

Curvature inequalities and extremal operators

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Abstract A curvature inequality is established for contractive commuting tuples of operators T in the Cowen–Douglas class $B_n(\Omega)$ of rank n defined on some bounded domain Ω in \mathbb{C}^m . Properties of the extremal operators (that is, the operators which achieve equality) are investigated. Specifically, a substantial part of a well-known question due to R. G. Douglas involving these extremal operators, in the case of the unit disc, is answered.

1. Introduction

For a fixed $n \in \mathbb{N}$, and a bounded domain $\Omega \subseteq \mathbb{C}^m$, the important class of operators $B_n(\Omega^*)$, $\Omega^* = \{\bar{z} : z \in \Omega\}$, defined below, was introduced in the papers [4] and [5] by Cowen and Douglas. An alternative approach to the study of this class of operators is presented in the paper [6] of Curto and Salinas. For $w = (w_1, w_2, \dots, w_m)$ in Ω^* , let $\mathcal{D}_{T-wI} : \mathcal{H} \rightarrow \mathcal{H} \oplus \mathcal{H} \oplus \dots \oplus \mathcal{H}$ be the operator: $\mathcal{D}_{T-wI}(h) = \bigoplus_{k=1}^m (T_k - w_k I)h$, $h \in \mathcal{H}$.

DEFINITION 1.1

A m -tuple $T = (T_1, T_2, \dots, T_m)$ of commuting bounded operators on a complex separable Hilbert space \mathcal{H} is said to be in $B_n(\Omega^*)$ if

- (1) $\dim(\bigcap_{k=1}^m \ker(T_k - w_k I)) = n$ for each $w \in \Omega^*$;
- (2) the operator \mathcal{D}_{T-wI} , $w \in \Omega^*$, has closed range; and
- (3) $\bigvee_{w \in \Omega^*} (\bigcap_{k=1}^m \ker(T_k - w_k I)) = \mathcal{H}$

For any commuting tuple of operators T in $B_n(\Omega^*)$, the existence of a rank n holomorphic Hermitian vector bundle E_T over Ω^* was established in [5]. Indeed,

$$E_T := \left\{ (w, v) \in \Omega^* \times \mathcal{H} : v \in \bigcap_{k=1}^m \ker(T_k - w_k I) \right\}, \quad \pi(w, v) = w,$$

admits a local holomorphic cross-section. In the paper [4], for $m = 1$, it is shown that two commuting m -tuple of operators T and S in $B_n(\Omega^*)$ are jointly unitarily equivalent if and only if E_T and E_S are locally equivalent as holomorphic Hermitian vector bundles. This proof works for the case $m > 1$ as well.

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Suppose $\mathcal{K} = \mathcal{K}(E_T, D)$ is the curvature associated with canonical connection D of the holomorphic Hermitian vector bundle E_T . Then relative to any C^∞ cross-section σ of E_T , we have

$$\mathcal{K}(\sigma) = \sum_{i,j=1}^m \mathcal{K}^{i,j}(\sigma) dz_i \wedge d\bar{z}_j,$$

where each $\mathcal{K}^{i,j}$ is a C^∞ cross-section of $\text{Hom}(E_T, E_T)$. Let

$$\boldsymbol{\gamma}(z) = (\gamma_1(z), \dots, \gamma_n(z))$$

be a local holomorphic frame of E_T in a neighborhood $\Omega_0^* \subset \Omega^*$ of some $w \in \Omega^*$. The metric of the bundle E_T at $z \in \Omega_0^*$ w.r.t. the frame $\boldsymbol{\gamma}$ has the matrix representation

$$h_{\boldsymbol{\gamma}}(z) = \left(\langle \gamma_j(z), \gamma_i(z) \rangle \right)_{i,j=1}^n.$$

We write $\partial_i = \frac{\partial}{\partial z_i}$ and $\bar{\partial}_i = \frac{\partial}{\partial \bar{z}_i}$. The coefficients of the curvature (1, 1)-form \mathcal{K} w.r.t. the frame $\boldsymbol{\gamma}$ are explicitly determined by the formula

$$\mathcal{K}_{\boldsymbol{\gamma}}^{i,j}(z) = -\bar{\partial}_j \left((h_{\boldsymbol{\gamma}}(z))^{-1} (\partial_i h_{\boldsymbol{\gamma}}(z)) \right), \quad z \in \Omega_0^*.$$

Set $\mathcal{K}_{\boldsymbol{\gamma}}(z) = \left(\mathcal{K}_{\boldsymbol{\gamma}}^{i,j}(z) \right)$.

For a bounded domain Ω in \mathbb{C} and for T in $B_n(\Omega^*)$, recall that $N_w^{(k)}$ is the restriction of the operator $(T - wI)$ to the subspace $\ker(T - wI)^{k+1}$. In general, even if $m = 1$, it is not possible to put the operator $N_w^{(k)}$ into any reasonable canonical form; see [4, Section 2.19]. Here we show how to do this for any $m \in \mathbb{N}$, assuming that $k = 1$. The canonical form of the operator $N_w^{(1)}$, we find here, is a crucial ingredient in obtaining the curvature inequality for a commuting tuple of operator T in $B_n(\Omega^*)$, which admits $\bar{\Omega}^*$, the closure of Ω^* , as a spectral set.

A commuting m -tuple of operator T in $B_n(\Omega^*)$ may be realized as the m -tuple $\mathbf{M}^* = (M_{z_1}^*, \dots, M_{z_m}^*)$, the adjoint of the multiplication by the m coordinate functions on some Hilbert space of holomorphic functions defined on Ω possessing a reproducing kernel K (cf. [4, 6]). The real analytic function $K(z, z)$ then serves as a Hermitian metric for the vector bundle E_T w.r.t. the holomorphic frame $\gamma_i(\bar{z}) := K(\cdot, z)e_i$, $i = 1, \dots, n$, \bar{z} in some open subset Ω_0^* of Ω^* . Here the vectors e_i , $i = 1, \dots, n$, are the standard unit vectors of \mathbb{C}^n . For a point $z \in \Omega$, let $\mathcal{K}_T(\bar{z})$ be the curvature of the vector bundle E_T . It is easy to compute the coefficients of the curvature $\mathcal{K}_T(\bar{z})$ explicitly using the metric $K(z, z)$ for $m = 1$, $n = 1$, namely,

$$\begin{aligned} \mathcal{K}_T^{i,j}(\bar{z}) &= -\frac{\partial^2}{\partial w_i \partial \bar{w}_j} \log K(w, w)|_{w=z} \\ &= -\frac{\|K_z\|^2 \langle \bar{\partial}_j K_z, \bar{\partial}_i K_z \rangle - \langle K_z, \bar{\partial}_i K_z \rangle \langle \bar{\partial}_j K_z, K_z \rangle}{(K(z, z))^2}, \quad z \in \Omega. \end{aligned}$$

(In this paper, the curvature (1, 1) form is always denoted by \mathcal{K} . However the $m \times m$ array of coefficients of \mathcal{K} is sometimes denoted by \mathcal{K}_T and at some other times by $\mathcal{K}_{\boldsymbol{\gamma}}$. The choice depends on whether we wish to emphasize the dependence of the curvature on the operator T or the frame $\boldsymbol{\gamma}$.)

First, consider the case of $m = 1$. Assume that $\overline{\Omega}^*$ is a spectral set for an operator T in $B_1(\Omega^*)$, $\Omega \subset \mathbb{C}$. Thus, for any rational function r with poles off $\overline{\Omega}^*$, we have $\|r(T)\| \leq \|r\|_{\Omega^*, \infty}$. For such operators T , the curvature inequality

$$\mathcal{K}_T(\bar{w}) \leq -4\pi^2(S_{\Omega^*}(\bar{w}, \bar{w}))^2, \quad \bar{w} \in \Omega^*,$$

where S_{Ω^*} is the Szegő kernel of the domain Ω^* , was established in [10]. Equivalently, since $S_{\Omega}(z, w) = S_{\Omega^*}(\bar{w}, \bar{z})$, $z, w \in \Omega$, the curvature inequality takes the form

$$(1.1) \quad \frac{\partial^2}{\partial w \partial \bar{w}} \log K(w, w) \geq 4\pi^2(S_{\Omega}(w, w))^2, \quad w \in \Omega.$$

Let us say that a commuting tuple of operators T in $B_n(\Omega^*)$, $\Omega \subset \mathbb{C}^m$, is *contractive* if $\overline{\Omega}^*$ is a spectral set for T ; that is, $\|f(T)\| \leq \|f\|_{\Omega^*, \infty}$ for all functions holomorphic in some neighborhood of $\overline{\Omega}^*$.

In this paper (see Theorem 2.4), we generalize the curvature inequality (1.1) for a contractive tuple of operators T in $B_n(\Omega^*)$, which include the earlier inequalities from [13] and [12].

Let U_+ be the forward unilateral shift operator on $\ell^2(\mathbb{N})$. The adjoint U_+^* is the backward shift operator and is in $B_1(\mathbb{D})$. Let ds be the arc length measure on the unit circle of the complex plane, and $(H^2(\mathbb{D}), ds)$ denotes the Hardy space. The unilateral shift U_+ is unitarily equivalent to the multiplication operator M on the Hardy space $(H^2(\mathbb{D}), ds)$. The reproducing kernel of the Hardy space is the Szegő kernel $S_{\mathbb{D}}(z, a)$ of the unit disc \mathbb{D} . It is given by the formula $S_{\mathbb{D}}(z, a) = \frac{1}{2\pi(1-z\bar{a})}$, $z, a \in \mathbb{D}$. A straightforward computation gives an explicit formula for the curvature $\mathcal{K}_{U_+^*}(w)$:

$$\mathcal{K}_{U_+^*}(w) = -\frac{\partial^2}{\partial w \partial \bar{w}} \log S_{\mathbb{D}}(w, w) = -4\pi^2(S_{\mathbb{D}}(w, w))^2, \quad w \in \mathbb{D}.$$

Since the closed unit disc is a spectral set for any contraction T (by von Neumann inequality), it follows, from Equation (1.1), that the curvature of the operator U_+^* dominates the curvature of every other contraction T in $B_1(\mathbb{D})$:

$$\mathcal{K}_T(w) \leq \mathcal{K}_{U_+^*}(w) = -(1 - |w|^2)^{-2}, \quad w \in \mathbb{D}.$$

Thus, the operator U_+^* is the *extremal operator* in the class of contractions in $B_1(\mathbb{D})$. The extremal property of the operator U_+^* prompts the following question due to R. G. Douglas.

QUESTION 1.2 (R. G. Douglas)

For a contraction T in $B_1(\mathbb{D})$, and a fixed but arbitrary w_0 in \mathbb{D} , if

$$\mathcal{K}_T(w_0) = -(1 - |w_0|^2)^{-2},$$

then does it follow that T must be unitarily equivalent to the operator U_+^* ?

It is known that the answer is negative, in general; however, it has an affirmative answer if, for instance, T is a homogeneous contraction in $B_1(\mathbb{D})$; see [9]. From the simple observation that $\mathcal{K}_T(\bar{\zeta}) = -(1 - |\zeta|^2)^{-2}$ for some $\zeta \in \mathbb{D}$ if and only if the two

vectors \tilde{K}_ζ and $\bar{\partial}\tilde{K}_\zeta$ are linearly dependent, where $\tilde{K}_w(z) = (1 - z\bar{w})K_w(z)$, it follows that the question of Douglas has an affirmative answer in the class of contractive, co-hyponormal backward weighted shifts. In this paper, we answer Question 1.2 for all those operators T in $B_1(\mathbb{D})$ possessing two additional properties, namely, T^* is 2 hyper-contractive and $(\phi(T))^*$ has the wandering subspace property for any bi-holomorphic automorphism ϕ of \mathbb{D} mapping ζ to 0. This is Theorem 3.6 of this paper.

If the domain Ω is not simply connected, it is not known if there is a positive definite kernel K defined on $\Omega \times \Omega$ such that

$$\frac{\partial^2}{\partial w \partial \bar{w}} \log K(w, w) = 4\pi^2 (S_\Omega(w, w))^2$$

is valid for all $w \in \Omega$. Indeed, Suita has shown that the inequality in Equation (1.1) is strict for the Szégo kernel S_Ω and all $w \in \Omega$ whenever Ω is not simply connected (cf. [17]). Thus, the adjoint of the multiplication operator on the Hardy space $(H^2(\Omega), dS)$ is not an extremal operator in this case. It was shown in [10] that for any fixed but arbitrary $w_0 \in \Omega$, there exists an operator T in $B_1(\Omega^*)$ for which equality is achieved, at $w = w_0$, in the inequality (1.1). The question of the uniqueness of such an operator was partially answered recently by the second named author in [15]. The precise result is that these “point-wise” extremal operators are determined uniquely within the class of the adjoint of the bundle shifts introduced in [1]. It was also shown in the same paper that each of these bundle shifts can be realized as a multiplication operator on a Hilbert space of weighted Hardy space and conversely. Generalizing these results, in this paper, we prove that the local extremal operators are uniquely determined in a much larger class of operators, namely, the ones that include all the weighted Bergman spaces along with the weighted Hardy spaces defined on Ω . This is Theorem 5.1. The authors have obtained some preliminary results in the multi-variable case which are not included here.

2. Local operators and generalized curvature inequality

Let Ω be a bounded domain in \mathbb{C}^m and $T = (T_1, T_2, \dots, T_m)$ be a commuting m -tuple of bounded operators on some separable complex Hilbert space \mathcal{H} . Assume that the tuple of operator T is in $B_n(\Omega^*)$. For an arbitrary but fixed point $w \in \Omega^*$, let

$$(2.1) \quad \mathcal{M}_w = \bigcap_{i,j=1}^m \ker(T_i - w_i)(T_j - w_j).$$

Clearly, the joint kernel $\bigcap_{i=1}^m \ker(T_i - w_i)$ is a subspace of \mathcal{M}_w . Fix a holomorphic frame $\boldsymbol{\gamma}$, defined on some neighborhood of w , say $\Omega_0^* \subseteq \Omega^*$, of the vector bundle E_T . Thus, $\boldsymbol{\gamma}(z) = (\gamma_1(z), \dots, \gamma_n(z))$, for z in Ω_0^* , for some choice $\gamma_i(z)$, $i = 1, 2, \dots, n$, of joint eigenvectors; that is, $(T_j - z_j)\gamma_i(z) = 0$, $j = 1, 2, \dots, m$. It follows that

$$(2.2) \quad (T_j - w_j)(\partial_k \gamma_i(w)) = \gamma_i(w)\delta_{j,k}, \quad i = 1, 2, \dots, n, \text{ and } j, k = 1, \dots, m.$$

The eigenvectors $\boldsymbol{\gamma}(w)$ together with the derivatives $(\partial_1 \boldsymbol{\gamma}(w), \dots, \partial_m \boldsymbol{\gamma}(w))$ are a basis for the subspace \mathcal{M}_w .

The metric of the bundle E_T at $z \in \Omega_0^*$ w.r.t. the frame $\boldsymbol{\gamma}$ has the matrix representation

$$h_{\boldsymbol{\gamma}}(z) = \left((\langle \gamma_j(z), \gamma_i(z) \rangle)_{i,j=1}^n \right)$$

Clearly, $\tilde{\boldsymbol{\gamma}}(z) = (\gamma_1(z), \dots, \gamma_n(z))h_{\boldsymbol{\gamma}}(w)^{-1/2}$ is also a holomorphic frame for E_T with the additional property that $\tilde{\boldsymbol{\gamma}}$ is orthonormal at w ; that is, $h_{\tilde{\boldsymbol{\gamma}}}(w) = I_n$. We therefore assume, without loss of generality, that $h_{\boldsymbol{\gamma}}(w) = I_n$.

In what follows, we always assume that we have made a fixed but arbitrary choice of a local holomorphic frame $\boldsymbol{\gamma}(z) = (\gamma_1(z), \dots, \gamma_n(z))$ defined on a small neighborhood of w , say $\Omega_0^* \subseteq \Omega^*$, such that $h_{\boldsymbol{\gamma}}(w) = I_n$.

Recall that the local operator $N_w = (N_1(w), \dots, N_m(w))$ is the commuting m -tuple of nilpotent operators on the subspace \mathcal{M}_w defined by $N_i(w) = (T_i - w_i)|_{\mathcal{M}_w}$. As a first step in relating the operator T to the vector bundle E_T , pick a holomorphic frame $\boldsymbol{\gamma}$, satisfying $h_{\boldsymbol{\gamma}}(w) = I_n$, for the holomorphic Hermitian vector bundle E_T which also serves as a basis for the joint kernel of T . We extend this basis to a basis of \mathcal{M}_w . In the following proposition, we determine a natural orthonormal basis in \mathcal{M}_w such that the curvature of the vector bundle E_T appears in the matrix representation (obtained with respect to this orthonormal basis) of N_w .

PROPOSITION 2.1

Let $\boldsymbol{\gamma}$ be a holomorphic frame of E_T defined in a neighborhood of a fixed but arbitrary $w \in \Omega$, and $\mathcal{K}_{\boldsymbol{\gamma}}^t(z)$ be the transpose of the curvature matrix $((\mathcal{K}^{i,j}(\boldsymbol{\gamma})(z)))_{i,j=1}^m$. Suppose that $\boldsymbol{\gamma}$ is orthonormal at the point w . Then there exists an orthonormal basis in the subspace \mathcal{M}_w such that the matrix representation of $N_1(w)$ with respect to this basis is of the form

$$N_1(w) = \begin{pmatrix} 0_{n \times n} & \mathbf{t}_1(w) \\ 0_{mn \times n} & 0_{mn \times mn} \end{pmatrix},$$

where

$$\begin{pmatrix} \mathbf{t}_1(w) \\ \vdots \\ \mathbf{t}_m(w) \end{pmatrix} \left(\overline{\mathbf{t}_1(w)}^t, \dots, \overline{\mathbf{t}_m(w)}^t \right) = \mathbf{t}(w)\overline{\mathbf{t}(w)}^{\text{tr}} = -(\mathcal{K}_{\boldsymbol{\gamma}}^t(w))^{-1}.$$

Proof

For any $k = (p - 1)n + q$, $1 \leq p \leq m + 1$, and $1 \leq q \leq n$, set $v_k := \partial_{p-1}(\gamma_q(w))$ and $\mathbf{v}_i := (v_{(i-1)n+1}, \dots, v_{(i-1)n+n})$. Thus, \mathbf{v}_i is also $\partial_{i-1}\boldsymbol{\gamma}$, where $\boldsymbol{\gamma} = (\gamma_1, \dots, \gamma_n)$. Hence, the set of vectors $\{v_k, 1 \leq k \leq (m + 1)n\}$ forms a basis of the subspace \mathcal{M}_w . Let P be an invertible matrix of size $(m + 1)n \times (m + 1)n$ and

$$(\mathbf{u}_1, \dots, \mathbf{u}_{m+1}) := (\mathbf{v}_1, \dots, \mathbf{v}_{m+1}) \begin{pmatrix} P_{1,1} & P_{1,2} & \dots & P_{1,m+1} \\ P_{2,1} & P_{2,2} & \dots & P_{2,m+1} \\ \vdots & \vdots & \ddots & \vdots \\ P_{m+1,1} & P_{m+1,2} & \dots & P_{m+1,m+1} \end{pmatrix},$$

where each $P_{i,j}$ is a $n \times n$ matrix. Clearly, $(\mathbf{u}_1, \dots, \mathbf{u}_{m+1})$ is a basis, not necessarily orthonormal, in the subspace \mathcal{M}_w . The vectors $\mathbf{u} := (\mathbf{u}_1, \dots, \mathbf{u}_{m+1})$ are an orthonormal basis in \mathcal{M}_w if and only if $P \bar{P}^t = G^{-1}$, where G is the $(m+1)n \times (m+1)n$, Gramian $((\langle v_j, v_i \rangle))$; that is,

$$G = \begin{pmatrix} h_{\mathcal{Y}}(w) & \partial_1 h_{\mathcal{Y}}(w) & \dots & \partial_m h_{\mathcal{Y}}(w) \\ \bar{\partial}_1 h_{\mathcal{Y}}(w) & \bar{\partial}_1 \partial_1 h_{\mathcal{Y}}(w) & \dots & \bar{\partial}_1 \partial_m h_{\mathcal{Y}}(w) \\ \vdots & \vdots & \ddots & \vdots \\ \bar{\partial}_m h_{\mathcal{Y}}(w) & \bar{\partial}_m \partial_1 h_{\mathcal{Y}}(w) & \dots & \bar{\partial}_m \partial_m h_{\mathcal{Y}}(w) \end{pmatrix}.$$

In particular, we choose and fix P to be the upper triangular matrix corresponding to the Gram–Schmidt orthogonalization process. Following Equation (2.2), the matrix representation of $N_l(w)$ w.r.t. the basis $\mathbf{v} = (\mathbf{v}_1, \dots, \mathbf{v}_{m+1})$ is $[N_l(w)]_{\mathbf{v}} = ((N_l(w))_{ij})$, $l = 1, 2, \dots, m$, where

$$N_l(w)_{ij} = \begin{cases} 0_{n \times n} & (i, j) \neq (1, l+1) \\ I_n & (i, j) = (1, l+1) \end{cases}, \quad 1 \leq i, j \leq m+1.$$

Therefore, w.r.t. the orthonormal basis $(\mathbf{u}_1, \dots, \mathbf{u}_{m+1})$, the matrix of N_l is of the form

$$(2.3) \quad [N_l(w)]_{\mathbf{u}} = \begin{pmatrix} 0_{n \times n} & t_l^1(w) & \dots & t_l^m(w) \\ 0_{n \times n} & 0_{n \times n} & \dots & 0_{n \times n} \\ \vdots & \vdots & \ddots & \vdots \\ 0_{n \times n} & 0_{n \times n} & \dots & 0_{n \times n} \end{pmatrix} = \begin{pmatrix} 0_{n \times n} & \mathbf{t}_l(w) \\ 0_{mn \times n} & 0_{mn \times mn} \end{pmatrix},$$

where each $t_l^i(w)$ is a square matrix of size n , for $l, i = 1, 2, \dots, m$ and $\mathbf{t}_l(w)$ is a $n \times mn$ rectangular matrix. It is now evident that for $l, r = 1, 2, \dots, m$, we have

$$[N_l(w)N_r(w)^*]_{\mathbf{u}} = Q [N_l(w)]_{\mathbf{v}} G^{-1} [N_r(w)]_{\mathbf{v}} \bar{Q}^t,$$

where $Q = P^{-1}$. To continue, we write the matrix G^{-1} in the form of a block matrix:

$$(2.4) \quad G^{-1} = \begin{pmatrix} *_{n \times n} & *_{n \times n} & *_{n \times n} & \dots & *_{n \times n} \\ *_{n \times n} & R_{1,1} & R_{1,2} & \dots & R_{1,m} \\ *_{n \times n} & R_{2,1} & R_{2,2} & \dots & R_{2,m} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ *_{n \times n} & R_{m,1} & R_{m,2} & \dots & R_{m,m} \end{pmatrix} = \begin{pmatrix} *_{n \times n} & *_{n \times mn} \\ *_{mn \times n} & R \end{pmatrix},$$

where each $R_{i,j}$ is a $n \times n$ matrix. Then we have

$$[N_l(w)N_r(w)^*]_{\mathbf{u}} = \begin{pmatrix} Q_{1,1}R_{l,r}\bar{Q}_{1,1}^t & 0_{n \times mn} \\ 0_{mn \times n} & 0_{mn \times mn} \end{pmatrix}.$$

Since P is upper triangular with $P_{1,1} = I_n$, we have $\mathbf{u}_1 = \mathbf{v}_1 P_{1,1} = \mathbf{v}_1$; that is,

$$(u_1, u_2, \dots, u_n) = (v_1, v_2, \dots, v_n).$$

Since $P_{1,1} = I_n$, it follows that $Q_{1,1} = I_n$. Hence, w.r.t. the orthonormal basis $(\mathbf{u}_1, \dots, \mathbf{u}_{m+1})$ of the subspace \mathcal{M}_w , the linear transformation $N_l(w)N_r(w)^*$ has the

matrix representation

$$(2.5) \quad [N_l(w)N_r(w)^*]_{\mathbf{u}} = \begin{pmatrix} R_{l,r} & 0_{n \times mn} \\ 0_{mn \times n} & 0_{mn \times mn} \end{pmatrix}.$$

Let $\mathbf{t}(w)$ be the $mn \times mn$ matrix given by

$$\mathbf{t}(w) = \begin{pmatrix} \mathbf{t}_1(w) \\ \mathbf{t}_2(w) \\ \vdots \\ \mathbf{t}_m(w) \end{pmatrix}.$$

Now combining Equation (2.3) and Equation (2.5), we then have

$$(2.6) \quad \mathbf{t}(w)\overline{\mathbf{t}(w)}^{\text{tr}} = R.$$

To complete the proof, we have to relate the block matrix R to the curvature matrix $\mathcal{K}_{\boldsymbol{\gamma}}(w)$ w.r.t. the frame $\boldsymbol{\gamma}$. Recalling Equation (2.4), we have that

$$G^{-1} = \begin{pmatrix} *_{n \times n} & *_{n \times mn} \\ *_{mn \times n} & R \end{pmatrix}.$$

The Gramian G admits a natural decomposition as a 2×2 block matrix, namely,

$$G = \begin{pmatrix} h_{\boldsymbol{\gamma}}(w) & \partial_1 h_{\boldsymbol{\gamma}}(w) & \dots & \partial_m h_{\boldsymbol{\gamma}}(w) \\ \bar{\partial}_1 h_{\boldsymbol{\gamma}}(w) & \bar{\partial}_1 \partial_1 h_{\boldsymbol{\gamma}}(w) & \dots & \bar{\partial}_1 \partial_m h_{\boldsymbol{\gamma}}(w) \\ \vdots & \vdots & \ddots & \vdots \\ \bar{\partial}_m h_{\boldsymbol{\gamma}}(w) & \bar{\partial}_m \partial_1 h_{\boldsymbol{\gamma}}(w) & \dots & \bar{\partial}_m \partial_m h_{\boldsymbol{\gamma}}(w) \end{pmatrix} = \begin{pmatrix} h_{\boldsymbol{\gamma}}(w) & X_{n \times mn} \\ L_{mn \times n} & S_{mn \times mn} \end{pmatrix}.$$

Computing the 2×2 entry of the inverse of this block matrix and equating it to R , we have

$$\begin{aligned} R^{-1} &= S - Lh_{\boldsymbol{\gamma}}(w)^{-1}X \\ &= ((\bar{\partial}_i \partial_j h_{\boldsymbol{\gamma}}(w)))_{i,j=1}^m - (((\bar{\partial}_i h_{\boldsymbol{\gamma}}(w))h_{\boldsymbol{\gamma}}(w)^{-1}(\partial_j h_{\boldsymbol{\gamma}}(w))))_{i,j=1}^m \\ &= ((h_{\boldsymbol{\gamma}}(w)\bar{\partial}_i(h_{\boldsymbol{\gamma}}(w)^{-1}\partial_j h_{\boldsymbol{\gamma}}(w)))) \\ &= -((h_{\boldsymbol{\gamma}}(w)\mathcal{K}^{j,i}(\boldsymbol{\gamma})(w))) \end{aligned}$$

where $((\mathcal{K}^{i,j}(\boldsymbol{\gamma})(w)))_{i,j=1}^m$ denote the matrix of the curvature \mathcal{K} at $w \in \Omega_0^*$ w.r.t. the frame $\boldsymbol{\gamma}$ of the bundle $E_{\mathcal{T}}$ on Ω_0^* and $\mathcal{K}_{\boldsymbol{\gamma}}^t(w) = ((\mathcal{K}^{j,i}(\boldsymbol{\gamma})(w)))_{i,j=1}^m$. Also, by our choice of the frame $\boldsymbol{\gamma}$ we have $h_{\boldsymbol{\gamma}}(w) = I_n$. Hence, it follows that

$$(2.7) \quad \mathbf{t}(w)\overline{\mathbf{t}(w)}^{\text{tr}} = R = -(\mathcal{K}_{\boldsymbol{\gamma}}^t(w))^{-1}.$$

This completes the proof. \square

The matrix representation of the operator $T_i|_{\mathcal{M}_w}$ w.r.t. the orthonormal basis $\mathbf{u} = (\mathbf{u}_1, \dots, \mathbf{u}_{m+1})$ in the subspace \mathcal{M}_w is of the form

$$[T_i|_{\mathcal{M}_w}]_{\mathbf{u}} = \begin{pmatrix} w_i I_n & \mathbf{t}_i(w) \\ 0_{mn \times n} & w_i I_{mn} \end{pmatrix}, \quad i = 1, \dots, m.$$

It is well known that the curvature $(1, 1)$ form determines the local equivalence class of a holomorphic Hermitian vector bundle. Since the class of such vector bundles and those of commuting m -tuples of operators in $B_1(\Omega)$ are in one to one correspondence, one would expect to find a direct proof that the curvature determines the unitary equivalence class of these m -tuple of operators. Such proofs exist (see [4] for the case of $m = n = 1$, [5] for $m = 2, n = 1$, and finally, [11, Theorem 2.1] for arbitrary m but still $n = 1$). It shows that the curvature is indeed obtained from the holomorphic frame and the first order derivatives using the Gram–Schmidt orthonormalization. However, the relationship between the curvature invariant and the operator is not very direct if the rank of the vector bundle is not 1; see [4, Section 2.19]. Nevertheless, using the description of the local operators $N_i(w) := [T_i|_{\mathcal{M}_w}]_{\mathbf{u}}$, $1 \leq i \leq n$, we obtain the following theorem.

THEOREM 2.2

Suppose that two m -tuples of operators \mathbf{T} and $\tilde{\mathbf{T}}$ in $B_n(\Omega)$ are unitarily equivalent. Let $\boldsymbol{\gamma}$ (resp. $\tilde{\boldsymbol{\gamma}}$) be a holomorphic frame for $E_{\mathbf{T}}$ (resp. $E_{\tilde{\mathbf{T}}}$). Assume, without loss of generality, that the frames $\boldsymbol{\gamma}$ and $\tilde{\boldsymbol{\gamma}}$ are orthonormal at $w \in \Omega$. Then the curvature $\mathcal{K}_{\boldsymbol{\gamma}}(w)$ is unitarily equivalent to $\mathcal{K}_{\tilde{\boldsymbol{\gamma}}}(w)$, $w \in \Omega$.

Proof

Let $V = \bigcap_{i=1}^m \ker(T_i - w_i) \subseteq \mathcal{M}_w$. With respect to the decomposition $\mathcal{M}_w = V \oplus V^\perp$, the local operator $(T_i - w_i)|_{\mathcal{M}_w}$ is of the form

$$[(T_i - w_i I)|_{\mathcal{M}_w}] = \begin{pmatrix} 0_{n \times n} & \mathbf{t}_i(w) \\ 0_{mn \times n} & 0_{mn \times mn} \end{pmatrix}, \quad i = 1, 2, \dots, m,$$

where $\mathbf{t}_i(w)$ is a $n \times mn$ rectangular matrix; see Equation (2.3).

Suppose that \mathbf{T} and $\tilde{\mathbf{T}}$ are unitarily equivalent via the unitary U . Since V and \tilde{V} are joint eigenspaces of \mathbf{T} and $\tilde{\mathbf{T}}$, respectively, U must map V onto \tilde{V} . Thus, the matrix representation of $U|_{\mathcal{M}_w}$ is of the form

$$[U|_{\mathcal{M}_w}] = \begin{pmatrix} A_{n \times n} & B_{n \times mn} \\ 0_{mn \times n} & C_{mn \times mn} \end{pmatrix}.$$

But \mathcal{M}_w is finite dimensional and $U|_{\mathcal{M}_w}$ is a unitary. Hence, $B = 0$ and A, C are unitary. Since $UT_i = \tilde{T}_i U$, we have $A\mathbf{t}_i(w) = \tilde{\mathbf{t}}_i(w)C$, $i = 1, 2, \dots, m$. It follows that

$$A\mathbf{t}_i(w)\overline{\mathbf{t}_j(w)}^{\text{tr}} A^{\text{tr}} = \tilde{\mathbf{t}}_i(w)\overline{\tilde{\mathbf{t}}_j(w)}^{\text{tr}}.$$

Let X be the block diagonal unitary matrix $A \otimes I_m := \text{Diag}(A, \dots, A)$. Finally, we have

$$X\mathbf{t}(w)\overline{\mathbf{t}(w)}^{\text{tr}} X^{\text{tr}} = \tilde{\mathbf{t}}(w)\overline{\tilde{\mathbf{t}}(w)}^{\text{tr}}.$$

Thus, using Equation (2.7), we conclude that the curvature $\mathcal{K}_{\boldsymbol{\gamma}}(w)$ is unitarily equivalent to $\mathcal{K}_{\tilde{\boldsymbol{\gamma}}}(w)$. □

Assume that the joint spectrum of the tuple \mathbf{T} is contained in $\overline{\Omega}^*$. Then it follows that for any function $f \in \mathcal{O}(\overline{\Omega}^*)$, we have

$$\begin{aligned} f(\mathbf{T})|_{\mathcal{M}_w} &= f(\mathbf{T}|_{\mathcal{M}_w}) \\ &= \begin{pmatrix} f(w) & \nabla f(w) \cdot \mathbf{t}(w) \\ 0 & f(w) \end{pmatrix} = f(\mathbf{T}_w), \end{aligned}$$

where \mathbf{T}_w is the m -tuple of operator $\mathbf{T}|_{\mathcal{M}_w}$ and

$$\begin{aligned} \nabla f(w) \cdot \mathbf{t}(w) &= \partial_1 f(w)\mathbf{t}_1(w) + \cdots + \partial_m f(w)\mathbf{t}_m(w) \\ &= ((\partial_1 f(w))I_n, \dots, (\partial_m f(w))I_n)(\mathbf{t}(w)) \\ &= (I_n \otimes \nabla f(w))(\mathbf{t}(w)). \end{aligned}$$

From Equation (2.7), we also have

$$(2.8) \quad \mathbf{t}(w)\overline{\mathbf{t}(w)}^{\text{tr}} = -(\mathcal{K}_{\mathcal{V}}^t(w))^{-1}.$$

As an application, it is easy to obtain a curvature inequality for those commuting tuples of operators \mathbf{T} in the Cowen–Douglas class $B_n(\Omega^*)$ which admit $\overline{\Omega}^*$ as a spectral set. This is easily done via the holomorphic functional calculus.

If \mathbf{T} admits $\overline{\Omega}^*$ as a spectral set, then the inequality $I - f(\mathbf{T}_w)^* f(\mathbf{T}_w) \geq 0$ is evident for all holomorphic functions mapping $\overline{\Omega}^*$ to the unit disc \mathbb{D} . As is well known, we may assume without loss of generality that $f(w) = 0$. Consequently, the inequality $I - f(\mathbf{T}_w)^* f(\mathbf{T}_w) \geq 0$ with $f(w) = 0$ is equivalent to

$$(2.9) \quad \overline{(I_n \otimes \nabla f(w))^{\text{tr}}}(I_n \otimes \nabla f(w)) \leq -(\mathcal{K}_{\mathcal{V}}^t(w)).$$

Let $V \in \mathbb{C}^{mn}$ be a vector of the form

$$V = \begin{pmatrix} V_1 \\ \cdot \\ \cdot \\ \cdot \\ V_m \end{pmatrix}, \quad \text{where } V_i = \begin{pmatrix} V_i(1) \\ \cdot \\ \cdot \\ \cdot \\ V_i(n) \end{pmatrix} \in \mathbb{C}^n.$$

DEFINITION 2.3 (Carathéodory norm)

The Carathéodory norm of the (matricial) tangent vector $V \in \mathbb{C}^{mn}$ at a point z in Ω is defined by

$$\begin{aligned} &(C_{\Omega,z}(V))^2 \\ &= \sup\{ \overline{(I_n \otimes \nabla f(z))^{\text{tr}}}(I_n \otimes \nabla f(z))V, V : f \in \mathcal{O}(\overline{\Omega}), \|f\|_{\infty} \leq 1, f(z) = 0 \} \\ &= \sup\left\{ \sum_{i,j=1}^m \overline{\partial_i f(z)} \partial_j f(z) \langle V_j, V_i \rangle : f \in \mathcal{O}(\overline{\Omega}), \|f\|_{\infty} \leq 1, f(z) = 0 \right\} \\ &= \sup\left\{ \left\| \sum_{j=1}^m \partial_j f(z) V_j \right\|_{\ell^2}^2 : f \in \mathcal{O}(\overline{\Omega}), \|f\|_{\infty} \leq 1, f(z) = 0 \right\}. \end{aligned}$$

Now we compute the Carathéodory norm of the tangent vector $V \in \mathbb{C}^{mn}$ in the case of Euclidean ball \mathbb{B}^m and of polydisc \mathbb{D}^m . For a self map $g = (g_1, g_2, \dots, g_m) : \Omega \rightarrow \Omega$ and

$$V = \begin{pmatrix} V_1 \\ \cdot \\ \cdot \\ \cdot \\ V_m \end{pmatrix},$$

let $g_*(z)(V)$ be the vector defined by

$$g_*(z)(V) = \begin{pmatrix} \sum_j \partial_j g_1(z) V_j \\ \cdot \\ \cdot \\ \cdot \\ \sum_j \partial_j g_m(z) V_j \end{pmatrix}.$$

From the definition, it follows that $C_{\Omega, g(z)}(g_*(z)(V)) \leq C_{\Omega, z}(V)$; that is, the Carathéodory metric is norm decreasing. In particular we have that $C_{\Omega, \varphi(z)}(\varphi_*(z)(V)) = C_{\Omega, z}(V)$ for any biholomorphic map φ of Ω . The group of biholomorphic automorphisms of both these domains \mathbb{B}^m and \mathbb{D}^m act transitively. So, it is enough to compute $C_{\Omega, 0}(V)$, since there is an explicit formula relating $C_{\Omega, z}(V)$ to $C_{\Omega, 0}(V)$, $\Omega = \mathbb{B}^m$ or \mathbb{D}^m . From the Schwarz lemma, it follows that the set

$$\{\nabla f(0) : f \in \mathcal{O}(\overline{\mathbb{B}^m}), \|f\|_\infty \leq 1, f(z) = 0\}$$

is equal to the Euclidean unit ball \mathbb{B}^m (cf. [12, Lemma 1.1]). Now for $a = (a_1, a_2, \dots, a_m) \in \mathbb{B}^m$, note that

$$\left\| \sum_{j=1}^m a_j V_j \right\|_{\ell^2}^2 = \sum_{i=1}^n \left| \sum_{j=1}^m a_j V_j(i) \right|^2 \leq \|a\|_{\ell^2}^2 \sum_{i=1}^n \sum_{j=1}^m |V_j(i)|^2.$$

From this it follows that the Carathéodory norm of the tangent vector $V \in \mathbb{C}^{mn}$ at the point 0 in the case of the Euclidean ball \mathbb{B}^m is equal to the Hilbert–Schmidt norm of V ; that is, $\|V\|_{\text{HS}}^2 = \sum_{i=1}^n \sum_{j=1}^m |V_j(i)|^2$. Similarly, in case of polydisc \mathbb{D}^m , we have $\{\nabla f(0) : f \in \mathcal{O}(\overline{\mathbb{D}^m}), \|f\|_\infty \leq 1, f(z) = 0\}$ is equal to the ℓ^1 unit ball of \mathbb{C}^m . For $a = (a_1, a_2, \dots, a_m) : \|a\|_1 < 1$, we note that

$$\left\| \sum_{j=1}^m a_j V_j \right\|_{\ell^2} \leq \|a\|_{\ell^1} \max_j \|V_j\|_{\ell^2}.$$

Thus, we conclude that the Carathéodory norm of the tangent vector $V \in \mathbb{C}^{mn}$ at the point 0, in the case of the polydisc \mathbb{D}^m , is equal to $\max\{\|V_j\|_{\ell^2} : 1 \leq j \leq m\}$. A more detailed discussion on such matricial tangent vectors V and the question of contractivity, complete contractivity of the homomorphism induced by them, appears in [12].

From the definition of the Carathéodory norm and Equation (2.9), a proof of the theorem below follows.

THEOREM 2.4

Let T be a commuting tuple of operator in $B_n(\Omega^*)$ admitting $\overline{\Omega}^*$ as a spectral set. Then for an arbitrary but fixed point $w \in \overline{\Omega}^*$, there exists a frame γ of the bundle E_T , defined in a neighborhood of w , which is orthonormal at w , so that following inequality holds:

$$\langle \mathcal{K}_\gamma^t(w)V, V \rangle \leq -(C_{\Omega^*,w}(V))^2 \quad \text{for every } V \in \mathbb{C}^{mn}.$$

Now we derive a curvature inequality specializing to the case of a bounded planar domains Ω^* . Using techniques from Sz.-Nagy Foias model theory for contractions, Uchiyama [18] was the first one to prove a curvature inequality for operators in $B_n(\mathbb{D})$. To obtain curvature inequalities in the case of finitely connected planar domains Ω , he considered the contractive operator $F_w(T)$, where $F_w : \Omega \rightarrow \mathbb{D}$ is the Ahlfors map, $F_w(w) = 0$, for some fixed but arbitrary $w \in \Omega$. The curvature inequality then follows from the equality $F'_w(w) = S_\Omega(w, w)$. However, the inequality we obtain below follows directly from the functional calculus applied to the local operators. More recently, K. Wang and G. Zhang (cf. [20]) have obtained a series of very interesting (higher order) curvature inequalities for operators in $B_n(\Omega)$.

In the case of a bounded finitely connected planar domain with Jordan analytic boundary, the Carathéodory norm of the tangent vector $V \in \mathbb{C}^n$ at a point z in Ω is given by

$$\begin{aligned} (C_{\Omega,z}(V))^2 &= \sup\{|f'(z)|^2 \langle V, V \rangle_{\ell^2} : f \in \mathcal{O}(\overline{\Omega}), \|f\|_\infty \leq 1, f(z) = 0\} \\ &= 4\pi^2 (S_\Omega(z, z))^2 \langle V, V \rangle_{\ell^2} \end{aligned}$$

(cf. [3, Theorem 13.1]), where $S_\Omega(z, z)$ denotes the Szégo kernel for the domain Ω which satisfy

$$2\pi S_\Omega(z, z) = \sup\{|r'(z)| : r \in \text{Rat}(\overline{\Omega}), \|r\|_\infty \leq 1, r(z) = 0\}.$$

In consequence, we have the following.

THEOREM 2.5

Let T be a operator in $B_n(\Omega^*)$ admitting $\overline{\Omega}^*$ as a spectral set. Then for an arbitrary but fixed point $w \in \Omega^*$, there exists a frame γ of the bundle E_T , defined on a neighborhood of w , which is orthonormal at w , so that the following inequality holds:

$$\mathcal{K}_\gamma(w) \leq -4\pi^2 (S_{\Omega^*}(w, w))^2 I_n.$$

3. Curvature inequality and the case of unit disc

As is well known, an operator T in $B_1(\mathbb{D})$ can be realized as the adjoint of multiplication M by the independent variable on a reproducing kernel Hilbert space \mathcal{H}_K consisting of holomorphic functions on \mathbb{D} determined by a positive definite kernel $K : \mathbb{D} \times \mathbb{D} \rightarrow \mathbb{C}$. Without loss of generality, we assume that the vector $K_w \neq 0$ for every $w \in \mathbb{D}$. Let w_1, \dots, w_n be n arbitrary points in \mathbb{D} and c_1, \dots, c_n be arbitrary complex numbers. Using the reproducing property of K and the property that $M^*(K_{w_i}) =$

$\bar{w}_i K_{w_i}$ we will have

$$\begin{aligned} \left\| M^* \left(\sum_{i,j=1}^n c_i K_{w_i} \right) \right\|^2 &= \sum_{i,j=1}^n w_i \bar{w}_j K(w_i, w_j) c_j \bar{c}_i, \\ \left\| \sum_{i,j=1}^n c_i K_{w_i} \right\|^2 &= \sum_{i,j=1}^n K(w_i, w_j) c_j \bar{c}_i. \end{aligned}$$

Let $\tilde{K}(z, w)$ be the function $(1 - z\bar{w})K(z, w)$, $z, w \in \mathbb{D}$. Now it is easy to see that the operator M^* on the Hilbert space \mathcal{H}_K is a contraction if and only if \tilde{K} is non-negative definite.

LEMMA 3.1

Let T be a contraction in $B_1(\mathbb{D})$ and \mathcal{H}_K be an associated reproducing kernel Hilbert space. Then for an arbitrary but fixed $\zeta \in \mathbb{D}$, we have $\mathcal{K}_T(\zeta) = -\frac{1}{(1-|\zeta|^2)^2}$ if and only if the vectors $\tilde{K}_\zeta, \bar{\partial}\tilde{K}_\zeta$ are linearly dependent in the Hilbert space $\mathcal{H}_{\tilde{K}}$.

Proof

Assume $\mathcal{K}_{M^*}(\zeta) = -\frac{1}{(1-|\zeta|^2)^2}$ for some $\zeta \in \mathbb{D}$. Contractivity of the operator M^* shows that the function $\tilde{K} : \mathbb{D} \times \mathbb{D} \rightarrow \mathbb{C}$ defined by

$$\tilde{K}(z, w) = (1 - z\bar{w})K(z, w) \quad z, w \in \mathbb{D},$$

is a non-negative definite kernel function. Consequently, there exists a reproducing kernel Hilbert space $\tilde{\mathcal{H}}$, consisting of complex valued function on \mathbb{D} such that \tilde{K} becomes the reproducing kernel for $\tilde{\mathcal{H}}$. Also note that $\tilde{K}(z, z) = (1 - |z|^2)K(z, z) \neq 0$, for $z \in \mathbb{D}$ which gives us $\tilde{K}_z \neq 0$. Let ζ be an arbitrary but fixed point in \mathbb{D} . Now, it is straightforward to verify that $\mathcal{K}_T(\zeta) = -\frac{1}{(1-|\zeta|^2)^2}$ if and only if $\frac{\partial^2}{\partial z \partial \bar{z}} \log \tilde{K}(z, z)|_{z=\zeta} = 0$. Since we have

$$\frac{\partial^2}{\partial z \partial \bar{z}} \log \tilde{K}(z, z)|_{z=\zeta} = -\frac{\|\tilde{K}_\zeta\|^2 \|\bar{\partial}\tilde{K}_\zeta\|^2 - |\langle \tilde{K}_\zeta, \bar{\partial}\tilde{K}_\zeta \rangle|^2}{(\tilde{K}(\zeta, \zeta))^2},$$

using the Cauchy-Schwarz inequality, we see that the proof is complete. □

REMARK 3.2

Let $e(w) = \frac{1}{\sqrt{2}}(\tilde{K}_w \otimes \bar{\partial}\tilde{K}_w - \bar{\partial}\tilde{K}_w \otimes \tilde{K}_w)$ for $w \in \mathbb{D}$. A straightforward computation shows that $\|e(w)\|_{\tilde{\mathcal{H}} \otimes \tilde{\mathcal{H}}}^2 = \tilde{K}(w, w)^2 \frac{\partial^2}{\partial z \partial \bar{z}} \log \tilde{K}(z, z)|_{z=w}$. Now if we define

$$F_K(z, w) := \langle e(z), e(w) \rangle_{\tilde{\mathcal{H}} \otimes \tilde{\mathcal{H}}} \quad \text{for } z, w \in \mathbb{D},$$

then clearly F_K is a non-negative definite kernel function on $\mathbb{D} \times \mathbb{D}$. In view of this, we conclude that $\mathcal{K}_T(\zeta) = -(1 - |\zeta|^2)^{-2}$ if and only if $F_K(\zeta, \zeta) = 0$.

PROPOSITION 3.3

Let T be a unilateral backward weighted shift operator in $B_1(\mathbb{D})$, which is contractive,

co-hyponormal. If for some $w_0 \in \mathbb{D}$, the curvature $\mathcal{K}_T(w_0) = -(1 - |w_0|^2)^{-2}$, then the operator T is unitarily equivalent to U_+^* , the backward shift operator.

Proof

Let T be a contraction in $B_1(\mathbb{D})$ and \mathcal{H}_K be the associated reproducing kernel Hilbert space so that T is unitarily equivalent to the operator M^* on \mathcal{H}_K . By our hypothesis on T , we have that the operator M on \mathcal{H}_K is a unilateral forward weighted shift. Without loss of generality, we may assume that the reproducing kernel K is of the form

$$K(z, w) = \sum_{n=0}^{\infty} a_n z^n \bar{w}^n, \quad z, w \in \mathbb{D}; \text{ where } a_n > 0 \text{ for all } n \geq 0.$$

By our hypothesis on the operator T , we have that the operator M on \mathcal{H}_K is a contraction. So, the function \tilde{K} defined by $\tilde{K}(z, w) = (1 - z\bar{w})K(z, w)$ is a non-negative definite kernel function. Consequently, following Remark 3.2, the function $F_K(w, w)$ defined by $F_K(w, w) = \tilde{K}(w, w)^2 \frac{\partial^2}{\partial z \partial \bar{z}} \log \tilde{K}(z, z)|_{z=w}$ is also non-negative definite. The kernel $K(w, w)$ is a weighted sum of monomials $z^k \bar{w}^k$, $k = 0, 1, 2, \dots$. Hence, both $\tilde{K}(w, w)$ and $F_K(w, w)$ are also weighted sums of the same form. So, we have

$$F_K(w, w) = \sum_{n=0}^{\infty} c_n |w|^{2n},$$

for some $c_n \geq 0$. Now assume $\mathcal{K}_T(\zeta) = -\frac{1}{(1-|\zeta|^2)^2}$ for some ζ in \mathbb{D} .

Case 1: If $\zeta \neq 0$, then following Remark 3.2, we have

$$F_K(\zeta, \zeta) = \sum_{n=0}^{\infty} c_n |\zeta|^{2n} = 0.$$

Thus, $c_n = 0$ for all $n \geq 0$ since $c_n \geq 0$ and $|\zeta| \neq 0$. It follows that F_K is identically zero on $\mathbb{D} \times \mathbb{D}$; that is, $\frac{\partial^2}{\partial z \partial \bar{z}} \log \tilde{K}(z, z)|_{z=\bar{w}} = 0$ for all $w \in \mathbb{D}$. Hence,

$$\frac{\partial^2}{\partial z \partial \bar{z}} \log K(z, z)|_{z=\bar{w}} = \frac{\partial^2}{\partial z \partial \bar{z}} \log S_{\mathbb{D}}(z, z)|_{z=\bar{w}} \quad \text{for all } w \in \mathbb{D}.$$

Therefore, $\mathcal{K}_T(\bar{w}) = \mathcal{K}_{U_+^*}(\bar{w})$ for all $w \in \mathbb{D}$, making $T \cong U_+^*$.

Now let's discuss the remaining case; that is, $\mathcal{K}_T(\zeta) = -\frac{1}{(1-|\zeta|^2)^2}$, for $\zeta = 0 \in \mathbb{D}$.

Case 2: If $\zeta = 0$, then by Lemma 3.1, we have that $\tilde{K}_0, \bar{\partial} \tilde{K}_0$ are linearly dependent. Now,

$$\tilde{K}(z, w) := (1 - z\bar{w})K(z, w) = \sum_{n=0}^{\infty} b_n z^n \bar{w}^n,$$

where $b_0 = a_0$ and $b_n = a_n - a_{n-1} \geq 0$, for all $n \geq 1$. Consequently, we have $\tilde{K}_0(z) \equiv b_0$ and $\bar{\partial} \tilde{K}_0(z) = b_1 z$. Now $\tilde{K}_0, \bar{\partial} \tilde{K}_0$ are linearly dependent if and only if $b_1 = 0$ that is $a_0 = a_1$.

Since $\{\sqrt{a_n} z^n\}_{n=0}^{\infty}$ is an orthonormal basis for the Hilbert space \mathcal{H}_K , the operator M on \mathcal{H}_K is a unilateral forward weighted shift with weight sequence $w_n = \sqrt{\frac{a_n}{a_{n+1}}}$

for $n \geq 0$. So the curvature of M^* at the point zero is equal to -1 if and only if $w_0 = \sqrt{\frac{a_0}{a_1}} = 1$. Now if we further assume M is hyponormal (that is, $M^*M \geq MM^*$), then the sequence w_n must be increasing. Also contractivity of M implies that $w_n \leq 1$. Therefore, if $\mathcal{K}_{M^*}(0) = -1$ for some contractive hyponormal backward weighted shift M^* in $B_1(\mathbb{D})$, then it follows that $w_n = 1$ for all $n \geq 1$. Thus, any such operator is unitarily equivalent to the backward unilateral shift U_+^* , completing the proof of our claim. \square

The proof of **Case 1** given above actually proves a little more than what is stated in the proposition, which we record below as a separate lemma.

LEMMA 3.4

Let T be any contractive unilateral backward weighted shift operator in $B_1(\mathbb{D})$. If $\mathcal{K}_T(w_0) = -(1 - |w_0|^2)^{-2}$ for some $w_0 \in \mathbb{D}$, $w_0 \neq 0$, then the operator T is unitarily equivalent to U_+^ , the backward shift operator.*

Let T be a contraction in $B_1(\mathbb{D})$. Let a be a fixed but arbitrary point in \mathbb{D} and ϕ_a be an automorphism of the unit disc taking a to 0. Then $\phi_a(z)$ is of the form $\beta(z - a)(1 - \bar{a}z)^{-1}$ for some unimodular constant β . For any operator T in $B_1(\mathbb{D})$ and $w \in \mathbb{D}$, the operator $(T - w)$ is Fredholm and the index of $(T - w)$ is 1 by definition. Note that

$$\begin{aligned} &(1 - \bar{a}w)(1 - \bar{a}T)(\phi_a(T) - \phi_a(w)) \\ &= \beta((T - a)(1 - \bar{a}w) - (w - a)(1 - \bar{a}T)) \\ &= \beta(1 - |a|^2)(T - w), \end{aligned}$$

$w \in \mathbb{D}$.

Thus, the operator $(\phi_a(T) - \phi_a(w))$ is the product of the Fredholm operator $(T - w)$ of index 1 and the invertible operator $\beta(1 - |a|^2)(1 - \bar{a}w)^{-1}(1 - \bar{a}T)^{-1}$; therefore, it is Fredholm with the same index as that of the operator $(T - w)$.

Also, if $v \in \ker(T - w)$, then for any polynomial p , $p(T)(v) = p(w)v$. Consequently, we have that $v \in \ker(\phi_a(T) - \phi_a(w))$. Hence,

$$\ker(T - w) \subseteq \ker(\phi_a(T) - \phi_a(w)).$$

Since $\phi_a^{-1} \circ \phi_a(T) = T$, in a similar fashion we will have

$$\ker(\phi_a(T) - \phi_a(w)) \subseteq \ker(T - w).$$

Thus, we get that $\ker(\phi_a(T) - \phi_a(w)) = \ker(T - w)$. In consequence,

$$\bigvee_{w \in \mathbb{D}} \ker(\phi_a(T) - \phi_a(w)) = \bigvee_{w \in \mathbb{D}} \ker(T - w) = \mathcal{H},$$

which proves that $\phi_a(T)$ is in $B_1(\mathbb{D})$.

Let $\gamma(w)$ be a frame for the associated bundle E_T of T so that $T(\gamma(w)) = w\gamma(w)$ for all $w \in \mathbb{D}$. Now it is easy to see that $\phi_a(T)(\gamma(w)) = \phi_a(w)\gamma(w)$ or equivalently

$\phi_a(T)(\gamma \circ \phi_a^{-1}(w)) = w(\gamma \circ \phi_a^{-1}(w))$. So, $\gamma \circ \phi_a^{-1}(w)$ is a frame for the bundle $E_{\phi_a(T)}$ associated with $\phi_a(T)$. Hence, the curvature $\mathcal{K}_{\phi_a(T)}(w)$ is equal to

$$\begin{aligned} & \frac{\partial^2}{\partial w \partial \bar{w}} \log \|\gamma \circ \phi_a^{-1}(w)\|^2 \\ &= |\phi_a^{-1}'(w)|^2 \frac{\partial^2}{\partial z \partial \bar{z}} \log \|\gamma(z)\|^2 \Big|_{z=\phi_a^{-1}(w)} \\ &= |\phi_a^{-1}'(w)|^2 \mathcal{K}_T(\phi_a^{-1}(w)). \end{aligned}$$

This gives the following transformation rule for the curvature:

$$(3.1) \quad \mathcal{K}_{\phi_a(T)}(\phi_a(z)) = \mathcal{K}_T(z) |\phi_a'(z)|^{-2}, \quad z \in \mathbb{D}.$$

Since $|\phi_a'(a)| = (1 - |a|^2)^{-1}$, in particular, we have that

$$(3.2) \quad \mathcal{K}_{\phi_a(T)}(0) = \mathcal{K}_T(a) (1 - |a|^2)^2.$$

Normalized kernel: Let T be an operator in $B_1(\Omega^*)$ and T has been realized as M^* on a reproducing kernel Hilbert space \mathcal{H}_K with non-degenerate kernel function K . For any fixed but arbitrary $\zeta \in \Omega$, the function $K(z, \zeta)$ is non-zero in some neighborhood, say U , of ζ . The function $\varphi_\zeta(z) := K(z, \zeta)^{-1} K(\zeta, \zeta)^{1/2}$ is then holomorphic. The linear space $(\mathcal{H}, K_{(\zeta)}) := \{\varphi_\zeta f : f \in \mathcal{H}_K\}$ then can be equipped with an inner product, making the multiplication operator M_{φ_ζ} unitary from \mathcal{H}_K onto $(\mathcal{H}, K_{(\zeta)})$. It then follows that $(\mathcal{H}, K_{(\zeta)})$ is a space of holomorphic functions defined on $U \subseteq \Omega$, and it has a reproducing kernel $K_{(\zeta)}$ defined by

$$K_{(\zeta)}(z, w) = K(\zeta, \zeta) K(z, \zeta)^{-1} \overline{K(z, w) K(w, \zeta)^{-1}}, \quad z, w \in U,$$

with the property $K_{(\zeta)}(z, \zeta) = 1, z \in U$. Finally, the multiplication operator M on \mathcal{H}_K is unitarily equivalent to the multiplication operator M on $(\mathcal{H}, K_{(\zeta)})$. The kernel $K_{(\zeta)}$ is said to be normalized at ζ .

The realization of an operator T in $B_1(\Omega^*)$ as the adjoint of the multiplication operator on \mathcal{H}_K is not canonical. However, the kernel function K is determined up to conjugation by a holomorphic function. Consequently, one sees that the curvature \mathcal{K}_K is unambiguously defined. On the other hand, Curto and Salinas (cf. [6, Remarks 4.7 (b)]) prove that the multiplication operators M on two Hilbert spaces $(\mathcal{H}, K_{(\zeta)})$ and $(\hat{\mathcal{H}}, \hat{K}_{(\zeta)})$ are unitarily equivalent if and only if $K_{(\zeta)} = \hat{K}_{(\zeta)}$ in some small neighbourhood of ζ . Thus, the normalized kernel at ζ (that is, $K_{(\zeta)}$), is also unambiguously defined. It follows that the curvature and the normalized kernel at ζ serve equally well as a complete unitary invariant for the operator T in $B_1(\Omega^*)$.

To answer Question 1.2, we have to impose two additional conditions on the operator T . These are not too restrictive. However, we don't know if the second of these two conditions follows from the other hypothesis.

First, let us recall the definition of 2 hyper-contraction (cf. [2]). An operator A acting on a Hilbert space \mathcal{H} is said to be 2 hyper-contraction if $I - A^*A \geq 0$ and $A^{*2}A^2 - 2A^*A + I \geq 0$. For example, every contractive subnormal operator is a 2 hyper-contraction (cf. [2, Theorem 3.1]). The following lemma will be very useful in establishing our next result.

LEMMA 3.5

Let A be a 2 hyper-contraction and φ be a bi-holomorphic automorphism of unit disc \mathbb{D} . Then $\varphi(A)$ is also a 2 hyper-contraction.

Proof

Let A be a 2 hyper-contraction. Let φ be the automorphism of the unit disc \mathbb{D} given by $\varphi(z) = \lambda \frac{z-a}{1-\bar{a}z}$ for some unimodular constant λ and $a \in \mathbb{D}$. So $\varphi(A) = \lambda(A-a)(1-\bar{a}A)^{-1}$. Since A is a contraction, using von Neumann's inequality, we have that $\varphi(A)$ is also a contraction. Thus,

$$\begin{aligned} & \varphi(A)^{*2}\varphi(A)^2 - 2\varphi(A)^*\varphi(A) + I \\ &= (1 - aA^*)^{-2} \{ (A^* - \bar{a})^2(A-a)^2 - 2(1 - aA^*)(A^* - \bar{a})(A-a)(1 - \bar{a}A) \\ & \quad + (1 - aA^*)^2(1 - \bar{a}A)^2 \} (1 - \bar{a}A)^{-2} \\ &= (1 - aA^*)^{-2} \{ (A^* - \bar{a})^2(A-a)^2 - (A^* - \bar{a})(1 - aA^*)(1 - \bar{a}A)(A-a) \\ & \quad - (1 - aA^*)(A^* - \bar{a})(A-a)(1 - \bar{a}A) + (1 - aA^*)^2(1 - \bar{a}A)^2 \} (1 - \bar{a}A)^{-2} \\ &= (1 - aA^*)^{-2} \{ (A^* - \bar{a}) \{ (A^* - \bar{a})(A-a) - (1 - aA^*)(1 - \bar{a}A) \} (A-a) \\ & \quad - (1 - aA^*) \{ (A^* - \bar{a})(A-a) - (1 - aA^*)(1 - \bar{a}A) \} (1 - \bar{a}A) \} (1 - \bar{a}A)^{-2} \\ &= (1 - aA^*)^{-2} \{ (A^* - \bar{a})(A^*A - 1)(1 - |a|^2)(A-a) \\ & \quad - (1 - aA^*)(A^*A - 1)(1 - |a|^2)(1 - \bar{a}A) \} (1 - \bar{a}A)^{-2} \\ &= (1 - aA^*)^{-2} (1 - |a|^2) \{ (A^* - \bar{a})(A^*A - 1)(A-a) \\ & \quad - (1 - aA^*)(A^*A - 1)(1 - \bar{a}A) \} (1 - \bar{a}A)^{-2} \\ &= (1 - aA^*)^{-2} (1 - |a|^2) \{ (1 - |a|^2)(A^{*2}A^2 - 2A^*A + I) \} (1 - \bar{a}A)^{-2} \\ &= (1 - aA^*)^{-2} (1 - |a|^2)(A^{*2}A^2 - 2A^*A + I)(1 - |a|^2)(1 - \bar{a}A)^{-2}. \end{aligned}$$

Since A is a 2 hyper-contraction, it follows that $\varphi(A)$ is also a 2-hyper-contraction, completing the proof. □

Second, recall that an operator A in $B(\mathcal{H})$ is said to have wandering subspace property if the linear span of $\{A^n(\ker A^*) : n \in \mathbb{Z}_+\}$ is dense in \mathcal{H} (cf. [16]). The following theorem provides a partial answer to Question 1.2.

THEOREM 3.6

Fix an arbitrary point $\zeta \in \mathbb{D}$. Let T be an operator in $B_1(\mathbb{D})$ such that T^* is a 2 hyper-contraction. Suppose that the operator $(\phi_\zeta(T))^*$ has the wandering subspace property for an automorphism ϕ_ζ of the unit disc \mathbb{D} mapping ζ to 0. If $\mathcal{K}_T(\zeta) = -(1 - |\zeta|^2)^{-2}$, then T must be unitarily equivalent to U_ζ^* , the backward shift operator.

Proof

Let T be an operator in $B_1(\mathbb{D})$ such that the adjoint T^* is a 2-hyper-contraction and $(\phi_\zeta(T))^*$ has the wandering subspace property for an automorphism ϕ_ζ of the unit disc \mathbb{D} mapping ζ into 0. Let P be the operator $\phi_\zeta(T)$. We have seen that P is in $B_1(\mathbb{D})$ and from Lemma 3.5, it follows that the adjoint P^* is a 2-hyper-contraction. Now assume $\mathcal{K}_T(\zeta) = -(1 - |\zeta|^2)^{-2}$. Following Equation (3.2), we see that $\mathcal{K}_P(0) = -1$.

Without loss of generality, we assume that P is unitarily equivalent to the operator M^* acting on the reproducing kernel Hilbert space \mathcal{H}_K , where the kernel function K is normalized at 0. Since $M^* \in B_1(\mathbb{D})$, we have $\ker M^* = \{aK(\cdot, 0) : a \in \mathbb{C}\}$. As K is normalized at 0 (that is, $K(z, 0) = 1$ for all z in some neighborhood of 0), we have $\ker M^* = \mathbb{C}$. By our assumption, P^* has the wandering subspace property. As the operator M on \mathcal{H}_K is unitarily equivalent to P^* , the operator M on \mathcal{H}_K also has the wandering subspace property. Thus, polynomials are dense in \mathcal{H}_K .

Now we claim that $\bar{\partial}K(\cdot, 0) = z$. As \mathcal{H}_K consists of holomorphic function, for any $f \in \mathcal{H}_K$, we have

$$f(z) = \sum_{j=1}^{\infty} a_j z^j, \quad \text{where } a_j = \frac{f^{(j)}(0)}{j!} = \left\langle f, \frac{\bar{\partial}^j K(\cdot, 0)}{j!} \right\rangle.$$

Let $V_j = \frac{\bar{\partial}^j K(\cdot, 0)}{j!}$. To prove $V_1 = \bar{\partial}K(\cdot, 0) = z$, it is sufficient to show that $\langle V_1, V_j \rangle = 0$ for all $j \geq 0$, except $j = 1$. First note that since $K(z, 0) = 1 = K(0, z)$, we have $\bar{\partial}K(0, 0) = 0$. It follows that $\langle V_1, V_0 \rangle = 0$. Since K is normalized at 0, we also have $\mathcal{K}_P(0) = -\bar{\partial}\bar{\partial}K(0, 0) = -\|V_1\|^2$. Hence, we find that $\|V_1\|^2 = 1$. Now to show $\langle V_1, V_j \rangle = 0$ for $j \geq 2$, we need the following lemma.

LEMMA 3.7

Let V and W be two finite dimensional inner product spaces and $A : V \rightarrow W$ be a linear map. Let $\{v_1, v_2, \dots, v_k\}$ be a basis for V and G_v (resp. G_{Av}) be the Gramian ($\langle\langle v_j, v_i \rangle\rangle_V$) (resp. $\langle\langle Av_j, Av_i \rangle\rangle_W$). The linear map A is a contraction if and only if $G_{Av} \leq G_v$.

Proof

Let $x = c_1 v_1 + c_2 v_2 + \dots + c_n v_n$ be an arbitrary element in V . Then the easy verification that $\|Ax\|_W^2 \leq \|x\|_V^2$ is equivalent to $\langle G_{Av} c, c \rangle \leq \langle G_v c, c \rangle$ completes the proof. □

Differentiating $(M^* - \bar{w})K(\cdot, w) = 0$, we find that $(M^* - \bar{w})\frac{\bar{\partial}K^j(\cdot, w)}{j!} = \frac{\bar{\partial}K^{j-1}(\cdot, w)}{(j-1)!}$ for all $j \geq 1$. So, we have $M^*(V_j) = V_{j-1}$ for $j \geq 1$ and $M^*(V_0) = 0$. We also have $\|M^*\| \leq 1$. Applying Lemma 3.7 to the vectors $\{V_0, V_1, \dots, V_n\}$, we see that the difference

$$\begin{pmatrix} \langle V_0, V_0 \rangle & \langle V_1, V_0 \rangle & \cdots & \langle V_n, V_0 \rangle \\ \langle V_0, V_1 \rangle & \langle V_1, V_1 \rangle & \cdots & \langle V_n, V_1 \rangle \\ \vdots & \vdots & \ddots & \vdots \\ \langle V_0, V_n \rangle & \langle V_1, V_n \rangle & \cdots & \langle V_n, V_n \rangle \end{pmatrix} - \begin{pmatrix} 0 & 0 & \cdots & 0 \\ 0 & \langle V_0, V_0 \rangle & \cdots & \langle V_{n-1}, V_0 \rangle \\ \vdots & \vdots & \ddots & \vdots \\ 0 & \langle V_0, V_{n-1} \rangle & \cdots & \langle V_{n-1}, V_{n-1} \rangle \end{pmatrix}$$

is non-negative definite.

Since $\|V_0\|^2 = K(0, 0) = 1$ and $\|V_1\|^2 = 1$, the $(2, 2)$ entry of this difference is 0. Also, this difference being a non-negative definite matrix, we see that the 2nd row and 2nd column must be an identically zero (for a non-negative definite matrix B with $\langle Be_2, e_2 \rangle = 0$ gives $\sqrt{B}e_2 = 0$. Hence, $Be_2 = 0$). Consequently, we get that $\langle V_j, V_1 \rangle = \langle V_{j-1}, V_0 \rangle$ for all $j = 2, \dots, n$. But as $K(z, 0) = 1 = K(0, z)$, it follows that $\bar{\partial}^k K(0, 0) = \langle V_k, V_0 \rangle = 0$ for all $k \geq 1$. Hence, we get that $\langle V_j, V_1 \rangle = 0$ for all $j \geq 2$, $V_1 = \bar{\partial}K(\cdot, 0) = z$, and $\|z\|^2 = \|V_1\|^2 = 1$. We also have $V_0 = K(\cdot, 0) = 1$ with $\|1\|^2 = \|V_0\|^2 = K(0, 0) = 1$.

By our assumption, the operator M on \mathcal{H}_K is a 2-hyper-contraction. In particular, M is also a contraction and $\|1\|_{\mathcal{H}_K} = 1$. Hence, we have $\|z^n\|_{\mathcal{H}_K} \leq 1$, for all $n \geq 1$. Since M on \mathcal{H}_K is a 2 hyper-contraction (that is, $I - 2M^*M + M^{*2}M^2 \geq 0$), equivalently, $\|f\|_{\mathcal{H}_K}^2 - 2\|zf\|_{\mathcal{H}_K}^2 + \|z^2f\|_{\mathcal{H}_K}^2 \geq 0$, for all $f \in \mathcal{H}_K$. Since $\|1\| = \|z\| = 1$, taking $f = 1$, we have $\|z^2\| \geq 1$. But we also have $\|z^2\| \leq 1$, which gives us $\|z^2\| = 1$. Inductively, by choosing $f = z^k$, we obtain $\|z^{k+2}\| = 1$ for every $k \in \mathbb{N}$. Hence, we see that $\|z^n\| = 1$ for all $n \geq 0$.

We use Lemma 3.7 to show that $\{z^n \mid n \geq 0\}$ is an orthonormal set in the Hilbert space \mathcal{H}_K . Consider the two subspace V and W of \mathcal{H}_K , defined by $V = \sqrt{\{1, z, \dots, z^k\}}$ and $W = \sqrt{\{z, z^2, \dots, z^{k+1}\}}$. Since M is a contraction, applying the lemma we have just proved, it follows that the matrix B defined by

$$B = (\langle z^j, z^i \rangle)_{i,j=0}^k - (\langle z^{j+1}, z^{i+1} \rangle)_{i,j=0}^k$$

is positive semi-definite. But we have $\|z^i\| = 1$, for all $i \geq 0$. Consequently, each diagonal entry of B is zero. Hence, $\text{tr}(B) = 0$. Since B is positive semi-definite, it follows that $B = 0$. Therefore, $\langle z^j, z^i \rangle = \langle z^{j+1}, z^{i+1} \rangle$ for all $0 \leq i, j \leq k$. We have $K_0(z) \equiv 1$. So, $M^*1 = M^*(K_0) = 0$. From this it follows that for any $k \geq 1$, we have $\langle z^k, 1 \rangle = \langle z^{k-1}, M^*1 \rangle = 0$. This together with $\langle z^j, z^i \rangle = \langle z^{j+1}, z^{i+1} \rangle$ for all $0 \leq i, j \leq k$ inductively shows that $\langle z^j, z^i \rangle = 0$ for every $i \neq j$. Hence, $\{z^n \mid n \geq 0\}$ forms an orthonormal set.

Since polynomials are dense in \mathcal{H}_K , the set of vectors $\{z^n \mid n \geq 0\}$ forms an orthonormal basis for \mathcal{H}_K . Hence, the multiplication operator M on \mathcal{H}_K is unitarily equivalent to U_+ , the unilateral forward shift operator. Consequently, P is unitarily equivalent to U_+^* . But by U_+^* being a homogeneous operator, we have that U_+^* is unitarily equivalent to $\phi_\zeta^{-1}(U_+^*)$ (cf. [9]). Hence, we infer that $T = \phi_\zeta^{-1}(P)$ is unitarily equivalent to U_+^* . □

COROLLARY 3.8

Let T be an operator in $B_1(\mathbb{D})$. Assume that T^ is a 2 hyper-contraction and that $(\phi(T))^*$ has the wandering subspace property for every automorphism ϕ of the unit disc \mathbb{D} . If $\mathcal{K}_T(\zeta) = -(1 - |\zeta|^2)^{-2}$ for an arbitrary but fixed point ζ in \mathbb{D} , then T must be unitarily equivalent to U_+^* , the backward shift operator.*

4. Bergman bundle shifts

Let Ω be a finitely connected bounded domain in the complex plane \mathbb{C} whose boundary consists of $n + 1$ analytic Jordan curves. Let dv be the Lebesgue area measure in

the complex plane \mathbb{C} and ds be the arc length measure on the boundary $\partial\Omega$ of the domain Ω . For a positive continuous function h on Ω which is integrable w.r.t. the area measure dv , the weighted Bergman space $(\mathbb{A}^2(\Omega), h dv)$ consists of all holomorphic function f on Ω satisfying $\|f\|_h^2 = \int_{\Omega} |f(z)|^2 h(z) dv(z) < \infty$. In this section we study the operator M of multiplication by the coordinate function on the weighted Bergman space $(\mathbb{A}^2(\Omega), h dv)$.

NOTATION 4.1

Let \mathfrak{h} be the set of functions

$$\{h : h \text{ is a positive continuous integrable (w.r.t. area measure) function on } \Omega\}$$

and similarly let $\hat{\mathfrak{h}}$ be the set of functions

$$\{\hat{h} : \hat{h} \text{ is a positive continuous function on } \partial\Omega\}.$$

Finally, let $\mathcal{F}_1, \mathcal{F}_2$ be the class of operator defined by

$$\mathcal{F}_1 = \{M \text{ on } (\mathbb{A}^2(\Omega), h dv) : h \in \mathfrak{h}\}$$

and

$$\mathcal{F}_2 = \{M \text{ on } (H^2(\Omega), \hat{h} ds) : \hat{h} \in \hat{\mathfrak{h}}\}.$$

Set $\mathcal{F} = \mathcal{F}_1 \cup \mathcal{F}_2$.

It was shown in [15] that the class of operators in \mathcal{F}_2 includes the bundle shifts introduced in [1]. We conclude this section by showing that the class \mathcal{F}_1 includes all the Bergman bundle shifts of rank 1 introduced in [7]. Let \mathcal{G} be the class of operators contained in \mathcal{F} defined by $\mathcal{G} = \{M \text{ on } (\mathbb{A}^2(\Omega), h dv) : \log h \text{ is harmonic on } \overline{\Omega}\}$. After recalling the definition of Bergman bundle shift (cf. [7]), we proceed to establish the existence of a surjective map from \mathcal{G} onto the class of a Bergman bundle shift of rank 1.

Let $\pi : \mathbb{D} \rightarrow \Omega$ be a holomorphic covering map. Bergman bundle shifts are realized as multiplication operators on a certain subspace of the weighted Bergman space $(\mathbb{A}^2(\mathbb{D}), |\pi'(z)|^2 dv(z))$. Let G denote the group of deck transformation associated to the map π that is $G = \{A \in \text{Aut}(\mathbb{D}) \mid \pi \circ A = \pi\}$. Let α be a character—that is, $\alpha \in \text{Hom}(G, \mathbb{S}^1)$. A holomorphic function f on unit disc \mathbb{D} satisfying $f \circ A = \alpha(A) f$, for all $A \in G$, is called a modulus automorphic function of index α . Now consider the following subspace of the weighted Bergman space $(\mathbb{A}^2(\mathbb{D}), |\pi'(z)|^2 dv(z))$ which consists of a modulus automorphic function of index α , namely,

$$\mathbb{A}^2(\mathbb{D}, \alpha) = \{f \in (\mathbb{A}^2(\mathbb{D}), |\pi'(z)|^2 dv(z)) \mid f \circ A = \alpha(A) f, \text{ for all } A \in G\}.$$

Let T_α be the multiplication by the covering map π on the subspace $\mathbb{A}^2(\mathbb{D}, \alpha)$. The operator T_α is called a Bergman bundle shift of rank 1 associated to the character α .

Like the Hardy bundle shift (cf. [1]), there is another way to realize the Bergman bundle shift as a multiplication operator M on a Hilbert space of multivalued holomorphic function defined on Ω with the property whose absolute value is single valued.

A multivalued holomorphic function defined on Ω with the property whose absolute value is single valued is called a multiplicative function. Every modulus automorphic function f on \mathbb{D} induces a multiplicative function on Ω , namely, $f \circ \pi^{-1}$. The converse is also true (cf. [19, Lemma 3.6]). We define the class $\mathbb{A}_\alpha^2(\Omega)$ consisting of a multiplicative function in the following way:

$$\mathbb{A}_\alpha^2(\Omega) := \{f \circ \pi^{-1} \mid f \in \mathbb{A}^2(\mathbb{D}, \alpha)\}.$$

So the linear space $\mathbb{A}_\alpha^2(\Omega)$ consists of those multiple valued functions h on Ω for which $|h|$ is single valued, $|h|^2$ is integrable w.r.t the area measure dv on ω , and h is locally holomorphic in the sense that each point $w \in \Omega$ has a neighborhood U_w and a single valued holomorphic function g_w on U_w with the property $|g_w| = |h|$ on U_w (cf. [8, p. 101]). It follows that the linear space $\mathbb{A}_\alpha^2(\Omega)$ endowed with the norm

$$\|f\|^2 = \int_\Omega |f(z)|^2 dv(z)$$

is a Hilbert space. We denote it by $(\mathbb{A}_\alpha^2(\Omega), dv)$. In fact, the map $f \mapsto f \circ \pi^{-1}$ is a unitary map from $\mathbb{A}^2(\mathbb{D}, \alpha)$ onto $(\mathbb{A}_\alpha^2(\Omega), dv)$ which intertwines the multiplication by π on $\mathbb{A}^2(\mathbb{D}, \alpha)$ and the multiplication by coordinate function M on $(\mathbb{A}_\alpha^2(\Omega), dv)$. Thus, the multiplication operator M on $(\mathbb{A}_\alpha^2(\Omega), dv)$ is also called a Bergman bundle shift of rank 1.

Let h be a positive function on $\overline{\Omega}$ with $\log h$ harmonic on $\overline{\Omega}$. Now we show that the multiplication operator M on the weighted Bergman space $(\mathbb{A}^2(\Omega), h dv)$ is unitarily equivalent to a Bergman bundle shift T_α for some character α . In this realization, it is not hard to see that all the Bergman bundle shifts of rank 1 are in the same similarity class. First note that h is bounded both above and below. So, there exist positive constants p, q such that $0 < p \leq h(z) \leq q$ for all $z \in \overline{\Omega}$. Consequently, we have

$$p \|\cdot\|_1 \leq \|\cdot\|_h \leq q \|\cdot\|_1.$$

Thus, the norm on the weighted Bergman space $(\mathbb{A}^2(\Omega), h dv)$ is equivalent to the norm on the Bergman space $(\mathbb{A}^2(\Omega), dv)$. It follows that the identity map is an invertible operator between these two Hilbert spaces and intertwines the associated multiplication operator. This shows that every operator in the class \mathcal{G} is similar to the multiplication operator M on the Bergman space $(\mathbb{A}^2(\Omega), dv)$.

The following lemma is the essential step in proving the existence of a bijective map from \mathcal{G} to the class of a Bergman bundle shift of rank 1.

LEMMA 4.2

Let h be a positive function on $\overline{\Omega}$ such that $\log h$ is harmonic on $\overline{\Omega}$. Then there exists a function F in $H_\gamma^\infty(\Omega)$ for some character γ such that $|F|^2 = h$ on Ω . In fact, F is invertible in the sense that there exist G in $H_{\gamma^{-1}}^\infty(\Omega)$ so that $FG = 1$ on Ω . Furthermore, given any character γ there exists a positive function h on $\overline{\Omega}$ such that $\log h$ is harmonic on $\overline{\Omega}$ and $h = |F|^2$ on Ω for some F in $H_\gamma^\infty(\Omega)$.

Proof

The proof of the first half of the lemma follows using techniques similar to the ones used in the proof of Lemma 2.4 of [15]; therefore, we omit the proof here.

For the proof of the second half of the lemma, recall that there exist functions $\omega_j(z)$ which are harmonic in Ω . For each $j = 1, 2, \dots, n$, the boundary value of these functions is 1 on $\partial\Omega_j$ and 0 on all the other boundary components. Since the boundary of Ω consists of Jordan analytic curves, we have that the functions $\omega_j(z)$ are also harmonic on $\bar{\Omega}$. Let $p_{i,j}$ be the period of the harmonic function ω_j around the boundary component $\partial\Omega_i$; that is,

$$p_{i,j} = - \int_{\partial\Omega_i} \frac{\partial}{\partial \eta_z} (\omega_j(z)) ds_z, \quad \text{for } i, j = 1, 2, \dots, n.$$

The negative sign appears in the equation as it is assumed that $\partial\Omega$ is positively oriented—that is, the boundary components $\partial\Omega_j$, $j = 1, 2, \dots, n$, except the outer one, namely $\partial\Omega_{n+1}$, are oriented in the clockwise direction. So the period of the harmonic function $u(z) = a_1\omega_1(z) + a_2\omega_2(z) + \dots + a_n\omega_n(z)$ around the boundary component $\partial\Omega_i$ is equal to $\sum_j p_{i,j}\alpha_j$. It is well known that the $n \times n$ period matrix $((p_{i,j}))$ is positive definite and hence invertible (cf. [14, Section 10, Ch 1]). Thus, it follows that for any n -tuple of a real number, say (b_1, b_2, \dots, b_n) , we have a harmonic function u on $\bar{\Omega}$ such that its period around the boundary component $\partial\Omega_i$ is equal to b_i . Let g be the positive function on $\bar{\Omega}$ defined by $g(z) = \exp(2u(z))$, $z \in \bar{\Omega}$. Now following the first part of the lemma, we have that there exists an F in $H_\gamma^\infty(\Omega)$ such that $|F|^2 = g$ on $\bar{\Omega}$. Furthermore, the character γ is determined by

$$\gamma_j = \exp(ib_j), \quad \text{for } j = 1, 2, \dots, n.$$

As this is true for an arbitrary n -tuple of real number (b_1, b_2, \dots, b_n) , the result follows. □

As a consequence of the previous lemma, we have the following theorem.

THEOREM 4.3

There is a bijective correspondence between the multiplication operators on the weighted Bergman spaces \mathcal{G} and the bundle shifts in \mathcal{B} .

Proof

Let h be a positive function on $\bar{\Omega}$ such that $\log h$ is harmonic on $\bar{\Omega}$. We see that there is an F in $H_\gamma^\infty(\Omega)$ with $|F|^2 = h$ on Ω and a G in $H_{\gamma^{-1}}^\infty(\Omega)$ with $|G|^2 = h^{-1}$ on Ω . Now consider the map $M_F : (\mathbb{A}^2(\Omega), h dv) \rightarrow (\mathbb{A}_\gamma^2(\Omega), dv)$, defined by the equation

$$M_F(g) = Fg, \quad g \in (\mathbb{A}^2(\Omega), h dv).$$

Clearly, M_F is a unitary operator and its inverse is the operator M_G . The multiplication operator M_F intertwines the corresponding operator of multiplication by the coordi-

nate function on the Hilbert spaces $(\mathbb{A}^2(\Omega), h dv)$ and $(\mathbb{A}_\gamma^2(\Omega), dv)$. The character γ is determined by $\gamma_j(h) = \exp(ic_j(h))$, where $c_j(h)$ is given by

$$c_j(h) = - \int_{\partial\Omega_j} \frac{\partial}{\partial\eta_z} \left(\frac{1}{2} \log h(z) \right) ds_z, \quad \text{for } j = 1, 2, \dots, n.$$

Conversely, following the second part of Lemma 4.2, for any character γ there exists a positive function h on $\bar{\Omega}$ such that $\log h$ is harmonic on $\bar{\Omega}$ and $h = |F|^2$ on $\bar{\Omega}$ for some function F in $H_\gamma^\infty(\Omega)$. Thus, we have established a surjective map from the class $\mathcal{G} = \{M \text{ on } (\mathbb{A}^2(\Omega), h dv) : \log h \text{ is harmonic on } \bar{\Omega}\}$ onto the class \mathcal{B} of Bergman bundle shifts of rank 1, namely, the multiplication operators M on $(\mathbb{A}_\gamma^2(\Omega), dv)$, where γ is in $\text{Hom}(\pi_1(\Omega), \mathbb{S}^1)$. □

Also, the following corollary is an immediate consequence of [7, Theorem 18].

COROLLARY 4.4

Let h_1, h_2 be two positive function on $\bar{\Omega}$. Suppose that $\log h_i, i = 1, 2$, is harmonic on $\bar{\Omega}$. Then the operator M on $(\mathbb{A}^2(\Omega), h_1 dv)$ is unitarily equivalent to the operator M on $(\mathbb{A}^2(\Omega), h_2 dv)$ if and only if $\gamma_j(h_1) = \gamma_j(h_2)$ for $j = 1, 2, \dots, n$.

5. Curvature inequality in the case of finitely connected domain

Let h be a positive continuous function on Ω which is integrable w.r.t the Lebesgue area measure dv on Ω . Consider the weighted Bergman space $(\mathbb{A}^2(\Omega), h dv)$. For any compact set $C \subset \Omega$, the function h is bounded below on C . It follows that evaluation at any fixed but arbitrary point in Ω is a locally uniformly bounded linear map on $(\mathbb{A}^2(\Omega), h dv)$. Consequently, $(\mathbb{A}^2(\Omega), h dv)$ is a reproducing kernel Hilbert space. Let $K(z, w)$ be the kernel function for $(\mathbb{A}^2(\Omega), h dv)$. Clearly, the multiplication operator M by coordinate function on $(\mathbb{A}^2(\Omega), h dv)$ is a subnormal operator and $\bar{\Omega}$ is a spectral set for M . In this section, we will establish the following strict curvature inequality:

$$\partial_z \bar{\partial}_z \log K(z, z)|_{z=w} > 4\pi^2 S(w, w)^2.$$

Let w be an arbitrary but fixed point in Ω . Let \mathcal{C}_w be the closed convex set in $\mathcal{H} = (\mathbb{A}^2(\Omega), h dv)$ defined by $\mathcal{C}_w = \{f \in \mathcal{H} : f(w) = 0, f'(w) = 1\}$. Consider the following extremal problem:

$$\inf\{\|f\|^2 : f \in \mathcal{C}_w\}.$$

Let \mathcal{E}_w be the subspace of \mathcal{H} defined by

$$\mathcal{E}_w = \{f \in \mathcal{H} : f(w) = 0, f'(w) = 0\}.$$

Since $f + g \in \mathcal{C}_w$, whenever $f \in \mathcal{C}_w$ and $g \in \mathcal{E}_w$, it is evident that the unique function F which solves the extremal problem must belong to \mathcal{E}_w^\perp . From the reproducing property of K , it follows that

$$f(w) = \langle f, K(\cdot, w) \rangle, \quad f'(w) = \langle f, \bar{\partial} K(\cdot, w) \rangle.$$

Consequently, we have $\mathcal{E}_w^\perp = \bigvee \{K(\cdot, w), \bar{\partial}K(\cdot, w)\}$. A solution to the extremal problem mentioned above can be found in terms of the kernel function as in [10]:

$$\inf \{ \|f\|^2 : f \in \mathcal{C}_w \} = \left\{ K(w, w) \left(\frac{\partial^2}{\partial z \partial \bar{z}} \log K(z, z) \Big|_{z=w} \right) \right\}^{-1}.$$

Now consider the function g in \mathcal{H} defined by

$$g(z) := \frac{K_w(z)F_w(z)}{2\pi S(w, w)K(w, w)}, \quad z \in \Omega,$$

where $F_w(z) = \frac{S_w(z)}{L_w(z)}$ denotes the Ahlfors map for the domain Ω at the point w (cf. [3, Theorem 13.1]). Note that $|F_w(z)| < 1$ on Ω and $|F_w(z)| \equiv 1$ on $\partial\Omega$. As $g \in \mathcal{H}$, we have the inequality

$$\begin{aligned} & \left\{ K(w, w) \left(\frac{\partial^2}{\partial z \partial \bar{z}} \log K(z, z) \Big|_{z=w} \right) \right\}^{-1} \\ & \leq \|g\|^2 \\ & = \frac{1}{4\pi^2 S(w, w)^2 K(w, w)^2} \int_{\Omega} |F_w(z)|^2 |K(z, w)|^2 h(z) \, dv(z) \\ & < \frac{1}{4\pi^2 S(w, w)^2 K(w, w)^2} \int_{\Omega} |K(z, w)|^2 h(z) \, dv(z) \\ & = \frac{1}{4\pi^2 S(w, w)^2 K(w, w)}, \end{aligned}$$

where the strict inequality follows from the inequality $|F_w(z)| < 1$ on Ω . Hence, we have $\partial_z \bar{\partial}_z \log K(z, z) \Big|_{z=w} > 4\pi^2 S(w, w)^2$, which is the strict curvature inequality. We obtain the uniqueness of the extremal operator within the class \mathcal{F} , defined in Section 4, by combining this with Theorem 2.6 of [15].

THEOREM 5.1

Let ζ be an arbitrary but fixed point in Ω and T be an operator in $B_1(\Omega^*)$. Assume that the adjoint T^* (up to unitary equivalence) is in \mathcal{F} . Then $\mathcal{K}_T(\bar{\zeta}) \leq -4\pi^2 S_\Omega(\zeta, \zeta)^2$, where equality occurs for a unique operator modulo unitary equivalence.

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