

1 **Probing the structure of the HIV-1 Envelope trimer**
2 **using Aspartate Scanning Mutagenesis**

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4 **Raksha Das¹, Rohini Datta¹, Raghavan Varadarajan^{1,2,*}**

5
6 ¹Molecular Biophysics Unit, Indian Institute of Science, Bangalore-560012, India

7 ²Jawaharlal Nehru Center for Advanced Scientific Research, Bangalore 560 004, India

8
9 *Corresponding Author

10 Raghavan Varadarajan, Molecular Biophysics Unit, Indian Institute of Science, Bangalore, 560012
11 (INDIA), *Email: varadar@iisc.ac.in*, PHONE: +91-80-22932612, FAX: +91-80-23600535

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22 **Abstract:**

23 HIV-1 Env glycoprotein gp160 exists as a trimer of heterodimers on the viral surface. In most structures
24 of the soluble ectodomain of trimeric HIV-1 Envelope glycoprotein, the region from 512-517 of the fusion
25 peptide and 547- 568 of the N- heptad repeat is disordered. We used aspartate scanning mutagenesis of
26 Subtype B, JRFL Env as an alternate method to probe residue burial in the context of cleaved, cell surface
27 expressed Env, as buried residues should be intolerant to substitution with Asp. The data are inconsistent
28 with a fully disordered 547-568 stretch as residues 548, 549, 550, 555, 556, 559, 562, 566-569 are all
29 sensitive to Asp substitution. In the fusion peptide region, residues 513 and 515 were also sensitive to Asp
30 substitution, suggesting that the fusion peptide may not be fully exposed in native Env.gp41 is metastable
31 in the context of native trimer. Introduction of Asp at residues that are exposed in the pre-fusion state but
32 buried in the post-fusion state is expected to destabilize the post-fusion state and any intermediate states
33 where the residue is buried. We therefore performed sCD4 induced gp120 shedding experiments to
34 identify Asp mutants at residues 551, 554-559, 561-567, 569 that could prevent gp120 shedding. We also
35 observed similar mutational effects on shedding for equivalent mutants in the context of Clade C Env
36 from isolate, 4-2J.41. These substitutions can potentially be used to stabilize native like trimer derivatives
37 that are used as HIV-1 vaccine immunogens.

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39 **Importance:**

40 In most crystal structures of the soluble ectodomain of the HIV-1 Env trimer, some residues in the fusion
41 and N-heptad repeat regions are disordered. Whether this is true in the context of native, functional Env on
42 the virion surface is not known. This knowledge may be useful for stabilizing Env in its prefusion
43 conformation and will also help to improve understanding of the viral entry process. Burial of the charged

44 residue Asp in a protein structure is highly destabilizing. We therefore used Asp scanning mutagenesis to
45 probe burial of apparently disordered residues in native Env and, to examine the effect of mutations in
46 these regions on Env stability and conformation as probed by antibody binding to cell surface expressed
47 Env, CD4 induced shedding of HIV-1 gp120, and viral infectivity studies. Mutations that prevent
48 shedding can potentially be used to stabilize native-like Env constructs for use as vaccine immunogens.

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62 **Introduction:**

63 HIV-1 is the causative agent of Acquired Immunodeficiency Syndrome (AIDS). The virus infects target
64 cells through its Env glycoprotein. This envelope glycoprotein is synthesized as a gp160 precursor protein
65 inside the cell. During the course of transport to the virion surface, it is cleaved by the host cell protease
66 Furin, into surface exposed gp120 and membrane anchored gp41. The three gp120 and gp41 monomers
67 are associated non-covalently forming a trimer of heterodimers (1, 2). The integrity of this trimer is
68 important for HIV-1 viral entry into the host cell. Since a significant fraction of gp120 is surface exposed,
69 it is the primary target of the host humoral immune response. gp120 binds to the primary host cell receptor
70 CD4, this induces conformational changes in the envelope that in turn expose the co-receptor binding site
71 for binding to CXCR4/CCR5, ultimately leading to virus-cell fusion (1, 3–6).

72 On the virion surface, there are also other non-functional Env derivatives such as gp41 stumps, and
73 misfolded gp120 termed as junk envelope (7, 8) that elicit non-neutralizing antibodies. Monomeric gp120,
74 when used as a vaccine, also primarily elicits non-neutralizing immune responses (9–11). In order to drive
75 elicitation of broadly protective neutralizing immune responses, the native trimer is thought to be the most
76 relevant immunogen. Many efforts have been made to make an immunogen closely mimics the native
77 trimer. Since gp41 is metastable in nature, a disulfide linkage between gp120 and gp41 in combination
78 with the I559P mutation (SOSIP) has been used to stabilize the trimer (12, 13). In an alternative strategy,
79 the gp120 and gp41 subunits were linked with a G4S 20 residue flexible linker to generate the so called
80 NFL trimer (14–16). A number of other stabilizing mutations have been introduced into these base
81 constructs (17–20) . However, so far none of these derivatives have elicited broadly neutralizing
82 antibodies in animal immunizations (21–24). Challenge studies in rhesus macaques have revealed varying
83 levels of protection against heterologous pathogenic challenge (25, 26). Recently, a cyclically permuted
84 gp120 trimer derived from JRFL sequence was shown to confer significant protection against

85 heterologous challenge with the same SHIV162.P3 isolate used in the above studies in non-human
86 primates (27). Multiple studies (28–30) have shown that cleaved, cell-surface expressed Env displays
87 some antigenic differences from the BG505 SOSIP.664 soluble gp140 structure that is the template for
88 most immunogen design. A recent single molecule FRET study also suggested that the native, pre-
89 triggered conformation of HIV-1 Env on intact virions, differs subtly from those in the various soluble
90 Env derivatives characterized to date (31–33).

91 In a previous study, we had shown that aspartate scanning mutagenesis can be a useful tool to probe
92 residue burial in proteins (34) as introduction of Asp at a buried position will significantly destabilize the
93 folded structure. During the course of viral fusion, gp41 undergoes conformational changes in which the
94 NHR (N-heptad repeat) region of gp41 interacts with the CHR (C-heptad repeat) to form a six-helix
95 bundle structure that drives the fusion of viral and host cell membrane. The post-fusion structure of gp41
96 is known (35). There has been much recent progress in determining the structure of the Env ectodomain
97 gp140 by Cryo-EM and crystallography (36–41) (Figure 1). In most of these structures, the gp41 regions
98 547-568 and fusion peptide 512-517 are disordered (40). In addition, there is no high-resolution structure
99 of the Env ectodomain, in the absence of any stabilizing ligands or mutations. The prefusion structure of
100 JRFL gp160 (38) suggested that part of the 547-568 region is helical but this needs confirmation by
101 additional experiments. The above structure also involved in a complex with the MAb PGT151, which has
102 recently been suggested to trap Env in a non-native conformation (31). We, therefore mutated individual
103 residues in the above two stretches to aspartate, to probe the burial of residues in these apparently
104 disordered regions. We also substituted a few residues with the bulky hydrophobic residue Tryptophan
105 since this should also perturb the structure when introduced at buried locations (42). In earlier studies,
106 tryptophan scanning mutagenesis has been used to identify membrane facing regions in helical segments
107 of transmembrane proteins (43, 44). We expressed WT and mutated derivatives on the surface of

108 HEK293T cells and monitored their expression and binding to various antibodies by Flow cytometry (33,
109 45). To further examine the generality of results obtained with subtype B JRFL Env, a subset of mutations
110 was also made in Env from the Clade C, isolate 4-2J.41, as this isolate is also cleaved and binds
111 selectively to neutralizing antibodies when expressed on the mammalian cell surface (46–48). The data
112 identify sites in both fusion peptide and the 547-568 that are likely to be at least partially buried in native
113 Env.

114 We were able to identify positions where the Env expression and binding to conformation specific
115 antibodies were not affected by mutation to Asp. During, the course of viral fusion, gp120 is shed, and the
116 N-heptad repeat, and C-heptad repeats of gp41 form a six-helix bundle which drives viral fusion. We
117 therefore also investigated whether these mutations could prevent gp120 shedding and help retain Env
118 glycoprotein in its native, prefusion form, and identified several such mutations that prevent shedding.
119 These mutations can potentially be used to stabilize native-like Env constructs for use as vaccine
120 immunogens.

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122 **Results:**

123 **Expression of different aspartate mutants**

124 JRFL gp160 (truncated at its cytoplasmic tail: JRFL gp160dCT) when expressed on the HEK 293T cell
125 surface, is efficiently processed into gp120 and gp41, and native-like Env oligomers are displayed on the
126 cell surface (45, 49, 50). Previous studies have shown that cytoplasmic tail truncation has minimal effects
127 on Env conformation (32). Cell surface expressed Env was probed for binding against different
128 neutralizing and non-neutralizing antibodies by FACS. The properly cleaved native-like envelope trimer
129 binds selectively to CD4bs directed neutralizing antibodies such as b12, and significantly less to non-
130 neutralizing antibodies, such as b6 (45). JRFLgp160dCT is truncated at the cytoplasmic tail (residue 711)

131 for increased surface expression. The gene in the plasmid is not-human codon optimized, so pcTAT
132 plasmid is co-transfected with the Env plasmid into HEK293T cells to enhance expression.

133 The disordered stretches in the Env crystal structures comprising residues 512-517 (fusion peptide) and
134 547-568 (HR1) were selected for Asp scanning mutagenesis. Following mutagenesis, individual mutants
135 were transfected into 293T cells. Surface expression was measured by binding of WT and mutants to the
136 2G12 antibody by FACS as described (28) (Figure 2). Mutants in fusion peptide V513D, G514D and
137 G516D are expressed at lower levels than WT. Although some mutants that lie in the 547-567 region such
138 as G547D, N554D, L555D, A558D, residues 561-566 and T569D are very well expressed suggesting they
139 are likely to be exposed, several residues in this supposedly disordered stretch are sensitive to Asp
140 substitution such as I548D, V549D, L556D. The three residues that do not fall in this disordered region
141 L576, A578, I580 are all buried in published ectodomain structures, (40), and are expectedly sensitive to
142 substitution with Asp residues (Figure 2 and Table 1), validating the approach.

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144 **Expression of Tryptophan mutants:**

145 Q567, L568 and A578 were also mutated to Trp to compare with results of Asp substitutions. Q567W has
146 similar expression as wild type, and L568W and A578W have nearly comparable expression (Table 1).
147 A578 is known to be buried in the Env structure (40). The lack of effect seen with the A578W substitution
148 suggests that W is not as good a probe of residue burial as D, confirming the results seen from previous
149 saturation mutagenesis studies (51, 52).

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151 **Conformational integrity of mutants:**

152 In addition to native Env, there are other non-native forms of envelope present on the cell surface such as
153 monomeric gp120 and gp41 stumps (13). To examine the conformational integrity of the mutants with

154 respect to the wild-type native-like trimer, cells expressing Env were stained with CD4bs directed
155 neutralizing (b12) and non-neutralizing antibody (b6) for JRFL gp160dCT and VRC01 and F105 for
156 Clade C Env 4-2J.41 (46, 47). Native-like trimeric Env is known to bind better to neutralizing antibodies
157 relative to non-neutralizing ones. 48 hours after transfection, cells were harvested and stained with CD4
158 binding site neutralizing (b12 or VRC01) and non-neutralizing (b6 or F105) antibodies, to screen for an
159 Env which behaves similarly to the wild-type, using already an established FACS method (28, 45, 53).
160 The b12 and b6 binding of all mutants were normalized with respect to wild- type. The b12/b6 ratio
161 provides information on the ability of the unliganded native trimer to adopt a wild-type like conformation
162 as non-native (Figure 3 and Table 1) and cleavage defective forms of Env have been shown previously to
163 have lower b12/ b6 ratios than WT (28, 45, 49).

164 Amongst all the mutants in the fusion peptide 512-517 region, V513D, I515D, have a lower b12/b6 ratio
165 than wild type (Figure 3 and Table 1).The Asp mutants at residues 547, 549, 554, 557, 558, 560, 561,563,
166 565, behaved similarly to the wild-type and apparently did not perturb native trimer formation. However,
167 mutants I548D, L555D, L556D, I559D, Q562D, and residues 566-569 have a lower b12/b6 ratio than
168 wild- type (Table 1). L576D, A578D, I580D mutations also significantly perturb the native trimer
169 conformation and appear to have the highest destabilization amongst all NHR mutants (Figure 3 and Table
170 1). Unlike L568D, A578D; L568W and A578W behaved nearly similar, to wild type. We examined the
171 cleavage efficiency of all mutants expressed on the cell surface by using PGT151 antibody (54, 55) as
172 PGT151 selectively binds to properly formed and cleaved trimers. Almost all mutants were found to be
173 well cleaved on the cell surface (Figure.9). We also examined the effects of a few mutants in the fusion
174 peptide (FP) (V513D, G514D, A517D) and N- heptad repeat (HR1), (Q552D, L555D, Q563D) regions in
175 Clade C Env 4-2J.41. Since this isolate is not neutralized by b12, we could not use b12/b6 ratio to probe
176 the conformational integrity of the cell surface expressed Env. Instead we used the VRC01/F105 ratio

177 since VRC01 and F105 serve as neutralizing and non-neutralizing antibodies for this isolate (47). The
178 values of this ratio for selected Asp mutants in strain 4-2 J.41 are shown in Figure 10.A and the overall
179 trends are similar to those observed for the b12/b6 ratio in corresponding mutants in subtype B JRFL Env
180 (Figure 3).

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182 **Some mutants diminish gp120 shedding upon treatment with sCD4:**

183 During the course of viral fusion, gp120 binds to the primary host cell receptor (CD4). This leads to
184 conformational changes in gp120 and gp41. gp120 is shed off from the virus and gp41 then drives viral
185 fusion with the host membrane (50, 56). In the post-fusion conformation, the NHR and CHR of gp41 form
186 a six-helix bundle (6HB) structure in which the NHR trimer is surrounded by three CHR helices (Figure
187 1). The mutation V570D has been shown to be 6HB destabilizing using a yeast surface two hybrid display
188 system (57, 58). The same mutation was shown to prevent gp120 shedding using mammalian cell surface
189 display (28). We attempted to identify other gp41 mutations that would prevent shedding since these can
190 be incorporated into Env immunogens to restrict their conformational variability.

191 A few mutations were selected from the NHR of gp41 in the stretch 547- 567, which showed good surface
192 expression and more importantly, a b12/b6 ratio of ≥ 0.7 (Table 1) indicating a WT like conformation. The
193 mutant Env plasmids were co-transfected along with pcTAT plasmid in HEK 293T cells. After 48 hours,
194 the transfected cells were harvested, 1×10^6 cells were incubated with 50 μ g/ml of sCD4, cells were
195 washed and then incubated with 2G12 at varying concentrations (shown here at 10 μ g/ml). gp120 shedding
196 from the cell surface was measured by 2G12 binding. The mutants G547D, V549D, Q551D, N553D,
197 N554D, Q560D could not prevent gp120 shedding (Figure 4 and Table 2). However, other mutants
198 namely Q552D, R557D, A558D, A561D, Q563D, R564D, M565D, helped in preventing gp120 shedding
199 (Figure 4 A and Table2). Other mutants that lie in “a” and “d” positions of the NHR post-fusion coiled-

200 coil (35, 57) and also in the apparently in disordered stretch 547-569 in BG505gp140 ectodomain crystal
201 structure (40) were also examined, and these mutants L555D, I559D, Q562D, L566D, T569D prevented
202 gp120 shedding. The previously characterized mutant, V570D was taken as a positive control. All the
203 above mutants behaved in a similar way as V570D (28, 57). Overall, the ability to prevent shedding in the
204 547-570 region is largely restricted to residues in the stretch from 555-570, suggesting that these residues
205 become buried in gp41 intermediates that form during the shedding process (Table 2). We also examined
206 shedding effects in subtype C 4-2J.41 Env. Since this strain is not neutralized by b12, we used VRC01
207 instead. (46–48). Overall, the results for this subtype C Env (Figure. 10B) were similar to those observed
208 with JRFL Env.

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210 **Mutants that prevent sCD4 induced gp120 shedding showed enhanced recognition of CD4i**
211 **antibodies 17b and 19e with gp41 cluster-I region remain occluded upon sCD4 induction:**

212 Mutants that prevent gp120 shedding upon sCD4 induction may or may not bind to sCD4. To further
213 examine this, cells expressing a subset of mutants shown in Figure 4 were incubated with sCD4 and
214 probed for binding to 17b (1, 59) and 19e (60–62) which bind to CD4i epitopes (Figure 5). Wild type and
215 all the mutants bound to 17b and 19e. The binding MFI for mutants that prevented gp120 shedding
216 (A558D, V570D) increased by more than two-fold after sCD4 induction compared to the mutants that
217 could not prevent gp120 shedding (V549D, Q551D, Q560D). This suggests that mutants that prevent
218 gp120 shedding still bind to sCD4 and this results in exposure of CD4i epitopes (Figure 5 A, B). D49
219 antibody binds to the gp41 cluster-I region that is normally exposed upon gp120 shedding (28, 63, 64).
220 Expectedly, following incubation with sCD4, mutants which prevent gp120 shedding do not show any
221 increased binding to D49, in contrast to what is observed for mutants that do not prevent shedding (Figure
222 5.C).

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224 **Pseudoviral Infectivity of all Asp mutants:**

225 The infectivity of all the JRFL Asp mutants was measured. Pseudoviruses were produced from HEK-293T
226 cells. Equivalent p24 levels of WT and all the mutants were used to infect TZMbl cells. The effects of Asp
227 mutations on cell surface Env probe the corresponding residue burial in the context of a native-like trimer.
228 In contrast, effects on pseudoviral infectivity probe burial at all stages of the infection process. All the
229 mutants that prevented gp120 shedding were found to be non-infectious (Figure 6, Tables 1-2). This might
230 be because either shedding is a pre-requisite for downstream steps that lead to fusion, or the mutations
231 prevent formation of a crucial intermediate in the fusion process. However, some of the mutants that did
232 not prevent gp120 shedding like those at 548, 549, were also found to be non-infectious likely because
233 these residues are buried in the post-fusion structure (Table 2). In the fusion peptide region, 513, 514 and
234 517 show the largest decreases in infectivity, whereas 512, 515 and 516 are relatively unaffected. This is a
235 surprising result, suggesting that the entire fusion peptide is not buried during various stages of the fusion
236 process. Overall, Asp mutations have stronger effects on infectivity than previously observed for Ala
237 mutants (65) at most positions, consistent with the greater burial penalty of Asp versus Ala.

238

239 **Discussion:**

240 In case of HIV-1 Env, both structures of the post fusion six helix bundle (35) and more recently, crystal
241 structures of gp140 ectodomain constructs are available (37, 38, 40, 41) (Figure 1). The latter are thought
242 to closely resemble the native, prefusion conformation of the Env ectodomain on the virion. In most
243 prefusion structures, there is missing electron density between residues 512-517 of the fusion peptide and
244 the N-heptad repeat stretch from residues 547-568 (Figure 1). All existing structures were stabilized by
245 MAbs and engineered disulfides and most contain an I559P mutation. There is currently no structure of

246 native, unliganded Env. In addition, recent single molecule FRET experiments suggest that native Env as
247 it exists on the virion, may have a conformation subtly different from that seen in existing structures of
248 soluble gp140 ectodomains (31). Hence, we have used aspartate scanning mutagenesis as an alternate
249 approach to probe residue burial in these apparently disordered regions, in the context of viral Env
250 expressed on the mammalian cell surface. It is known that aspartate, being a charged residue, is
251 destabilizing and poorly tolerated at buried positions, and conversely, is well tolerated at exposed non-
252 active site residues (34) with the obvious exception of a disulfide bonded Cys residue. Hence, Asp
253 scanning mutagenesis can be used to probe residue burial. We also mutated a few residues with tryptophan
254 to probe the effect of a bulkier, hydrophobic group on conformation and function (42, 66).

255 We introduced Asp mutations at a number of non-Cys positions in an Env expressing plasmid with a
256 cytoplasmic tail truncation (JRFLgp160dCT) as well as a subset of these mutations in Env from the Clade
257 C Env from isolate 4-2J.41. The JRFLgp160dCT bearing mutations were co-transfected with pcTAT
258 plasmid into HEK293T cells. After 48 hours of transfection, cells were harvested and probed with
259 monoclonal antibody 2G12 for surface expression, and with MAbs b12 and b6 for Clade B, JRFL strain
260 and VRC01 and F105 for Clade C, 4-2 J.41 to assess conformational integrity compared to wild- type. A
261 few of the mutants had very minimal expression on the cell surface namely L556D, L576D, A578D, and
262 I580D (Figure 2 and Table 1). These mutations also had an impact on the native conformation of Env. The
263 b12/b6 ratio was lowest for L576D, A578D, and I580D (Figure 3). These three residues acted as a positive
264 control for method validation, since they are known to be buried in the gp140ectodomain structure (40)
265 .Other mutants such as V513D, I515D in the fusion peptide and numerous residues in the 547-570 stretch
266 show significant perturbations in expression and/or conformation, relative to WT. These observations
267 suggest that the fusion peptide is not fully exposed and that the 547-568 stretch is not disordered in native,
268 membrane bound Env. A cryo-EM structure of a soluble B41SOSIP ectodomain trimer reveals that the

269 fusion peptide becomes ordered upon b12 binding (41). In this structure residues 513 and 516 are partially
270 buried consistent with the reduced b12/b6 ratio as seen for Asp substitutions at these positions. The same
271 residues also show reduced surface expression in the absence of b12, indicating they are partially buried in
272 unliganded Env as well (Figure 3 and Table1). gp41 of Env glycoprotein is metastable in nature. The
273 accessibility values of these residues in a recent Cryo-EM structure of JRFL gp160dCT in complex with
274 PGT151 were taken for comparison (38). The structure is in complex with PGT151, the stoichiometry of
275 PGT151 to trimer is 2:1, *i.e.* 2Fabs bind per trimer. Hence, the interfaces of all the protomers are not
276 equivalent, which is clearly evident from Table 2. Further, a recent FRET study (31) suggests that
277 PGT151 may trap Env in a conformation different from the predominant ‘state 1’ conformation present on
278 the virion. The Env structure is highly dynamic and depending on the conformation, the fusion peptide
279 may be either exposed or sequestered in the trimeric core on native Env on the virion surface (41, 67). In
280 the closed HIV-1 ectodomain structures, the fusion peptide is often found to be disordered (68, 69). The
281 fusion peptide specific antibody VRC34 binds to the prefusion trimer contacting the heavy chain at
282 residues 517, 519 and 520. From mutational antigenic profiling studies, it was shown that escape variants
283 are located primarily in the stretch from 512-518, of which Asp at 514 and 516 were escape variants (68,
284 70–72). Interestingly, many viral strains show partial neutralization by VRC34 (68). This is consistent
285 with the fusion peptide having multiple conformations with varying degrees of exposure on native Env.
286 Asp substitutions at positions 512, 515, 516 show relatively small decrease in infectivity while those at
287 513, 514 and 517 show large decreases. This periodicity may be indicative of an amphiphilic helical
288 structure of this stretch during fusion. In two Ala mutagenesis studies (65, 73), some mutants in the 547-
289 567 stretch were reported to have folding and association defects, consistent with our results (Table 1).
290 Compared to aspartate mutations at 568 and 578, tryptophan mutations at A578 and L568 have

291 comparable expression and b12/b6 ratio to wild type confirming that D is superior to W in probing residue
292 burial (Table 1).

293 The trimerization of Env is largely mediated by gp41 and assisted by the V1V2 loop of gp120 (39). gp120
294 sheds off during the course of viral fusion. We examined if Asp mutants that behaved like wild- type in
295 terms of surface expression and b12/b6 ratio could prevent sCD4 induced gp120 shedding. Mutants which
296 prevented shedding were largely found in the 555-566 residue stretch (Figure 4 and Table 2). It is believed
297 the mutations that are able to prevent gp120 shedding (28, 74–76) destabilize the formation of the six-
298 helix bundle (6HB) or other fusion intermediate conformations. However, it might also be possible that
299 such mutants prevent binding to sCD4. In the sCD4 bound trimer structure, the co-ordinates for the above
300 mentioned NHR residues are missing and V570D has an accessibility of 61% (PDB ID- 5U1F) (77).
301 Hence, it is unlikely that these mutations prevent CD4 binding, instead, it is more likely that they prevent
302 subsequent conformational changes that result in shedding. To further probe whether such mutants bind
303 to sCD4, sCD4 induced binding to antibodies 17b and 19e, which bind to CD4 induced epitopes was
304 monitored. Mutants that prevented shedding showed increased binding to 17b and 19e in the presence of
305 CD4 relative to WT (1, 59, 61, 78). This is likely because gp120 is not shed off, but also confirm that these
306 mutants are indeed competent to bind CD4. Further, the mutants that prevent gp120 shedding do not show
307 any increment in binding to D49, an antibody that binds to the cluster-1 region which gets exposed after
308 gp120 shedding (63, 64). In contrast, enhanced D49 recognition is seen for mutants that do not prevent
309 shedding as gp120 is shed off and the epitope is exposed for binding (Figure.5.C).

310 No correlation with ability to prevent shedding was seen with either location in the helical wheel or
311 accessibility in the post fusion structure (Table 2, Figure 7). The residues that prevent gp120 shedding are
312 not found to be more conserved than residues that do not prevent shedding (Figure 8). The data suggest
313 that each residue in the 555-566 stretch is likely to be largely buried in one or more of the intermediates

314 on the fusion pathway, consequently Asp mutations in this stretch, destabilize such intermediates relative
315 to the native state. Such mutations may therefore stabilize the trimer in the prefusion conformation. The
316 mutants L555D, I559D, Q562D, L566D, T569D, L576D, I580D are also not infective which is consistent
317 with their positions in the NHR post-fusion structure (Figure 6) as all of them lie in “a” and “d” position
318 of the NHR coiled-coil and are buried in the post-fusion conformation (35, 65). Overall, the reduced
319 infectivity of Asp mutants either occur at positions that prevent shedding, or those that are buried in the
320 post-fusion structure. This in turn suggests that all Asp mutants that prevent shedding occur at positions
321 that are buried at some stage in the fusion process. A small subset of Asp mutants was made in Clade C 4-
322 2J.41 Env. The overall results were very similar to those observed for corresponding positions in Clade B
323 JRFL Env (Figure 3, 4, 10).

324 Proline mutations at L555, I559, L566, T569, I573, V580 were previously made in SOS gp140 to
325 understand the effect of proline as it is known to cause a kink in a helix and can therefore be useful to
326 probe helicity. L555P expressed poorly. I559P, I573P, V580P have expression comparable to wild-type
327 (76). In the same study (76), the effect of mutating residues near position 559 in JRFL SOSgp140 was
328 addressed. L556P, R557P, A558P, I559P, M565P have similar expression as the wild-type. Mutations
329 E560P, A561P, Q562P, Q563P, R564P have higher expression than wild-type. Residues in this apparently
330 disordered stretch were further mutated to Proline in BG505 and C16055 Env(20) and it was found that
331 S553P, N554P, L555P, Q562P, Q563P bound better to trimer specific bNAbs than non-neutralizing
332 antibodies. L555P has increased yield and more ordered trimers than wild type. The accessibility of
333 residues probed by our experimental method correlates only moderately with the accessibilities in the
334 recent Cryo-EM structure of JRFL gp160dCT at most positions (38). For example, residues 555, 566, 567
335 are positions at which at least one residue in the protomer has an accessibility <10%, are sensitive to Asp
336 substitution (Figure 3). However, several residues (Figure 3) that are sensitive to Asp substitution, such as

337 548, 556, 564, 570 are exposed in all protomers and conversely some apparently buried residues (562,
338 570) are relatively insensitive to mutation. In the existing ectodomain structures, a proline in this region of
339 the protein is present. While it is possible, that this might be responsible for the apparent disorderliness of
340 this stretch (79), this is unlikely because there are several studies that show Pro can be incorporated even
341 in helical stretches without much structural rearrangement and at moderate energetic cost (80–83). In
342 summary, using Asp scanning mutagenesis, we have probed residue burial in two apparently disordered
343 stretches. The data identify sites in both regions that are likely to be buried in native Env in the virion
344 context. The data suggest that residues in the 555-566 stretch are likely to be buried in one or more fusion
345 intermediates. Asp substitutions that prevent shedding without affecting expression or b12/b6 binding
346 ratios can potentially be used to stabilize Env in native-like conformations for structural studies and
347 vaccine applications.

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367 **Materials and Methods:**

368 **Cell lines and antibodies:** HEK 293T cells were used for mammalian cell surface expression. These cells
369 are grown in DMEM 10%FBS and 1% antibiotics (Penicillin-Streptomycin and Amphotericin). TZMbl is
370 an engineered Hela cell line that expresses CD4 and CXCR4 receptors and is grown in same media as
371 described above. MAb 2G12 is used to probe surface expression. MAbs b12 and b6 are used for
372 determining conformational integrity of cell surface expressed Env, JRFL gp160dCT, VRCO1 and F105
373 are used for 4-2J.41 Env (47). sCD4 is used for the shedding experiment.

374

375 **Constructs:** pSVIII JRFL gp160dCT expression plasmid (45) was used for cell surface expression of
376 JRFLgp160dCT and mutations were incorporated by site directed mutagenesis. HXBC2 numbering was
377 followed and mutations were confirmed with DNA sequencing. JRFL gp160 dCT has a cytoplasmic tail
378 truncation at residue 711 and the Env gene present is non- human codon optimized viral sequence. Hence
379 pcTAT, that codes for HIV-1 tat protein, is transfected along with the psVIII expression plasmid. A subset
380 of mutants were made in the context of Clade C Env 4-2J.41 and probed using cell surface expression to
381 see if the effects are strain specific (47).

382

383 **Transient Transfection of Env plasmid into HEK 293T cells:** One day prior to transfection, 3×10^6
384 293T cells were seeded in a T75 culture flask. After 24 hours, the cells were transfected with pSVIII
385 JRFLgp160dCT and 4-2J.41 Env expression plasmids encoding Env (Wt and mutants) and pcTAT in a
386 ratio of 4:1. The transfection mixture was prepared in serum free media with DNA:PEI in a ratio of 1:4.
387 The transfection mixture was incubated for 10minutes. After incubation, serum containing medium was
388 added and the mixture was added into the flask containing 293T cells.

389

390 **Staining of surface expressed Env for FACS analysis:** After 48 hours of transfection, the cells were
391 harvested with PBS containing 5mM EDTA and washed with FACS buffer (PBS, 5% FBS and 0.02%
392 azide). The harvested cells (4×10^5 cells per tube) were stained with the desired antibody for 1hr at 4°C.
393 The antibody-cell mixture was washed with FACS buffer (PBS pH7.4, 5% fetal bovine serum and 0.02%
394 azide). Anti-human IgG phycoerythrin (PE) (Sigma) at a 1:100 dilution was added and incubated for 1
395 hour at 4°C, followed by a wash with FACS buffer (45). The stained cells were analyzed on a FACS
396 analyzer (BD Accuri). On FSC-SSC plots, the cells were gated to discriminate between dead cells,
397 doublets and live or single cells. The MFI values were obtained from the gated single cell population. In
398 each experiment, unstained controls, secondary antibody controls and untransfected cells with primary
399 antibody controls were prepared at the same time as test samples. Each FACS experiment was repeated
400 independently (with independent transfection experiments) to check for consistency of results. BD-Accuri
401 software was used to analyze the data.

402

403 **sCD4 induced gp120 shedding experiment:** 48 hours after transfection, cells were harvested and washed
404 with FACS buffer. Harvested cells (1×10^6 cells per tube) were incubated with or without sCD4 at 50
405 µg/ml concentration for 2 hours at 4°C with occasional mixing. To remove shedgp120, cells were washed

406 with FACS buffer. Cells were then incubated with 2G12 or VRC01 antibody at various concentrations
407 (shown here are 10µg/ml of 2G12 and 30µg/ml of VRC01 for JRFL gp160dCT and 4-2J.41 Env
408 respectively) (47) for 1 hour at 4°C. After a wash, cells were incubated with anti-human IgG-PE (Sigma)
409 to stain 2G12 and VRC01 antibody bound cells. After a wash, cells were analyzed on a FACS analyzer
410 (BD-Accuri). BD-Accuri software was used to analyze the data (28).

411

412 **Pseudoviral generation and infectivity assay:** HEK 293T cells were transfected with a plasmid
413 containing virus backbone lacking the Env gene (pSG3Δenv) and a mutant Env plasmid (pSVIII JRFL
414 gp160dCT) in 1:3 ratio using PEI (Cat.no.23966, Polysciences, USA). After 48hours of transfection, the
415 supernatant was collected and stored at -80°C. For testing infectivity, the viral dilutions were mixed with
416 10,000 TZM-bl cells plated in a 96 well flat bottom plate and incubated at 37°C for 48hours (84–88).
417 After incubation, 100µl of media was removed from each well and 80µl of Britelite plus reagent (Perkin
418 Elmer) was added. After 2 minutes of incubation at room temperature, cells were lysed by gentle
419 pipetting. The 100µl volume of lysed cells was transferred into a black plate and luminescence was
420 measured in a Luminometer Victor X2 (Perkin Elmer). The infectivity was plotted in relative
421 luminescence units (RLUs).

422

423 **sCD4 induced gp120 binding to 17b, 19e and D49:** 48 hours after transfection, cells were harvested and
424 washed with FACS buffer. Harvested cells (1×10^6 cells per tube) were incubated with or without sCD4
425 at 50 µg/ml concentration for 2 hours at 4°C with occasional mixing. To remove shedgp120, cells were
426 washed with FACS buffer. Cells were then incubated with 17b 19e and D49 antibody at 10 µg/ml for 1
427 hour at 4°C. After a wash, cells were incubated with anti-human IgG-PE (Sigma) to stain 2G12 antibody

428 bound cells. After a wash, cells were analyzed on a FACS analyzer (BD-Accuri). BD-Accuri software was
429 used to analyze the data (28).

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447

448 **Author Contributions**

449 R.V. and R.D. designed the experiments. R.D. performed all the experiments except for mutations at
450 positions 567, 568 and 578 (carried out by R.Da). R.V. and R.D. analyzed the overall data and wrote most
451 of the manuscript with critical inputs and review from all other authors.

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761 **Figure legends:**

762 **Figure 1:** Structure of BG505 SOSIP gp140. (A) Schematic showing different regions of gp140, dotted
763 lines representing the apparently disordered regions, (B) The left panel shows the crystal structure of
764 BG505 SOSIP gp140 (PDBID-4TVP) (40) showing the trimer axis (red vertical line). The right panel
765 shows a protomer of the BG505 SOSIP gp140 trimer, the stretch of residues with missing electron density
766 in most gp140 structures is shown as a dotted line, (C) Post-fusion structure (PDB ID 1AIK). N- heptad
767 repeat (NHR) in green and C- heptad repeat (CHR) in blue, left and right panels show views from side and
768 bottom respectively, NHR and CHR form a six-helix bundle structure during viral fusion, where NHR

769 forms a parallel trimeric coiled-coil and CHR's bind anti-parallelly to the outside of this NHR coiled coil.
770 The N and C termini of one protomer of NHR and CHR are labelled in pink and red color respectively.

771 **Figure 2:** Surface expression of Asp mutants. Cell surface expression levels were detected by 2G12
772 antibody binding, the 2G12 binding ratio is calculated with respect to the wild- type. HEK 293T cells were
773 transfected with constructs. After 48 hours, the transfected cells were harvested and probed with 2G12 as
774 primary antibody and anti-human PE to quantitate cell surface expression. All the mutants are normalized
775 to wild type where wild type ratio is 1. The vertical bars represent the mean ratio value for each mutant
776 along with standard deviation from three independent experiments analyzed using an unpaired t-test (*, P<
777 0.05; **, P< 0.01; ***, P< 0.001; **** P< 0.0001; ns, non- significant, p>0.05).

778 **Figure 3:** Conformational integrity of mutants. This is determined by measuring the ratio of the binding of
779 the neutralizing antibody b12 to the binding of non-neutralizing b6 to HIV-1 Env displayed on HEK293T
780 cells. HEK 293T cells were transfected with constructs. After 48 hours, the transfected cells were
781 harvested and probed with 2G12 as primary antibody for expression and anti-human PE as secondary
782 antibody All the mutant values are normalized to wild type where the wild type ratio is 1. The vertical bars
783 represent the mean value for each mutant along with standard deviation from three independent
784 experiments analyzed using unpaired t-test (*, P < 0.05; **, P < 0.01; ***, P < 0.001; **** P < 0.0001; ns,
785 non- significant, p>0.05).

786 **Figure 4:** Effect of mutations on sCD4 induced shedding of gp120, as determined by FACS. The mutant
787 proteins were expressed on the cell surface. Cells were incubated with sCD4 to induce gp120 shedding.
788 The cells were washed once with FACS buffer and the gp120 remaining on the cell surface was quantified
789 by staining cells with 2G12 at a concentration of 10 µg/ml. The ratio of MFI's from sCD4 treated and

790 untreated cells for each mutant is shown for A) mutants that did not prevent shedding, (B) mutants that
791 prevented shedding. V570D was taken as a positive control as it is known to prevent shedding (28).

792 **Figure 5:** Probing/ binding of mutant Env's to 17b, 19e and D49 following incubation with sCD4. All the
793 constructs were transfected into HEK293T cells. After 48 hours, transfected cells were harvested and
794 incubated with sCD4 for 2 hours and washed to remove shed gp120 and incubated with A) 17b, B) 19e or
795 C) D49 for one hour and washed and probed for antibody binding with phycoerythrin labeled secondary
796 antibody using FACS. A second set of cells was similarly probed for antibody binding without sCD4
797 incubation. The MFI ratio of (sCD4 treated)/ untreated) was calculated.

798 **Figure 6:** Pseudoviral infectivity in TZMbl cells for aspartate mutants in the fusion peptide and N-heptad
799 repeat regions of gp41. Equivalent p24 levels of virions were used to measure infectivity.

800 **Figure 7:** Structural information from post fusion structure: Residues mapped on post fusion structure
801 (PDB ID: 1AIK). A) Residues that prevent sCD4 gp120 shedding, (B) Residues that do not prevent gp120
802 shedding, (C) N-heptad repeat (NHR: green) and C-heptad repeat (CHR: blue) arranged in coiled-coil
803 fashion. Residues that prevent gp120 shedding mainly lie between the NHR: NHR interface at positions
804 "a" and "d" and at NHR: CHR interface positions "e" and "g". There are also residues that lie in exposed
805 positions at the NHR that prevent shedding (Table 1, and 2).

806 **Figure 8:** Web logo showing residue conservation for the disordered stretch of residue. Residues that
807 prevent gp120 shedding are not more conserved than residues that do not prevent gp120 shedding. The
808 residues highlighted with * prevent sCD4 induced gp120 shedding.

809 **Figure 9:** Cleavage efficiency of mutants expressed on the cell surface. Cleavage was monitored by
810 PGT151 binding. Most mutants showed similar cleavage efficiency to the WT.

811 **Figure 10:** Conformational integrity and sCD4 induced gp120 shedding of Clade C Env 4-2 J.41
812 expressed on the surface of HEK 293T cells. (A) Mutants of Clade C Env were transfected into HEK
813 293T cell and probed for binding to VRC01 and F105 MAbs. (B) Transfected cells were incubated with
814 sCD4 to induce gp120 shedding (46, 47).

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Figure 1

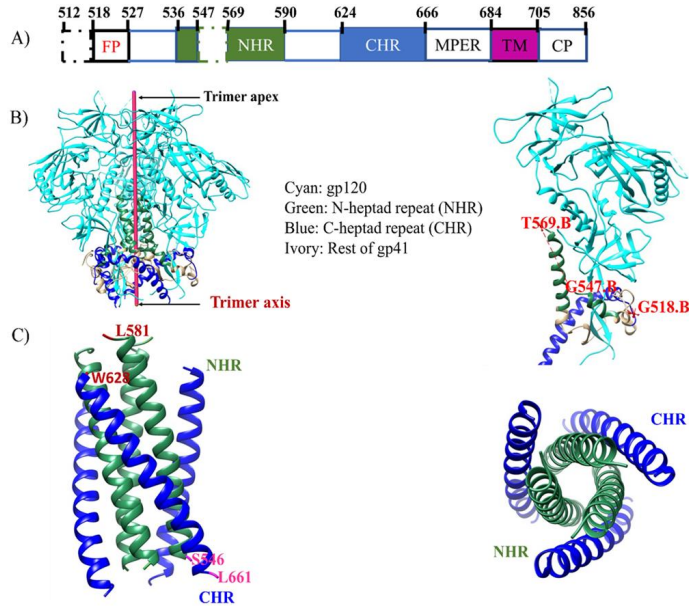


Figure 2

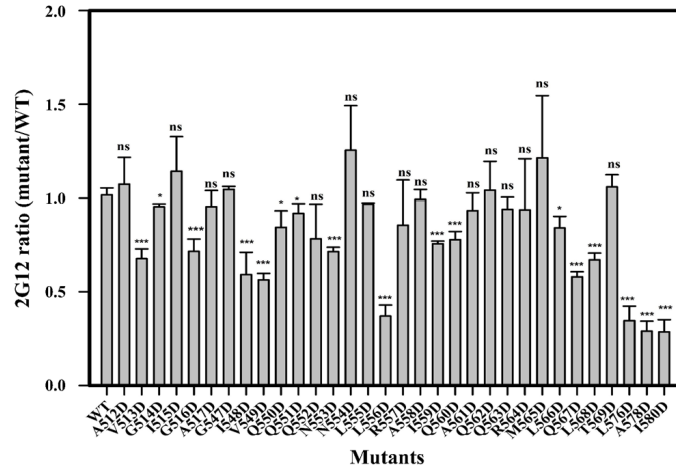


Figure 3

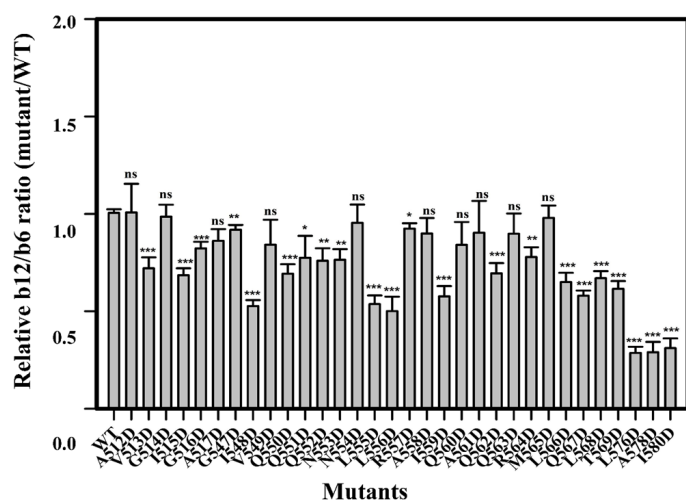


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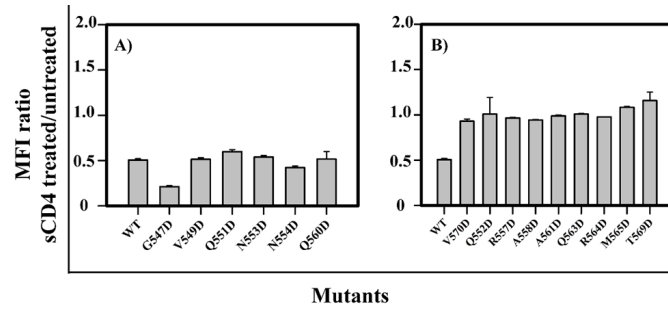


Figure 5

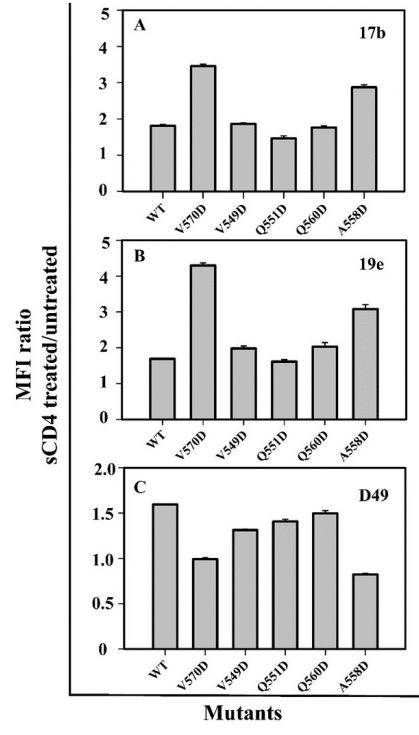


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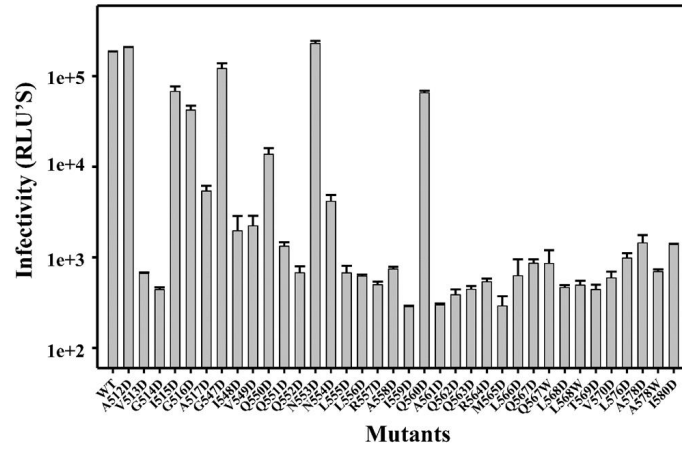


Figure 7

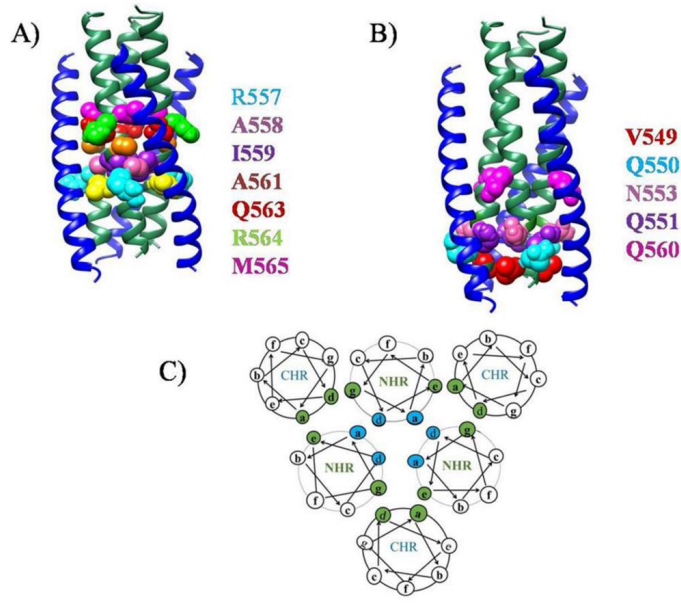


Figure 8

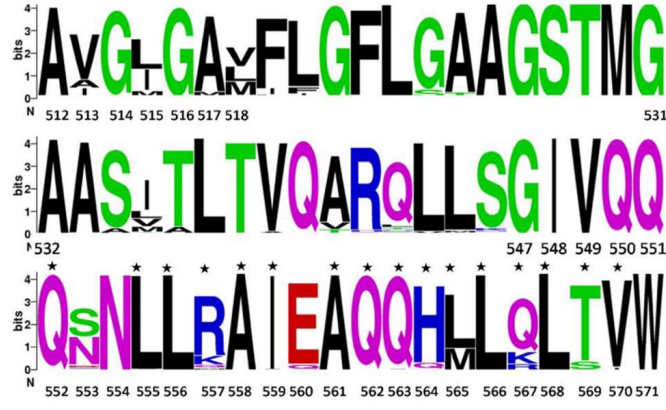


Figure 9

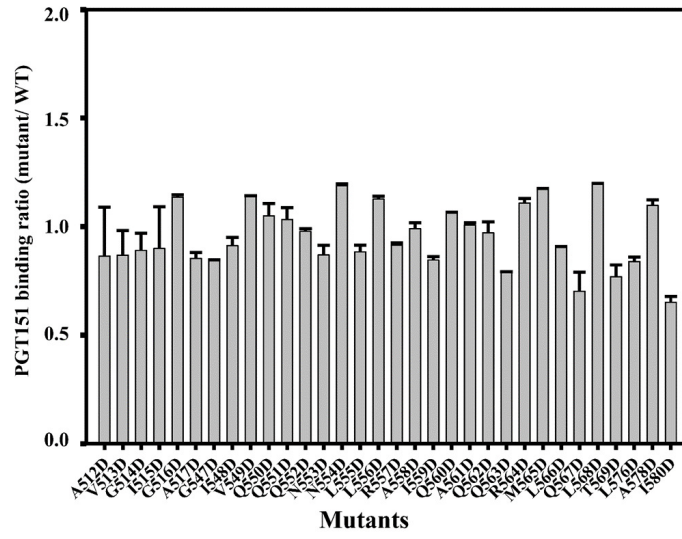
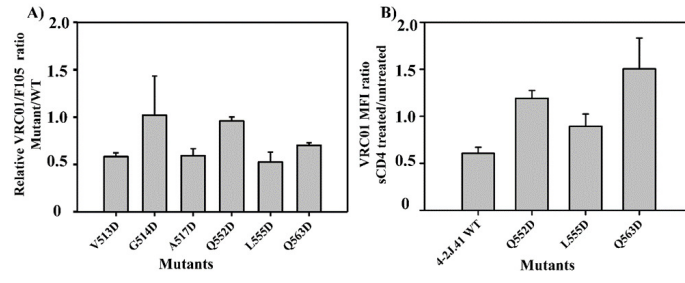


Figure 10



1 **TABLES:**

2 **Table 1:** Summary of the surface expression and antibody binding data for Asp mutants. The surface
 3 expression and b12/b6 ratio shown are from three independent experiment along with standard deviation
 4 (Mean± SD). The position of all mutants in the helical wheel diagram of the NHR in the post-fusion 6HB
 5 is also shown.

Residue no	Mutation	Position in helical wheel	Surface expression (Mean± SD)	b12/ b6 ratio (Mean ± SD)	Alanine scanning result ^{a,b}	Infectivity of Asp mutant
WT	-	-	1.02 ± 0.04	1.01 ± 0.02		
512	A512D	-	1.07 ± 0.14	1.01 ± 0.15		110± 3.0%
513	V513D	-	0.68 ± 0.05	0.73 ± 0.07		Non-infective
514	G514D	-	0.95 ± 0.02	0.99 ± 0.06		Non-infective
515	I515D	-	1.14 ± 0.18	0.69 ± 0.04		36.65± 7.2 %
516	G516D	-	0.72 ± 0.07	0.82 ± 0.03		23.04± 3.9%
517	A517D	-	0.95 ± 0.09	0.86 ± 0.06		3.4±0.5890
547	G547D	c	1.05 ± 0.02	0.92 ± 0.02	Wt like ^b	65.34±12.13%
548	I548D	d	0.59 ± 0.12	0.53 ± 0.03	Wt like ^b	~2%
549	V549D	e	0.56 ± 0.03	0.84 ± 0.13	Wt like ^b	~1%
550	Q550D	f	0.84 ± 0.09	0.69 ± 0.05	Wt like ^b	7.84±1.73%
551	Q551D	g	0.92 ± 0.05	0.77 ± 0.11	Wt like ^b	Non-infective
552	Q552D	a	0.78 ± 0.18	0.76 ± 0.06	nffolding ^a and Imp association ^b	Non-infective
553	N553D	b	0.71 ± 0.02	0.76 ± 0.05	Reduced cleavage ^b	122.93±11.8%
554	N554D	c	1.26 ± 0.24	0.95 ± 0.09	Wt like ^b	2.7±0.53%
555	L555D	d	0.97 ± 0.01	0.54 ± 0.02	Reduced cleavage ^b	Non-infective
556	L556D	e	0.37 ± 0.06	0.47 ± 0.07	Imp folding and association ^{a,b}	Non-infective
557	R557D	f	0.85 ± 0.24	0.92 ± 0.03	Wt like ^b	Non-infective
558	A558D	g	0.99 ± 0.05	0.9 ± 0.08	Imp association ^b	Non-infective
559	I559D	a	0.76 ± 0.01	0.58 ± 0.05	Imp association ^b	Non-infective
560	Q560D	b	0.78 ± 0.04	0.84 ± 0.12	nffolding ^a	35.4±2.68%
561	A561D	c	0.93 ± 0.1	0.9 ± 0.16	Impaired association ^b	Non-infective
562	Q562D	d	1.04 ± 0.15	0.69 ± 0.05	Imp folding ^a	Non-infective
563	Q563D	e	0.94 ± 0.07	0.9 ± 0.1	Wt like ^b	Non-infective
564	R564D	f	0.94 ± 0.27	0.78 ± 0.05	Wt like ^b	Non-infective
565	M565D	g	1.21 ± 0.33	0.98 ± 0.06	Impaired	Non-infective

566	L566D	a	0.84 ± 0.06	0.65 ± 0.05	processing ^b Impaired association and processing ^b	Non-infective
567	Q567D	b	0.58 ± 0.03	0.58 ± 0.03	Impaired association and processing ^b	Non-infective
	Q567W	b	0.93 ± 0.044	0.44 ± 0.104		Non-infective
568	L568D	c	0.67 ± 0.04	0.67 ± 0.04	Impaired association ^b	Non-infective
	L568W	c	0.89 ± 0.044	0.75 ± 0.04		Non-infective
569	T569D	d	1.06 ± 0.06	0.62 ± 0.04	nf association ^a	Non-infective
576	L576D	d	0.35 ± 0.08	0.29 ± 0.05	nf association ^a , impaired processing ^b	Non-infective
578	A578D	f	0.26 ± 0.08	0.29 ± 0.05		Non-infective
	A578W	f	0.93 ± 0.03	0.86 ± 0.06		Non-infective
580	I580D	d	0.29 ± 0.06	0.31 ± 0.05	nf association ^{a, b}	Non-infective

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^a Ala scanning mutants (73), based on viral entry that have impaired (Imp) folding (5-40% entry and have

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< 25% gp41), non-functional (nf) folding (<5% entry and have < 25% gp41) and non-functional (nf)

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association (<5% entry with gp120/gp41 ratio >0.5) respectively (73) ^b (65).

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18 **Table 2:** Comparative summary of accessibilities of mutants in Cryo-EM prefusion structure (PDB ID-
 19 5FUU) and post-fusion structures of gp41 (PDB ID- 1AIK) and effect of Asp mutants on gp120 shedding.
 20 The Cryo-EM structure is in complex with PGT 151. The MAb binds asymmetrically to D and F chains of
 21 gp41, on account of this all the three gp140 interfaces are not equivalent. Consequently, the unliganded
 22 protomer (1) is found to be conformationally variable in comparison to the liganded protomers as is
 23 clearly evident from the accessibility values. The N-heptad repeat residues lie at different position in the
 24 helical wheel in the post-fusion conformation (PDB 1AIK) and have different % accessibilities. There is
 25 no clear correlation between residue accessibility in the post-fusion structure and ability to prevent sCD4
 26 induced shedding.

Residue no	Position in helical wheel 6-helix bundle	Residue	Prefusion accessibilities in 5FUU (%)			Post fusion accessibilities in 1AIK (%)	Prevention of sCD4 induced gp120 shedding
			Protomer1	Protomer 2	Protomer 3		
547	c	GLY	17.5	77.9	80.9	13.1	No
548	d	ILE	80.7	28.8	50.6	10.9	No
549	e	VAL	43.3	57.2	62.4	6.1	No
550	f	GLN	24.4	61.5	73.5	50.1	No
551	g	GLN	61.4	94.1	57.4	0	No
552	a	GLN	66.7	38.3	40.7	0	Yes
553	b	ASN	17.8	98.5	57.8	47.3	No
554	c	ASN	45.8	83.2	80	0	No
555	d	LEU	74	9.1	19.1	0.1	Yes
556	e	LEU	17.7	36.6	28.1	4.4	Yes
557	f	ARG	12	95.5	73.1	49.1	Yes
558	g	ALA	89.3	17.4	10.9	0.8	Yes
559	a	ILE	48	17.2	32.3	0.2	Yes
560	b	GLU	22.8	35.7	41.7	27.7	No
561	c	ALA	53.2	56.2	52.9	3.2	Yes
562	d	GLN	76.2	8.5	5.4	1	Yes
563	e	GLN	15.4	19.5	15.5	1	Yes
564	f	ARG	19.7	31.9	30.4	54.6	Yes
565	g	MET	55.3	47.2	47.9	0.1	Yes
566	a	LEU	16	3.6	5.7	1.4	Yes

567	b	GLN	60.5	43.5	5	37.5	Yes
568	c	LEU	23.8	21.1	38.5	18	No
569	d	THR	24.3	56	39.9	0.9	Yes
570	e	VAL	2	39.8	19.9	3.2	Yes

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