1} \epsilon_{s_1} \in Gr(\Psi)$. This implies that $\lambda_{s_2}\epsilon_{s_2} = \gamma' + \beta \in Gr(\Psi)$ and the case $s_3 \in I$ is done similarly. This argument also shows that for any $i \in I$ and a special short root $\gamma \in Gr(\Psi)$, we can always change the sign of the co-efficient of ϵ_i in γ and that resulted element is again in $Gr(\Psi)$.

It is easy to see that we always have $D_{\beta}(\Psi, I) \subseteq Gr(\Psi) \cap \mathring{\Phi}_s$. We claim that $Gr(\Psi) \cap \mathring{\Phi}_s = D_{\beta}(\Psi, I)$. Suppose |I| = 4, then it is clear as $D_{\beta}(\Psi, I) = \mathring{\Phi}_s$. So assume that |I| = 2. If possible let $Gr(\Psi) \cap \mathring{\Phi}_s \setminus D_{\beta}(\Psi, I) \neq \emptyset$, then there exists $\mu \in Gr(\Psi) \cap \mathring{\Phi}_s \setminus D_{\beta}(\Psi, I)$ and unique $j \notin I$ such that the co-efficient of ϵ_j in both μ and β is different. But this implies $\beta - \mu = \pm \epsilon_j \in Gr(\Psi) \cap \mathring{\Phi}_s$, which is a contradiction. Thus we get

$$Gr(\Psi) \cap \mathring{\Phi}_s = D_\beta(\Psi, I).$$

Also since sum of a long root and a short root is short, it follows that $\epsilon_s \pm \epsilon_t \in Gr(\Psi)$ only if $\{s,t\} \subseteq I$ or $\{s,t\} \subseteq I_4 \setminus I$. Note that every special short root $\mu \in D_\beta(\Psi, I)$ can be written as $\mu = \beta + \sum \pm \epsilon_i$ with $\epsilon_i \in Gr(\Psi)$ such that each partial sum is a root. Since all the roots appearing are short and $|\pi_m(Z_\beta)| = 1$, it follows that $|\pi_m(Z_\mu)| = 1$ for each $\mu \in D_\beta(\Psi, I)$. So we have $|\pi_m(Z_\alpha)| = 1$ for all $\alpha \in Gr(\Psi)$.

For |I| = 2, we show that no long root can occur in $Gr(\Psi)$. We shall show the case for $I = \{s_1, s_2\}$ and the remaining cases are similar. Since $\lambda_{s_1}\epsilon_{s_1} + \lambda_{s_2}\epsilon_{s_2} \notin Gr(\Psi)$, it follows that $(Z_{\epsilon_{s_1}} + Z_{\epsilon_{s_2}}) \cap 2\mathbb{Z} = \emptyset$. Hence $\lambda_{s_1}\epsilon_{s_1} - \lambda_{s_2}\epsilon_{s_2} \notin Gr(\Psi)$ as well. Recall that $\epsilon_s \pm \epsilon_t \in Gr(\Psi)$ only if $\{s, t\} \subseteq I$ or $\{s, t\} \subseteq I_4 \setminus I$. So we only can have $\lambda_{s_3}\epsilon_{s_3} \pm \lambda_{s_4}\epsilon_{s_4} \in$ $Gr(\Psi)$. Since $Gr(\Psi)$ has no component of type A_1 and $\lambda_{s_3}\epsilon_{s_3} - \lambda_{s_4}\epsilon_{s_4}$ is orthogonal to $D_{\beta}(\Psi, I)$ and $\lambda_{s_3}\epsilon_{s_3} + \lambda_{s_4}\epsilon_{s_4}$, we have

$$\lambda_{s_3}\epsilon_{s_3} - \lambda_{s_4}\epsilon_{s_4} \notin Gr(\Psi).$$

Suppose $\lambda_{s_3}\epsilon_{s_3} + \lambda_{s_4}\epsilon_{s_4} \in Gr(\Psi)$. We have $(Z_\beta + Z_\gamma) \cap 2\mathbb{Z} \neq \emptyset$ since $\beta = \gamma + \lambda_{s_3}\epsilon_{s_3} + \lambda_{s_4}\epsilon_{s_4} \in Gr(\Psi)$, which in turn implies that $\beta + \gamma \in Gr(\Psi)$, a contradiction. Hence no long root can occur in $Gr(\Psi)$ if |I| = 2. In this case we have $Gr(\Psi) = D_\beta(\Psi, I)$ which is irreducible. When |I| = 4 we have $D_\beta(\Psi, I) = \mathring{\Phi}_s \subseteq \Psi$. So we must have $Gr(\Psi)$ irreducible in this case. Now using Proposition 3, we get a \mathbb{Z} -linear function pand $n \in 2\mathbb{Z}_+$ such that $\Psi = \{\alpha + (p_\alpha + n\mathbb{Z})\delta : \alpha \in Gr(\Psi)\}$. This completes the proof for $I \neq \emptyset$. Moreover when $I = I_4$ we have the following restrictions:

$$|\pi_m(Z_{\epsilon_{s_i}})| = 1, \quad \forall i \in I_4, \quad (Z_{\epsilon_{s_1}} + Z_{\epsilon_{s_2}}) \cap 2\mathbb{Z} = \emptyset, \quad (Z_{\epsilon_{s_3}} + Z_{\epsilon_{s_4}}) \cap 2\mathbb{Z} = \emptyset$$

Set $J_1 := \{s_i \in I_4 : Z_{\epsilon_{s_i}} \subseteq 2\mathbb{Z}\}$ and $J_2 := \{s_i \in I_4 : Z_{\epsilon_{s_i}} \subseteq 2\mathbb{Z} + 1\}$. From the relations above, we have $|J_1| = |J_2| = 2$. Then there exists a \mathbb{Z} -linear function $p : Gr(\Psi) \to \mathbb{Z}$ such that $p_{\epsilon_{s_i}} \in 2\mathbb{Z}$ (resp. in $2\mathbb{Z} + 1$) if $s_i \in J_1$ (resp. in J_2) and Ψ is of the form

$$\Psi := \bigcup_{\alpha \in \mathring{\Phi}_s} \{ \alpha + (p_\alpha + n\mathbb{Z})\delta \} \cup \bigcup_{J \in \{J_1, J_2\}} \{ \pm (\epsilon_i \pm \epsilon_j) + (p_{\pm(\epsilon_i \pm \epsilon_j)} + n\mathbb{Z})\delta : i \neq j \in J \}.$$

Assume that $I = \emptyset$. It is clear that the only short roots can appear in $Gr(\Psi)$ are $\alpha_1 := \beta, \alpha_2 := \gamma, \alpha_3 = \frac{1}{2} \left(\lambda_{s_1} \epsilon_{s_1} - \lambda_{s_2} \epsilon_{s_2} + \lambda_{s_3} \epsilon_{s_3} - \lambda_{s_4} \epsilon_{s_4} \right), \alpha_4 = \frac{1}{2} \left(-\lambda_{s_1} \epsilon_{s_1} + \lambda_{s_2} \epsilon_{s_2} + \lambda_{s_3} \epsilon_{s_3} - \lambda_{s_4} \epsilon_{s_4} \right)$ $\lambda_{s_3}\epsilon_{s_3} - \lambda_{s_4}\epsilon_{s_4}$) and their negatives (see [21, Proposition 8.1.3]). We claim that all of these roots occur in $Gr(\Psi)$. As $(\alpha_i, \alpha_j) = 0, \ 1 \le i \ne j \le 4, \ Gr(\Psi) \ne \{\pm \alpha_i \mid i = 1, 2\}.$ Hence there is a long root in $Gr(\Psi)$. Since $\alpha_1 \pm \alpha_2 \notin Gr(\Psi)$, there is a long root in $Gr(\Psi)$ of the form $\lambda_{s_i} \epsilon_{s_i} \pm \lambda_{s_j} \epsilon_{s_j}$ with $i \in \{1, 2\}$ and $j \in \{3, 4\}$. We shall prove the claim for one case, other cases are similar. Let $\lambda_{s_1}\epsilon_{s_1} + \lambda_{s_3}\epsilon_{s_3} \in Gr(\Psi)$. Then $-\alpha_3 = \alpha_1 - (\lambda_{s_1}\epsilon_{s_1} + \lambda_{s_3}\epsilon_{s_3})$ $\lambda_{s_3}\epsilon_{s_3} \in Gr(\Psi)$. Furthermore since Z_{α_1} contains elements of same parity, it follows that $(Z_{\alpha_1}+Z_{\alpha_3})\cap(2\mathbb{Z}+1)=\emptyset$. Hence $\alpha_1+\alpha_3=\lambda_{s_2}\epsilon_{s_2}+\lambda_{s_4}\epsilon_{s_4}\in Gr(\Psi)$. Since $Gr(\Psi)$ has no irreducible component of type A_1 and α_2 is orthogonal to $\alpha_1, \alpha_3, \lambda_{s_1} \epsilon_{s_1} + \lambda_{s_3} \epsilon_{s_3}$, it follows that $\lambda_{s_2}\epsilon_{s_2} - \lambda_{s_4}\epsilon_{s_4} \in Gr(\Psi)$. Consequently $-\alpha_4 = \alpha_2 - (\lambda_{s_2}\epsilon_{s_2} - \lambda_{s_4}\epsilon_{s_4}) \in Gr(\Psi)$. By a similar argument we get that $\lambda_{s_1}\epsilon_{s_1} - \lambda_{s_3}\epsilon_{s_3} \in Gr(\Psi)$. Hence $|\pi_m(Z_\gamma)| = 1$ for all $\gamma \in Gr(\Psi)$. Moreover there exists a partition $J_0 \sqcup J_1$ of I_4 with $|J_i| = 2, i = 0, 1$ such that $Gr(\Psi) = \mathring{\Psi}_0 \cup \mathring{\Psi}_1$ where $\mathring{\Psi}_i = \{\pm \alpha_k, \pm \alpha_\ell, \pm (\alpha_k \pm \alpha_\ell) : J_i = \{k, \ell\}\}$. We also have $\alpha_k \pm \alpha_\ell \notin Gr(\Psi)$ if $k \in J_i$ but $\ell \notin J_i$. We can choose $\mathring{\Psi}_0$ to be the component so that $Z_{\gamma} \subseteq 2\mathbb{Z}$ for all $\gamma \in \mathring{\Psi}_0$. In each component, the hypothesis of Proposition 3 is satisfied. Hence Ψ is of the required form with exactly two of p_{α_i} 's being even. \Box

5. Twisted real affine root system with non-reduced gradient

In this section, we shall consider the twisted affine root system of type $A_{2n}^{(2)}$. The real affine roots are

$$\Phi = \{\pm \epsilon_i + (r+1/2)\delta, \pm 2\epsilon_i + 2r\delta, \pm \epsilon_i \pm \epsilon_j + r\delta \mid 1 \le i \ne j \le n, r \in \mathbb{Z}\}.$$

The gradient root system is an irreducible reduced root system of type BC_n . We set

$$\mathring{\Phi}_d := \{\pm 2\epsilon_i \mid 1 \le i \le n\}, \ \mathring{\Phi}_s := \{\pm \epsilon_i \mid 1 \le i \le n\}, \ \mathring{\Phi}_\ell := \{\pm \epsilon_i \pm \epsilon_j \mid 1 \le i \ne j \le n\}$$

and

$$\widehat{C_n} = \{ \pm 2\epsilon_i + 2r\delta, \, \pm \epsilon_i \pm \epsilon_j + r\delta \mid 1 \le i \ne j \le n, r \in \mathbb{Z} \} \subseteq \Phi.$$

Note that \widehat{C}_n is a closed subroot system of type $A_{2n-1}^{(2)}$. Let $\Psi \subseteq \widehat{C}_n$, then we have Ψ is a symmetric closed in \widehat{C}_n if and only if Ψ is a symmetric closed in Φ . We will fix some notations before proceeding further. For any $I \subseteq I_n$, set

$$B_{I} = \{\pm\epsilon_{i}, \pm\epsilon_{i} \pm \epsilon_{i} \mid i \neq j \in I\}, C_{I} = \{\pm 2\epsilon_{i}, \pm\epsilon_{i} \pm \epsilon_{i} \mid i \neq j \in I\}$$

and $BC_I = \{\pm \epsilon_i, \pm 2\epsilon_i, \pm \epsilon_i \pm \epsilon_j \mid i \neq j \in I\}.$

5.1. Let Ψ be a symmetric closed subset of Φ . The next lemma shows that it is enough to classify irreducible symmetric, closed subsets of Φ which contains at least one short root.

Lemma 7. Let Ψ be a symmetric closed subset of Φ and Equation (2.2) be the decomposition of Ψ into irreducible components. Then there exists at most one $\Psi_i, 1 \leq i \leq r$ such that $Gr(\Psi_i) \cap \mathring{\Phi}_s \neq \emptyset$. Conversely, suppose that Ψ' is an irreducible symmetric, closed subset of Φ such that $Gr(\Psi') \cap \mathring{\Phi}_s \neq \emptyset$ and Ψ'' is a symmetric, closed subset of \widehat{C}_n such that $(\Psi', \Psi'') = 0$. Then $\Psi' \cup \Psi''$ is a symmetric, closed subset of Φ .

Proof. If possible, assume that there exists i, j with $1 \leq i \neq j \leq r$ such that $\epsilon_k \in Gr(\Psi_i), \epsilon_\ell \in Gr(\Psi_j)$. Then we have $\epsilon_k + q\delta \in \Psi, \epsilon_\ell + s\delta \in \Psi$ for some $q, s \in \mathbb{Z} + 1/2$. Since Ψ is closed, we have $\epsilon_k + \epsilon_\ell + (q + s)\delta \in \Psi$. But the root $\epsilon_k + \epsilon_\ell + (q + s)\delta$ is non-orthogonal to both Ψ_i and Ψ_j , which is impossible. For the converse part, it is easy to see that $\Psi' \cup \Psi''$ is a symmetric subset of Φ . Now we claim if $\alpha \in \Psi'$ and $\beta \in \Psi''$ then $\alpha + \beta \notin \Phi$. This clearly implies $\Psi' \cup \Psi''$ is closed in Φ as both Ψ', Ψ'' are closed in Φ . Set

$$I' = \{ i \in I_n \mid \epsilon_i \in Gr(\Psi') \}.$$

Since Ψ is closed, we have $\epsilon_i \pm \epsilon_j \in Gr(\Psi')$ for all $i \neq j \in I'$ and $\epsilon_i \pm \epsilon_j \notin Gr(\Psi')$ for all $i \in I', j \in I_n \setminus I'$. Moreover, since Ψ' is irreducible, we have $B_{I'} \subseteq Gr(\Psi') \subseteq BC_{I'}$. Also we have that $Gr(\Psi'') \subseteq \mathring{\Phi}_{\ell} \cup \mathring{\Phi}_{d}$ and it is orthogonal to $Gr(\Psi')$. So it follows that $Gr(\Psi'') \subseteq C_{I_n \setminus I'}$. Hence if $\alpha \in Gr(\Psi'), \beta \in Gr(\Psi'')$, then $\alpha + \beta \notin \mathring{\Phi}$. This gives our claim and completes the proof. \Box

5.2. Now onwards, we assume that Ψ is an irreducible symmetric, closed subset of Φ such that $Gr(\Psi) \cap \mathring{\Phi}_s \neq \emptyset$. Let $I := \{i \in I_n \mid \epsilon_i \in \operatorname{Gr}(\Psi)\}$, and we have $I \neq \emptyset$. Let $q_i \in Z_{\epsilon_i}$ for each $i \in I$ and set $\tilde{Z}_{\epsilon_i} := Z_{\epsilon_i} - q_i$. Then we have $\tilde{Z}_{\epsilon_i} \subseteq \mathbb{Z}$ for $i \in I$. The next two lemmas are important and we give proof for the first one and skip the details of the second one as it is similar to the first one. Recall that m = 2.

Lemma 8. Let Ψ be an irreducible symmetric closed subset of Φ and I be defined as above. Suppose that $|\pi_m(\tilde{Z}_{\epsilon_i})| = 1$ for some $i \in I$. Then

(1) $|\pi_m(\tilde{Z}_{\epsilon_j})| = 1$ for all $j \in I$. (2) $Gr(\Psi) = B_I$ and $|\pi_m(Z_{\epsilon_i \pm \epsilon_j})| = 1$.

Proof. Let $j \in I$ such that $j \neq i$. Since Ψ is closed, we have $\pm(\epsilon_i \pm \epsilon_j) \in Gr(\Psi)$ and $Z_{\epsilon_i \pm \epsilon_j} + Z_{\mp \epsilon_j} = Z_{\epsilon_i}$. Hence we have $\tilde{Z}_{\epsilon_i \pm \epsilon_j} + \tilde{Z}_{\mp \epsilon_j} = \tilde{Z}_{\epsilon_i}$, $\tilde{Z}_{\epsilon_i \pm \epsilon_j} \subseteq \tilde{Z}_{\epsilon_i}$ and $\tilde{Z}_{\mp \epsilon_j} \subseteq \tilde{Z}_{\epsilon_i}$. This implies (1) and second part of (2). Since Ψ is closed, we also get $\epsilon_r \pm \epsilon_s \notin Gr(\Psi)$ if $r \in I$ and $s \notin I$. As $Gr(\Psi)$ is irreducible, we must have $B_I \subseteq Gr(\Psi') \subseteq BC_I$. If possible

assume that $2\epsilon_i \in Gr(\Psi)$ for some $i \in I$. Since Ψ is closed, we have $Z_{2\epsilon_i} + Z_{-\epsilon_i} \subseteq Z_{\epsilon_i}$. For $s \in \tilde{Z}_i$ and $2k \in Z_{2\epsilon_i}$, we have $2k - s - q_i = 2k - 2q_i - s + q_i \in Z_{\epsilon_i}$. This implies both s and $2k - 2q_i - s \in \tilde{Z}_{\epsilon_j}$ which is a contradiction as they have different parities as $q_i \in \mathbb{Z} + 1/2$. Thus we must have $Gr(\Psi) = \{\pm \epsilon_i, \pm (\epsilon_i \pm \epsilon_j) : i \neq j \in I\}$. \Box

Lemma 9. Let Ψ be an irreducible symmetric closed subset of Φ and I be defined as above. Suppose that $|\pi_m(\tilde{Z}_{\epsilon_i})| = 2$ for some $i \in I$. Then

(1) $|\pi_m(\tilde{Z}_{\epsilon_j})| = 2$ for all $j \in I$. (2) $Gr(\Psi) = BC_I$ and $|\pi_m(Z_{\epsilon_i \pm \epsilon_j})| = 2$. \Box

5.3. Generalizing [21, Section 9.1], we now define certain symmetric, closed subsets of Φ . For $I \subseteq I_n$, $J \subseteq I$, $\tau \in 2\mathbb{Z}_+$, and a \mathbb{Z} -linear function $p: B_I \to \mathbb{Z} + 1/2$ such that $p_{\epsilon_i} \in 2\mathbb{Z} + \frac{1}{2}$ (resp. $p_{\epsilon_i} \in 2\mathbb{Z} + \frac{3}{2}$) for $i \in J$ (resp. $i \in I \setminus J$), define

$$\Psi_{\tau}^{+}(p, I, J) := \bigcup_{\alpha \in B_{I}} (\alpha + (p_{\alpha} + \tau \mathbb{Z})\delta),$$

and $\Psi_{\tau}(p, I, J) := \Psi_{\tau}^{+}(p, I, J) \cup (-\Psi_{\tau}^{+}(p, I, J))$. We first consider the situation that appears in Lemma 8. In this case, we have

Proposition 11. Let Ψ be an irreducible symmetric closed subset of Φ such that $Gr(\Psi) \cap \Phi_s \neq \emptyset$. Let $I = \{i \in I_n : \epsilon_i \in Gr(\Psi)\}$ and assume that $|\pi_m(\tilde{Z}_{\epsilon_i})| = 1$ for some $i \in I$. Then there exist $J \subseteq I \subseteq I_n, \tau \in 2\mathbb{Z}_+$, and a \mathbb{Z} -linear function $p : B_I \to \mathbb{Z} + 1/2$ satisfying $p_{\epsilon_i} \in 2\mathbb{Z} + \frac{1}{2}$ (resp. $p_{\epsilon_i} \in 2\mathbb{Z} + \frac{3}{2}$) for $i \in J$ (resp. $i \in I \setminus J$), such that

$$\Psi = \Psi_{\tau}(p, I, J).$$

In particular, Ψ is a closed subroot system of Φ .

Proof. We have that $Gr(\Psi) = B_I$ by Lemma 8(2). It is easy to check that $Z_{\alpha} + Z_{\beta} \subseteq Z_{\alpha+\beta}$ holds for all $\alpha, \beta, \alpha + \beta \in Gr(\Psi)$. Define $J := \{j \in I \mid Z_{\epsilon_j} \subseteq 2\mathbb{Z} + \frac{1}{2}\}$. Define a \mathbb{Z} -linear function $p: Gr(\Psi) \to \mathbb{Z} + 1/2$ by choosing $p_i \in Z_{\epsilon_i}, i \in I$ and extending \mathbb{Z} -linearly. Then we have that $p_{\epsilon_i} \in 2\mathbb{Z} + \frac{1}{2}$ (resp. $p_{\epsilon_i} \in 2\mathbb{Z} + \frac{3}{2}$) for $i \in J$ (resp. $i \in I \setminus J$). As before, set $Z'_{\alpha} := Z_{\alpha} - p_{\alpha}, \ \alpha \in Gr(\Psi)$. Note that the argument of Proposition 3 goes through in this case, so we get that $A = Z'_{\alpha} = Z'_{\beta} \ \forall \alpha, \beta \in Gr(\Psi)$ and A is a subgroup of \mathbb{Z} , say $A = \tau\mathbb{Z}$. Since $|\pi_m(Z_{\epsilon_i\pm\epsilon_i})| = 1$, we must have $\tau \in 2\mathbb{Z}_+$. Thus $\Psi = \Psi_{\tau}(p, I, J)$. \Box

5.4. For $k \in \mathbb{Z}_+, I \subseteq I_n$ and a \mathbb{Z} -linear function $p : BC_I \to \mathbb{Z} + 1/2$, define $\Psi_k(p, I) := \Psi_k^+(p, I) \cup (-\Psi_k^+(p, I))$ where $\Psi_k^+(p, I)$ is given by

$$\Psi_k^+(p,I) := \bigcup_{\alpha \in B_I} (\alpha + (p_\alpha + k\mathbb{Z})\delta) \cup \bigcup_{i \in I} (2\epsilon_i + (2p_{\epsilon_i} + k(2\mathbb{Z} + 1))\delta).$$

We now consider the case that appears in Lemma 9, i.e., when some Z_{ϵ_i} contains elements of different parities. In this case, we have

Proposition 12. Let Ψ be an irreducible symmetric closed subset of Φ . Let $I = \{i \in I_n : \epsilon_i \in Gr(\Psi)\}$ and assume that $|\pi_m(\tilde{Z}_i)| = 2$ for some $i \in I$. Then there is an odd integer $k \in \mathbb{Z}_+$ and a \mathbb{Z} -linear function $p : Gr(\Psi) \to \mathbb{Z} + 1/2$ such that $\Psi = \Psi_k(p, I)$. In particular, Ψ is a closed subroot system of Φ .

Proof. By Lemma 8, we have $Gr(\Psi) = BC_I$. It is easy to check that $Z_{\alpha} + Z_{\beta} \subseteq Z_{\alpha+\beta}$ whenever $\alpha \neq \beta \in \{\pm \epsilon_i : i \in I\}$. We define $p: B_I \to \mathbb{Z} + 1/2$ by choosing $p_i \in Z_{\epsilon_i}, i \in I$ and extending \mathbb{Z} -linearly. As before, $Z'_{\alpha} = Z_{\alpha} - p_{\alpha}$ are equal and subgroups of \mathbb{Z} for all $\alpha \in B_I$. Since $|\pi_m(Z_{\epsilon_i \pm \epsilon_j})| = 2$ holds by Lemma 8, so we must have that k is an odd integer. Write $Z_{\epsilon_i} = A \cup B$ where $A = p_{\epsilon_i} + 2k\mathbb{Z}, B = p_{\epsilon_i} + k(1+2\mathbb{Z})$. Since $A + B \subseteq 2\mathbb{Z}$ and Ψ is closed, we have $A + B = k + 2p_{\epsilon_i} + 2k\mathbb{Z} \subseteq Z_{2\epsilon_i}$. We see that $Z_{2\epsilon_i} = k + 2p_i + 2k\mathbb{Z}$, since Ψ is closed and we have $Z_{2\epsilon_i} + Z_{-\epsilon_i} \subseteq Z_{\epsilon_i}$. This gives us that $\Psi = \Psi_k(p, I)$. \Box

Remark 6. As in the reduced case, we define $Gr(\Psi)$ is semi-closed if $\alpha, \beta \in Gr(\Psi)$ such that $\alpha + \beta \in \mathring{\Phi}$ but $\alpha + \beta \notin Gr(\Psi)$ implies that $(\alpha, \beta, \alpha + \beta)$ is of type (s, s, d) or (ℓ, ℓ, d) . Note that the gradient of $\Psi_{\tau}(p, I, J)$ is semi-closed subset of $\mathring{\Phi}$, while the gradient of $\Psi_k(p, I)$ is closed in $\mathring{\Phi}$.

Remark 7. Maximal closed subroot systems in this case are classified in [21, Section 9]. Note that

(1) $\Psi_I(A_{2n}^{(2)}) = \Psi_n(p, I, J)$ with $I = I_n, J = I, p_{\epsilon_i} = \frac{1}{2}, i \in I.$ (2) $\Psi(p, n_s) = \Psi_n(p, I).$ (3) \widehat{A}_J is a union of a symmetric closed subset of $A_{2n-1}^{(2)}$ and $\Psi_n(p, I).$

6. Real closed subsets and regular subalgebras

In this section, we will study the correspondence between the symmetric closed subsets of Φ and the regular subalgebras of the affine Lie algebra \mathfrak{g} generated by them. Let us denote by $\mathcal{C}_{sym}(\mathfrak{g})$ the set of symmetric closed subsets of Φ and recall that $\mathfrak{g}(\Psi)$ denotes the subalgebra of \mathfrak{g} generated by $\bigcup_{\alpha \in \Psi} \mathfrak{g}_{\alpha}$, for $\Psi \in \mathcal{C}_{sym}(\mathfrak{g})$. Denote by $\mathcal{R}(\mathfrak{g}) = {\mathfrak{g}(\Psi) : \Psi \in \mathcal{C}_{sym}(\mathfrak{g})}$ the set of all regular subalgebras of \mathfrak{g} generated by the symmetric closed subsets of Φ , and define a map

$$\iota_{\mathfrak{g}}: \mathcal{C}_{\mathrm{sym}}(\mathfrak{g}) \to \mathcal{R}(\mathfrak{g})$$

by $\iota_{\mathfrak{g}}(\Psi) = \mathfrak{g}(\Psi)$. The following result is well-known in the finite setting (see, for example [11, Proposition 4.1]):

Proposition 13. Let $(\mathring{g}, \mathring{h})$ be the pair of finite-dimensional simple Lie algebra and its Cartan subalgebra corresponding to the root system $\mathring{\Phi}$. Then the map $\mathring{\Psi} \mapsto \mathfrak{g}(\mathring{\Psi})$ from the set of closed subsets of $\mathring{\Phi}$ to the set of \mathring{h} -invariant subalgebras of $\mathring{\mathfrak{g}}$ is bijective. \Box

It is easy to see that unlike in the finite case, the map $\iota_{\mathfrak{g}}$ is not injective for any \mathfrak{g} , even if we restrict it to symmetric closed subsets of Φ .

Example 1. Let \mathfrak{g} be any affine Lie algebra not of type $A_{2n}^{(2)}$ and α be a short root (a short simple root if \mathfrak{g} is twisted) in $\mathring{\Phi}$. Consider two symmetric, real closed subsets Ψ_1, Ψ_2 of Φ defined by

$$\Psi_1 := \{ \alpha + \delta, -\alpha + \delta, -\alpha - \delta, \alpha - \delta \}, \quad \Psi_2 := \{ \alpha + 3\delta, \alpha + \delta, -\alpha - 3\delta, -\alpha - \delta \}.$$

We have the following relations:

$$\begin{bmatrix} [\mathfrak{g}_{\pm\alpha\pm r\delta}, \mathfrak{g}_{\mp\alpha\pm s\delta}], \mathfrak{g}_{\alpha\pm p\delta} \end{bmatrix} \neq 0 \quad \text{for all } p, r, s \in \mathbb{Z} \text{ and} \\ \begin{bmatrix} \mathfrak{g}_{\pm\alpha\pm r\delta}, \mathfrak{g}_{\mp\alpha\pm s\delta} \end{bmatrix}, \mathfrak{g}_{-\alpha\pm p\delta} \end{bmatrix} \neq 0 \quad \text{for all } p, r, s \in \mathbb{Z}.$$

$$(6.1)$$

Using the commutation relations in Equation (6.1) we get that $\pm \alpha + (1 + 2\mathbb{Z})\delta \subseteq \Delta(\Psi_i)$, i = 1, 2, and these are the only real roots appearing in $\Delta(\Psi_i)$, i = 1, 2. Moreover the parts of $\mathfrak{g}(\Psi_i)$, i = 1, 2 containing the imaginary roots are given by

$$\mathfrak{g}(\Psi_i)_{\mathrm{im}} = \begin{cases} \bigoplus_{r \in \mathbb{Z}} \mathbb{C} \alpha^{\vee} \otimes t^{2r} & \text{ if } \mathfrak{g} \text{ is untwisted.} \\ \bigoplus_{r \in \mathbb{Z}} \mathbb{C} h^{\alpha} \otimes t^{2r} & \text{ if } \mathfrak{g} \text{ is twisted.} \end{cases}$$

where $h^{\alpha} = \sum_{k=0}^{m-1} \sigma^k(\alpha^{\vee})$ is the orbit sum of coroots representing α . Hence we have

$$\mathfrak{g}(\Psi_1) = \bigoplus_{r \in \mathbb{Z}} \mathfrak{g}_{\pm \alpha + (2r+1)\delta} \oplus \mathfrak{g}(\Psi_1)_{\mathrm{im}}, \quad \mathfrak{g}(\Psi_2) = \bigoplus_{r \in \mathbb{Z}} \mathfrak{g}_{\pm \alpha + (2r+3)\delta} \oplus \mathfrak{g}(\Psi_2)_{\mathrm{im}}$$

So $\Psi_1 \neq \Psi_2$ but $\iota_{\mathfrak{g}}(\Psi_1) = \iota_{\mathfrak{g}}(\Psi_2)$.

Remark 8. It is possible to get similar examples using Equation (3.4) when $Gr(\Psi)$ is of type B_2 , e.g. let Ψ_1 be given by Equation (3.4) and Ψ_2 be defined by switching the role of a_1 and a_2 . We leave the details to the reader, one can prove that $\Psi_1 \neq \Psi_2$, but $\iota_{\mathfrak{g}}(\Psi_1) = \iota_{\mathfrak{g}}(\Psi_2)$.

6.1. Recall a result from [21, Corollary 11.1.5], the map $\Psi \mapsto \mathfrak{g}(\Psi)$ is injective if we restrict to the set of closed subroot systems of Φ . That means the map $\iota_{\mathfrak{g}}$ restricted to all closed subroot systems of Φ is injective, say $\iota'_{\mathfrak{g}}$ is the restriction map. The previous discussion motivates us to look for the best possible extension of the map $\iota'_{\mathfrak{g}}$ where the extension is injective i.e. we want to find the largest subset S of $\mathcal{C}_{\text{sym}}(\mathfrak{g})$ and a map $f: S \to \mathcal{R}(\mathfrak{g})$ such that f is an extension of $\iota'_{\mathfrak{g}}$ and $\iota_{\mathfrak{g}}|_{S} = f$. We indeed prove that if we have such an extension f, then $f = \iota'_{\mathfrak{g}}$ i.e. we can't extend $\iota'_{\mathfrak{g}}$ further.

Proposition 14. Suppose S is a subset of the set of symmetric closed subsets of Φ which contains all the closed subroot systems. Assume that the restriction of $\iota_{\mathfrak{g}}$ to S is injective. Then S must be the set of all closed subroot systems of Φ .

Proof. Let Ψ be a symmetric closed subset of Φ , which is not a subroot system of Φ . Then by Proposition 2, we know that $\Psi' = \Delta(\Psi) \cap \Phi$ is the minimal closed subroot system of Φ that contains Ψ . It is clear that $\Psi \neq \Psi'$, but $\mathfrak{g}(\Psi) = \mathfrak{g}(\Psi')$, i.e., $\iota_{\mathfrak{g}}(\Psi) = \iota_{\mathfrak{g}}(\Psi')$. So the largest subclass S of symmetric closed subsets of Φ for which the restriction $\iota|_S$ is injective is the set of all closed subroot systems of Φ . \Box

6.2. Fix $\Psi \in \mathcal{C}_{sym}(\mathfrak{g})$, now we will determine the preimage $\iota_{\mathfrak{g}}^{-1}(\mathfrak{g}(\Psi))$ using our results, i.e., we will determine all possible $\Psi' \in \mathcal{C}_{sym}(\mathfrak{g})$ such that $\mathfrak{g}(\Psi') = \mathfrak{g}(\Psi)$. Note that $\Delta(\Psi) \cap \Phi$ is the unique real closed subroot system in $\iota_{\mathfrak{g}}^{-1}(\mathfrak{g}(\Psi))$, so it is enough to determine $\iota_{\mathfrak{g}}^{-1}(\mathfrak{g}(\Psi))$ when Ψ is a real closed subroot system. If $\Psi' \in \iota_{\mathfrak{g}}^{-1}(\mathfrak{g}(\Psi))$, then from the definition it is clear that $Gr(\Psi) = Gr(\Psi')$ and by Proposition 2 we have

$$\iota_{\mathfrak{g}}^{-1}(\mathfrak{g}(\Psi)) = \{ \Psi' \in \mathcal{C}_{\mathrm{sym}}(\mathfrak{g}) : \Delta(\Psi') \cap \Phi = \Psi \}.$$

To determine the preimage we need the following example.

Example 2. Let Ψ be a symmetric closed subset of Φ such that $Gr(\Psi)$ is of type B_2 . Then by Proposition 6 there is a \mathbb{Z} -linear function $p: Gr(\Psi) \to \mathbb{Z}, n_\ell \in 2\mathbb{Z}_+$ and odd integers $1 \leq a_1, a_2 \leq n_\ell$ with $a_1 + a_2 \equiv 0 \pmod{n_\ell}$ such that

$$\Psi^+ = \{\alpha_i + (p_i + A_i)\delta : i = 1, 2\} \cup \{\pm(\alpha_1 \pm \alpha_2) + (\pm p_1 \pm p_2 + n_\ell \mathbb{Z})\delta : \alpha \in \mathring{\Phi}_\ell^+\}$$

where $A_i = n_\ell \mathbb{Z} \cup (a_i + n_\ell \mathbb{Z}), i = 1, 2$. We shall determine $\Delta(\Psi)$ in this case and we shall show that $\Delta(\Psi) \cap \Phi$ is of the form Equation (3.5) with $n_s = \gcd(a_1, n) = \gcd(a_2, n)$. Since a_1 is odd, we have $2 \nmid n_s$. Since $a_1 + a_2 \equiv 0 \pmod{n_\ell}$ we have

$$\begin{aligned} Z_{\alpha_1}(\Psi) &= n_{\ell} \mathbb{Z} \cup (a_1 + n_{\ell} \mathbb{Z}), \quad Z_{-\alpha_1}(\Psi) = n_{\ell} \mathbb{Z} \cup (a_2 + n_{\ell} \mathbb{Z}) \text{ and} \\ Z_{\alpha_2}(\Psi) &= n_{\ell} \mathbb{Z} \cup (a_2 + n_{\ell} \mathbb{Z}), \quad Z_{-\alpha_2}(\Psi) = n_{\ell} \mathbb{Z} \cup (a_1 + n_{\ell} \mathbb{Z}) \end{aligned}$$

Hence $Z_{\alpha_i}(\Psi) + Z_{-\alpha_i}(\Psi) = n_\ell \mathbb{Z} \cup (a_1 + n_\ell \mathbb{Z}) \cup (a_2 + n_\ell \mathbb{Z})$ for i = 1, 2. We shall show that $Z_{\pm \alpha_i}(\Delta(\Psi)) \supseteq \pm p_i + \gcd(a_i, n_\ell) \mathbb{Z}$. Since for any $r \in \mathbb{Z}$, $[\alpha_1^{\vee}, \mathfrak{g}_{\pm \alpha_1 + r\delta}] \neq 0$ holds, for any $s \in \mathbb{Z}_+$ we have $p_1 + sa_1 + n_\ell \mathbb{Z} \subseteq Z_{\alpha_1}(\Delta(\Psi))$. Also since $a_1 + a_2 \equiv 0 \pmod{n_\ell}, a_2 + n_\ell \mathbb{Z}$ lies in the set $\sum_{k \in \mathbb{Z}} ka_1 + n_\ell \mathbb{Z}$. Exchanging the role of a_1 and a_2 in the argument we get

$$Z_{\alpha_i}(\Delta(\Psi)) \supseteq p_i + \sum_{k \in \mathbb{Z}} (ka_i + n_\ell \mathbb{Z}) = p_i + \gcd(a_1, n_\ell) \mathbb{Z}, \quad i = 1, 2.$$

Although $[\alpha_i^{\vee}, \mathfrak{g}_{\alpha_1 \pm \alpha_2 + r\delta}] \neq 0, i = 1, 2$, we have $p_1 \pm p_2 + sa_1 + n_\ell \mathbb{Z} \subseteq Z_{\alpha_1 \pm \alpha_2}(\Delta(\Psi))$ if and only if s is even. Hence it follows that $Z_{\alpha_1 \pm \alpha_2}(\Delta(\Psi)) \supseteq p_1 \pm p_2 + 2\gcd(a_1, n_\ell)\mathbb{Z}$. But $\Psi' := \{ \pm \alpha_i + \lceil \pm p_i + \gcd(a_1, n_\ell) \mathbb{Z} \rceil \delta \} \cup \{ \pm (\alpha_1 \pm \alpha_2) + \lceil \pm p_1 \pm p_2 + 2\gcd(a_1, n_\ell) \mathbb{Z} \rceil \delta \}$

is a real closed subroot system of Φ containing Ψ and contained in $\Delta(\Psi) \cap \Phi$. By Proposition 2 we have $\Psi' = \Delta(\Psi) \cap \Phi$ and hence the result.

6.3. We first determine the preimage for Ψ , which is irreducible and of the form Equation (3.5).

Lemma 10. Let Ψ be an irreducible closed subroot system of Φ of the form Equation (3.5) and n_s as given in Equation (3.5). For any given positive integer r, there are exactly $\varphi(2r)$ symmetric, real closed subsets Ψ' in $\iota_{\mathfrak{g}}^{-1}(\mathfrak{g}(\Psi))$ such that $n_{\ell}(\Psi') = 2rn_s$, where φ is the Euler's totient function. In particular, $\iota_{\mathfrak{g}}^{-1}(\mathfrak{g}(\Psi))$ is infinite in this case. For a fixed n_s and r, all $\Psi' \in \iota_{\mathfrak{g}}^{-1}(\mathfrak{g}(\Psi))$ with $n_{\ell}(\Psi') = 2rn_s$ are given by Equation (3.4) where a_1 is a cyclic generator of the group $\langle n_s \rangle$ in $\mathbb{Z}/(2rn_s)\mathbb{Z}$.

Proof. If $\Psi' \in \iota_{\mathfrak{g}}^{-1}(\mathfrak{g}(\Psi))$, then Ψ' must be of the form Equation (3.4). For a fixed r, $\Psi' \in \iota_{\mathfrak{g}}^{-1}(\mathfrak{g}(\Psi))$ such that $n_{\ell}(\Psi') = 2rn_s$ are in one to one correspondence with all a_1 such that $1 \leq a_1 \leq 2rn_s$ and $\gcd(a_1, 2rn_s) = n_s$ by Example 2. It is elementary to check that $\gcd(a_1, 2rn_s) = n_s$ if and only if a_1 is a generator of the cyclic group $\langle n_s \rangle$ in $\mathbb{Z}/(2rn_s)\mathbb{Z}$. Hence the number of such a_1 is equal to $\varphi(2rn_s/n_s) = \varphi(2r)$. \Box

We are now ready to prove our main result of this section.

Proposition 15. For any irreducible real closed subroot system Ψ of Φ , we have

$$\iota_{\mathfrak{g}}^{-1}(\mathfrak{g}(\Psi)) = \{\Psi\}$$

if either $Gr(\Psi)$ is not of type A_1, B_2 or if $Gr(\Psi) = B_2$ and Ψ is as in Proposition 4. And $\iota_{\mathfrak{a}}^{-1}(\mathfrak{g}(\Psi))$ is infinite for all other cases.

Proof. Suppose that no irreducible component of $Gr(\Psi)$ is of type A_1 or B_2 , then any $\Psi' \in \iota_{\mathfrak{g}}^{-1}(\mathfrak{g}(\Psi))$ is a closed subroot system by our previous results and in this case we have $\iota_{\mathfrak{g}}^{-1}(\mathfrak{g}(\Psi)) = \{\Psi\}$. Now suppose that $Gr(\Psi)$ is of type B_2 . From Example 2, a symmetric, real closed subset Ψ' of the form Equation (3.4) generates a closed subroot system only of the form Equation (3.5). Hence if $Gr(\Psi)$ is of type B_2 and Ψ is of the form of Proposition 4, then any $\Psi' \in \iota_{\mathfrak{g}}^{-1}(\mathfrak{g}(\Psi))$ is a closed subroot system of Φ and hence in this case $\iota_{\mathfrak{g}}^{-1}(\mathfrak{g}(\Psi)) = \{\Psi\}$.

Now let Ψ be of the form Equation (3.5) and let n_s as in Equation (3.5). If $\Psi' \in \iota_{\mathfrak{g}}^{-1}(\mathfrak{g}(\Psi))$, then Ψ' is of the form Equation (3.4) where $n_{\ell}(\Psi')$ is an even multiple of n_s . Remaining follows from Lemma 10.

Remark 9. One of the drastic differences between the finite and affine root system theory is that even maximal symmetric closed subsets are not necessarily closed subroot systems.

238

For example, let n_{ℓ} be any even integer ≥ 4 and a_1 be any integer which is $\leq n_{\ell}, a_1 \neq n_{\ell}/2$ and $gcd(a, n_{\ell}) = 1$. Then define Ψ with this n_{ℓ} and a_1 as in Proposition 6. Then Ψ is a proper symmetric closed subset which is not a closed subroot system and by Example 2, $\Delta(\Psi) \cap \Phi = \Phi$. Suppose $\Psi' \supseteq \Psi$ is a symmetric closed subset that is not a subroot system, then we have that $Z_{\epsilon_i}(\Psi')$ is a union of two cosets of $n_{\ell}\mathbb{Z}$. This implies that $\Psi' = \Psi$. Hence Ψ is a maximal symmetric closed subset of Φ , but not a closed subroot system. \Box

7. Summary

Let Φ be a real affine root system and Ψ be a symmetric closed subset of Φ . In this section we shall summarize all the results in the following table. We assume that Equation (2.2) and Equation (2.3) are the decomposition of Ψ and $Gr(\Psi)$ into irreducible components respectively. We assume that $p: Gr(\Psi) \to \mathbb{Z}$ is a \mathbb{Z} -linear function such that $p_{\alpha} \in Z_{\alpha}, \ \forall \alpha \in Gr(\Psi)$. We have three different types of forms for Ψ .

$$\Psi_i = \{ \alpha + (p_\alpha + n\mathbb{Z})\delta : \alpha \in Gr(\Psi_i) \}$$
(7.1)

$$\Psi = \{ \alpha + (p_{\alpha} + n_s \mathbb{Z})\delta : \alpha \in Gr(\Psi)_s \} \cup \{ \gamma + (p_{\gamma} + mn_s \mathbb{Z})\delta : \gamma \in Gr(\Psi)_\ell \}$$
(7.2)

$$\Psi_i^+ = \{\epsilon_i + (p_{\epsilon_i} + A_i)\delta : i = 1, 2\} \cup \{\alpha + (p_\alpha + n_\ell \mathbb{Z})\delta : \alpha \in \check{\Phi}_\ell^+\} \text{ as in Proposition 6}$$
(7.3)

✓ and × in remark imply that Ψ_i is a closed subroot system and is not a closed subroot system respectively.

$Gr(\Psi_i)$	Case	Form of Ψ_i	Remark
Closed	$ \pi_m(Z_\alpha) = 1, \ \forall \alpha \in Gr(\Psi)$	Equation (7.1)	1
	$\exists \alpha \in Gr(\Psi)_s$	Equation (7.2)	1
	such that $ \pi_m(Z_\alpha) > 1$	Equation (7.3)	X
Semi-closed	$D^{(2)}$	Equation (7.2)	1
	D_{n+1}	Equation (7.2)	1
	A ⁽²⁾	Equation (7.3)	X
	A_{2n-1}	Proposition 8	1
	$D_4^{(3)}$	Equation (7.1)	1
	$E_{6}^{(2)}$	Equation (7.1)	1
	A ⁽²⁾	Proposition 11	1
	A_{2n}	Proposition 12	1

Data availability

No data was used for the research described in the article.

References

- Grant T. Barkley, David E. Speyer, Combinatorial descriptions of biclosed sets in affine type, arXiv: 2207.05998, 2022.
- [2] A. Borel, J. De Siebenthal, Les sous-groupes fermés de rang maximum des groupes de Lie clos, Comment. Math. Helv. 23 (1949) 200–221.
- [3] Z.I. Borevič, On the question of the enumeration of finite topologies. Modules and representations, Zap. Naučn. Semin. Leningrad. Otdel. Mat. Inst. Steklov. (LOMI) 71 (47–65) (1977) 283.
- [4] Nicolas Bourbaki, Lie Groups and Lie Algebras, Chapters 4–6, Elements of Mathematics (Berlin), Springer-Verlag, Berlin, 2002, translated from the 1968 French original by Andrew Pressley.
- [5] Gunnar Brinkmann, Brendan D. McKay, Posets on up to 16 points, Order 19 (2) (2002) 147–179.
- [6] R.W. Carter, Conjugacy classes in the Weyl group, Compos. Math. 25 (1972) 1–59.
- [7] R.W. Carter, Lie Algebras of Finite and Affine Type, Cambridge Studies in Advanced Mathematics, vol. 96, Cambridge University Press, Cambridge, 2005.
- [8] Paola Cellini, Paolo Papi, Ad-nilpotent ideals of a Borel subalgebra, J. Algebra 225 (1) (2000) 130–141.
- P. Check, C. Kriloff, D.R. Stephenson, A classification of invertible subsets of affine root systems, J. Pure Appl. Algebra 131 (2) (1998) 133–142.
- [10] D.Ž. Doković, P. Check, J.-Y. Hée, On closed subsets of root systems, Can. Math. Bull. 37 (3) (1994) 338–345.
- [11] Andrew Douglas, Willem A. de Graaf, Closed subsets of root systems and regular subalgebras, J. Algebra 565 (2021) 531–547.
- [12] M.J. Dyer, G.I. Lehrer, Reflection subgroups of finite and affine Weyl groups, Trans. Am. Math. Soc. 363 (11) (2011) 5971–6005.
- [13] M.J. Dyer, G.I. Lehrer, Root subsystems of loop extensions, Transform. Groups 16 (3) (2011) 767–781.
- [14] E.B. Dynkin, Regular semisimple subalgebras of semisimple Lie algebras, Dokl. Akad. Nauk SSSR (N.S.) 73 (1950) 877–880.
- [15] Anna Felikson, Alexander Retakh, Pavel Tumarkin, Regular subalgebras of affine Kac-Moody algebras, J. Phys. A 41 (36) (2008) 365204.
- [16] V.M. Futorny, The parabolic subsets of root system and corresponding representations of affine Lie algebras, in: Proceedings of the International Conference on Algebra, Part 2, Novosibirsk, 1989, in: Contemp. Math., vol. 131, Amer. Math. Soc., Providence, RI, 1992, pp. 45–52.
- [17] Alan Harebov, Nikolai Vavilov, On the lattice of subgroups of Chevalley groups containing a split maximal torus, Commun. Algebra 24 (1) (1996) 109–133.
- [18] James E. Humphreys, Introduction to Lie Algebras and Representation Theory, Graduate Texts in Mathematics, vol. 9, Springer-Verlag, New York-Berlin, 1978, second printing, revised.
- [19] G. Kac Victor, Infinite-Dimensional Lie Algebras, third edition, Cambridge University Press, Cambridge, 1990.
- [20] Deniz Kus, R. Venkatesh, Borel-de Siebenthal theory for affine reflection systems, Mosc. Math. J. 21 (1) (2021) 99–127.
- [21] Krishanu Roy, R. Venkatesh, Maximal closed subroot systems of real affine root systems, Transform. Groups 24 (4) (2019) 1261–1308.
- [22] Ruedi Suter, Abelian ideals in a Borel subalgebra of a complex simple Lie algebra, Invent. Math. 156 (1) (2004) 175–221.