

Superconductivity in CoO₂ layers and the resonating valence bond mean-field theory of the triangular lattice t - J model

Brijesh Kumar and B. Sriram Shastry

Department of Physics, Indian Institute of Science, Bangalore 560012, India

Motivated by the recent discovery of superconductivity in two-dimensional CoO₂ layers, we present some possibly useful results of the resonating valence bond mean-field theory applied to the triangular lattice. An interesting time reversal breaking superconducting state arises from strongly frustrated interactions. Away from half filling, the order parameter is found to be complex, and yields a fully gapped quasiparticle spectrum. The sign of the hopping plays a crucial role in the analysis, and we find that superconductivity is as fragile for one sign as it is robust for the other. Na_xCoO₂·yH₂O is argued to belong to the robust case, by comparing the local-density approximation fermi surface with an effective tight-binding model. The high-frequency Hall constant in this system is potentially interesting, since it is pointed out to increase linearly with temperature without saturation for $T > T_{degeneracy}$.

I. INTRODUCTION

The recent discovery of superconductivity at low temperatures in CoO₂ layered compounds¹ is an interesting event, since it may be the long sought *low-temperature* resonating valence bond (RVB) superconductor, on a lattice which was at the basis of Anderson's original ideas on a possible quantum spin liquid state². Although the spin-1/2 triangular lattice appears to have better states with three sublattice magnetic order, it is possible that the RVB state is attained for sufficiently high doping, and it seems to be both useful and worthwhile to explicitly state the detailed results of the RVB ideas applied to this lattice so as to serve as a reference point for further experiments that are surely forthcoming shortly.

Another reason why the triangular lattice is important is that there exists a very complete and highly nontrivial set of ideas having their origin in the fractional quantum Hall physics that have been theoretically applied to the triangular lattice by Kalmeyer and Laughlin.³ Their picture of a $m=2$ fractional quantum Hall effect state of interacting hardcore bosons (viz., the spin-1/2 particles) leads to anyonic particles on doping and to a Meissner-like time-reversal breaking state. Such a state can be alternately viewed in the language of the flux phases, where Anderson, Shastry, and Hristopoulos⁴ (and also Ref. 5) showed its equivalence to a flux $\pi/2$ per triangle state. Systematics of the doping dependence of the optimum flux have not apparently been done, and presumably that is another interesting area to pursue in the present context.

Earlier weak coupling results on superconductivity in the triangular lattice⁶ were motivated by experiments on organic superconductors. A recent high-temperature expansion study of the t - J model on the triangular lattice estimates the total entropy and magnetic susceptibility as a function of temperature and doping.⁷

In the present work, we perform what seems to be a consistent and simple version of RVB theory, one which yields d -wave order for the square lattice⁸ and also for the other recently interesting case of SrCu₂(BO₃)₂.⁹ Earlier calcula-

tions have been done by other authors in a spirit similar to ours: Ref. 5 is confined to half filling and a recent work by Baskaran¹⁰ makes some qualitative points that are common to our calculations. Within this version, we evaluate the case of positive as well as negative hoppings since these are so very different in their physical content. Using the particle-hole transformation for fermions, we may define two broad cases of interest: Case A: Here we have either (i) $t > 0$ and electron doping, or (ii) $t < 0$ and hole doping; and Case B: here we have either (i) $t > 0$ and hole doping, or (ii) $t < 0$ and electron doping. Here we note that the Hamiltonian of the t - J model is written in the standard form:

$$H = -t \sum_{\langle i,j \rangle, \sigma} \mathcal{P} c_{i\sigma}^\dagger c_{j\sigma} \mathcal{P} + J \sum_{\langle i,j \rangle} \left(\mathbf{S}_i \cdot \mathbf{S}_j - \frac{n_i n_j}{4} \right), \quad (1)$$

where \mathcal{P} stands for the Gutzwiller projection due to large U , and the summation is over nearest neighbors. The notation of hole and electron filling is *relative to half filling* in the effective one-band model for this system and, as usual, $\delta = |1 - n|$, where n is the electron concentration. This model incorporates both electronic frustration and spin frustration through the kinetic and the exchange energies. The former favors Nagaoka ferromagnetism for case A (see later), while the frustrated antiferromagnetic exchange J competes against it for both cases. The use of an antiferromagnetic exchange is motivated by the observed Curie-Weiss susceptibility with a negative Curie-Weiss temperature,¹¹ and though surprising in the event of a 98° Co-O-Co bond angle, is not without precedent, since CuGeO₃ with the same bond angle has also an AFM J (~ 120 °K).¹²

A first step in the direction of identifying an effective one-band model is in the work of Singh,¹³ whose local-density approximation (LDA) calculation of the band structure shows that the Fermi energy for the case of NaCo₂O₄ is in a tight-binding-like set of states of t_{2g} symmetry, in the close proximity of and slightly above a sharp peak in the electronic density of state. We interpret this as an example of case A(i) above, since the Fermi surface for triangular lattice tight-binding band structure, $\epsilon(\mathbf{k}) = -2t(\cos(k_x) + 2 \cos(k_x/2) \cos(\sqrt{3}k_y/2))$, gives a density of states (DOS) (see Fig. 1) with a prominent peak near the

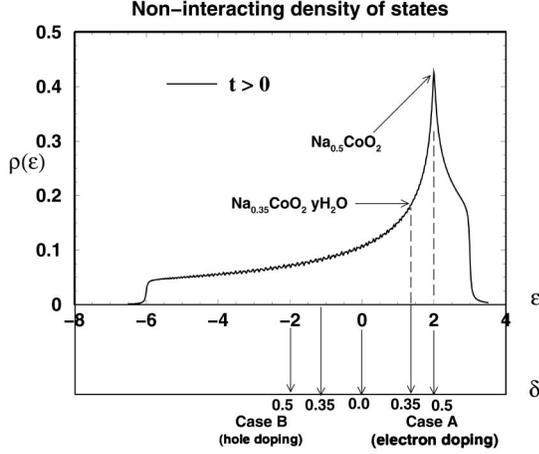


FIG. 1. The density of states for the triangular lattice tight-binding Hamiltonian, and locations of various systems.

Fermi level for $\text{Na}_{0.5}\text{CoO}_2$ as well as the extremity of the band, and to the extent that the low-energy structures are irrelevant, this matches the LDA DOS.¹⁴ We return to discuss this issue in Sec. IV. The fiduciary Mott insulating state from where the hole/electron doping is measured is the case of pure CoO_2 on a triangular lattice. This particular system has apparently not been realized experimentally so far due to a lattice structure change.

II. A FEW REMARKS ON FERROMAGNETISM AND THE HALL CONSTANT IN THE TRIANGULAR LATTICE

Before describing the RVB calculation, we recount a few remarkable features of the triangular lattice Hubbard and t - J model physics, which may be useful in future studies.

A. Ferromagnetism

Singh has noted that the LDA calculations of NaCo_2O_4 show an instability of the paramagnetic state towards a ferromagnetic state. Indeed this is exactly what one expects from the Nagaoka physics on the triangular lattice as shown by Shastry, Krishnamurthy, and Anderson,¹⁵ who pointed out that while case *B* above is highly detrimental to ferromagnetism in the infinite U limit, case *A* highly favors the ferromagnetic state. This follows from a stability analysis of the low-energy excitations of the state. Ferromagnetism is the fate of case *A*, t - J model at $J=0$ for essentially all fillings. At low dopings, turning on a sufficiently strong antiferromagnetic J removes the Nagaoka instability, for some range of hole doping. We argue below that in this very doping range, the RVB superconducting phase emerges instead. At higher doping, i.e., in the high electron density limit of case *A*(i), J becomes irrelevant. For an almost filled band, with the Fermi energy near a peak in the DOS (as in transition-metal ferromagnetism), the work of Kanamori and Galitskii predicts ferromagnetism. Thus notwithstanding the results of the RVB state, we must expect metallic ferromagnetism in the case when the electron doping is high in case *A*(i). The

observation of ferromagnetism in $\text{Na}_{0.75}\text{CoO}_2$ (Ref. 16) is consistent with these arguments, while being enigmatic in that the high-temperature susceptibility shows a negative Curie-Weiss temperature.

B. High-frequency Hall effect

A fascinating property of the triangular lattice was noted in Ref. 17. The high-frequency Hall constant R_H^* is amenable to a lattice walk expansion. It can be expressed in terms of loops encircling a flux, and manages to capture the Mott Hubbard aspect of the problem, such as a vanishing “effective Hall carrier density” near half filling on the square lattice. The resulting high-frequency Hall constant, in Mott Hubbard systems, is *not* a measure of carrier density, unlike in simple conductors, but encodes complicated correlations of the underlying system. The topology of the lattice plays a critical role in determining this object, since it depends upon the length of the closed loops, and the triangular lattice was noted to be exceptional in having the smallest length of a closed loop, with an odd number of steps, namely three, leading to a very different behavior from say the square lattice. A calculation for the triangular lattice in the case of hole doping yields

$$R_H^* = -\frac{v}{8|e|} \frac{k_B T}{t} \frac{1+\delta}{\delta(1-\delta)} \quad [\text{Case A(ii) or B(i)}]. \quad (2)$$

Here $|e|$ is the magnitude of the electronic charge and v is the physical (three-dimensional) unit-cell volume containing one cobalt ion, which from Ref. 1 may be estimated to be $67.71 \times 10^{-24} \text{ cm}^3$.¹⁸ We remark that this result is computed in the case of hole doping and for either sign of hopping “ t ,” i.e., case *A*(ii) or *B*(i), and in comparison with electron doping, case *A*(i) or *B*(ii), one must use the usual rules for particle-hole transformation $t \rightarrow -t$ as well as $\delta = |1-n|$. This leads to the following expression for R_H^* in the case of electron doping:

$$R_H^* = \frac{v}{8|e|} \frac{k_B T}{t} \frac{1+\delta}{\delta(1-\delta)} \quad [\text{Case A(i) or B(ii)}]. \quad (3)$$

The expressions in Eqs. (2) and (3) are valid when temperature $T > T_{\text{degeneracy}} \sim |t|$. Since $T_{\text{degeneracy}}$ seems so low in these systems, as evinced by the strong Curie-Weiss behavior, this result, so remarkable in absence of saturation in temperature, seems worthwhile to be checked experimentally. This could also be used to experimentally determine the magnitude as well as sign of “ t ” for an effective one-band system. The distinction between the transport and the high-frequency Hall constants is argued to be through a weak frequency and temperature dependent self-energy, and hence it is possible that the transport measurements are also anomalous in the same sense.¹⁹

III. THE RVB CALCULATION AND ITS DETAILED PREDICTIONS

A. Mean-field equations

The “ J ” term in Eq. (1) can be rewritten as $-J \sum_{i,j} b_{ij}^\dagger b_{ij}$, where the bond operator $b_{ij}^\dagger = (c_{i\uparrow}^\dagger c_{j\downarrow}^\dagger - c_{i\downarrow}^\dagger c_{j\uparrow}^\dagger) / \sqrt{2}$ is a singlet pair creation operator acting on a

pair of sites i and j . The RVB mean-field calculations are carried out by defining a complex order parameter $\Delta_{ij} = \langle b_{ij} \rangle$. On the triangular lattice, we have three different nearest-neighbor bonds, one along say x direction and the other two at an angle of $\pi/3$ and $2\pi/3$ with x axis. We consider a simple situation where $|\Delta_{ij}| = \Delta$ along all bonds, but three different phases are allowed along three kinds of bonds. Since one of the phases can be gauged away, only two (relative) phases are sufficient. We assign zero phase along the x direction, θ and ϕ along the $\pi/3$ and $2\pi/3$ directions, respectively. In momentum space, this choice of mean-field order parameter leads to the following k -space function $D(\mathbf{k})$, which carries the order-parameter symmetry information:

$$D(\mathbf{k}) = \cos(k_x) + e^{i\theta} \cos(k_x/2 + \sqrt{3}k_y/2) + e^{i\phi} \cos(k_x/2 - \sqrt{3}k_y/2). \quad (4)$$

The effect of projection of double occupancy on hopping is accounted for by a simple approximation where t is replaced by $t\delta$, with δ being the hole concentration. Our calculations have been done only for the hole doping case, but for both $t > 0$ as well as $t < 0$ [cases $B(i)$ and $A(ii)$]. The case of electron doping can easily be related to these calculations by particle-hole transformation, as described earlier.

We get two mean-field equations, one for Δ and the other for μ , the chemical potential. These are

$$\Delta = \frac{1}{6JL} \sum_{\mathbf{k}} \frac{\partial E(\mathbf{k})}{\partial \Delta} \tanh\left(\frac{\beta E(\mathbf{k})}{2}\right), \quad (5)$$

$$\delta = -\frac{1}{L} \sum_{\mathbf{k}} \frac{\partial E(\mathbf{k})}{\partial \mu} \tanh\left(\frac{\beta E(\mathbf{k})}{2}\right). \quad (6)$$

Here $E(\mathbf{k}) = \sqrt{[\epsilon(\mathbf{k}) - \mu]^2 + 2J^2\Delta^2|D(\mathbf{k})|^2}$, $D(\mathbf{k})$ is given in Eq. (4).

B. Order parameter and quasiparticle spectrum

We solve Eqs. (5) and (6) self-consistently for given values of δ and t , and for different choices of θ and ϕ . All energies are measured in units of the exchange coupling J .

First, we perform the computation at $T=0$ and find the values of θ and ϕ , at different hole concentrations, for which the ground-state energy is minimum. This fixes the symmetry of the mean-field order parameter. At $\delta=0$, the ground-state energy is lowest for $(\theta, \phi) = (0, \pm\pi/2)$ and $(\theta, \phi) = (\pm\pi/2, 0)$, in contrast to the case of $(\theta, \phi) = (2\pi/3, 4\pi/3)$ of Ref. 5 which has slightly higher energy. At half filling, the ground state has a lower symmetry of cubic type rather than the sixfold rotational symmetry of the triangular lattice.

Away from half filling, the ground-state energy is lowest at $(\theta, \phi) = (2\pi/3, 4\pi/3)$, $(4\pi/3, 2\pi/3)$, and $(2\pi/3, -2\pi/3)$. Three other phase points, which are related to these via inversion with respect to origin, are equivalent, and together these six minimum energy phase points reflect the symmetry of the Brillouin zone. Figure 2 shows the mean-

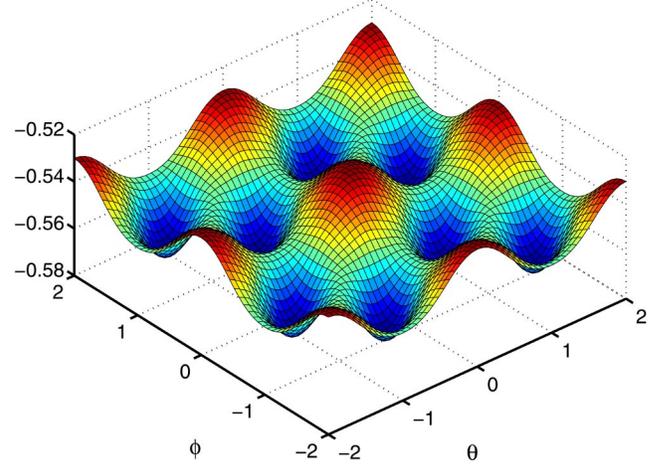


FIG. 2. The mean-field energy density surface as a function of the internal phases θ and ϕ (in units of π) of the order parameter. The minima occur at six corner points of the Brillouin zone.

field energy density plotted as a function of θ and ϕ . In rest of the calculations, we just choose one of these points to perform the computation.

At $\theta = 2\pi/3$ and $\phi = 4\pi/3$, for various values of t , the mean field Δ is computed as a function of hole concentration, as shown in Fig. 3. Δ decreases rapidly for $t > 0$ rather than for $t < 0$, as the hole concentration is increased. The larger magnitude of Δ as well as the greater doping range suggest that case A, that is “ $t < 0$ and hole doping” or “ $t > 0$ and electron doping,” presents a more robust case for the RVB state of superconductivity, as compared to case B.

The results of this low-temperature mean-field theory should be contrasted to those of the high-temperature series in Ref. (7), who interpret their extrapolated results to imply lower entropy at low temperatures ($kT < |t|$) for case B, and hence perhaps greater tendency towards some (nonferromagnetic) ordering. Further work needs to be done to reconcile these findings.

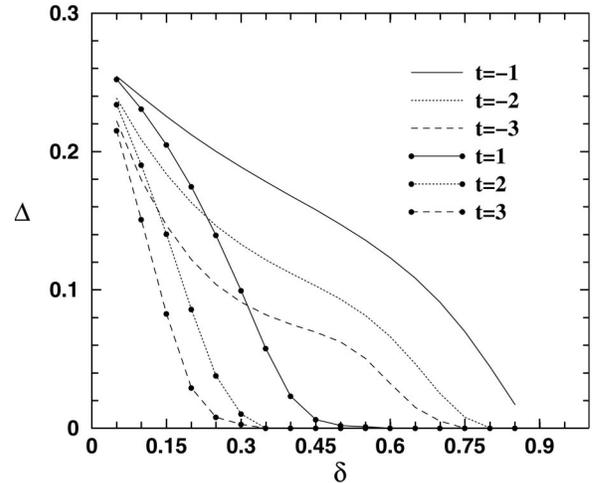


FIG. 3. The mean field Δ as a function of the hole concentration δ for both positive and negative t in units of J .

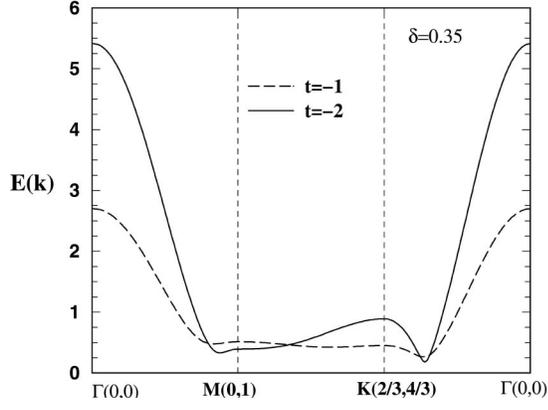


FIG. 4. The quasiparticle dispersion $E(\mathbf{k})$. The coordinates of Γ , M , and K symmetry points in the Brillouin zone are given in units of π . The coordinates (k_1, k_2) given here are such that $k_1 = k_x$ and $k_2 = (k_x + \sqrt{3}k_y)/2$, where k_x and k_y are the usual k -space variables along x and y directions.

Next we present the quasiparticle dispersion and the quasiparticle density of states from our mean-field calculations. These calculations are done for a physically relevant value of $\delta \sim 0.35$ at which superconductivity is observed in $\text{Na}_x\text{CoO}_2 \cdot y\text{H}_2\text{O}$.¹ The cobalt oxide layer alone acts as a half-filled system in the effective one-band picture, therefore the carrier concentration in excess to the half-filled case is just x .

Both the quasiparticle dispersion and the density of states, in Figs. 4 and 5, respectively, show an energy gap in the spectrum. The reason lies in the fact that function $D(\mathbf{k})$ is complex. For $(\theta, \phi) = (2\pi/3, 4\pi/3)$, the function $D(\mathbf{k}) = d_1 - id_2$, where $d_1 = \cos(k_x) - \cos(k_x/2)\cos(\sqrt{3}k_y/2)$ and $d_2 = \sqrt{3}\sin(k_x/2)\sin(\sqrt{3}k_y/2)$. Thus our results are akin to the $d_{x^2-y^2} + id_{xy}$ symmetry case in the cuprates.

The modulus of $D(\mathbf{k})$ is nonzero at all points in the Brillouin zone, except at six zone corner points, which are $(2\pi/3, -2\pi/3)$, $(2\pi/3, 4\pi/3)$, etc., and the origin. Though $\epsilon(\mathbf{k}) - \mu$ has contour of zeros within the Brillouin zone, the chance of six corner points lying on this contour of zeros is almost zero. This point is clear in Fig. 6, where $|D(\mathbf{k})|$ and

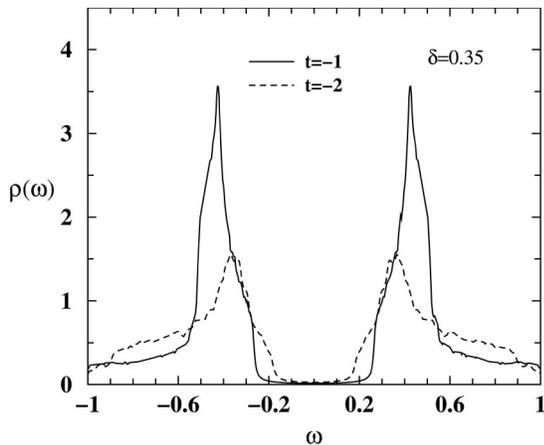


FIG. 5. The quasiparticle density of states.

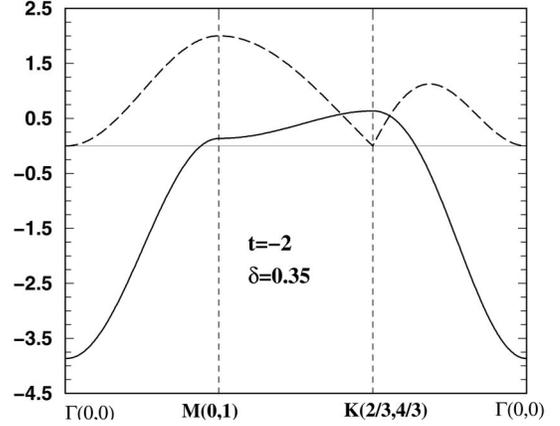


FIG. 6. The dashed line is for $|D(\mathbf{k})|$ and the solid line is for $[\epsilon(\mathbf{k}) - \mu]/2t\delta$.

$\epsilon(\mathbf{k}) - \mu$ are plotted along the three symmetry directions of the Brillouin zone. The gap for $t = -1$ and -2 is approximately $0.2J$ and $0.1J$, respectively.

The gap ($\sim |\Delta|$) decreases with increasing $|t|$ as already noted. We mention that the quasiparticle spectrum is gapped for $t > 0$ as well, but the gap is very small around the doping of our interest. In fact for reasonable values of t (say, 3) it is zero, precisely because $\Delta = 0$. Thus, case B does not favor RVB solution for large dopings, say 0.3 or beyond.

We have also calculated temperature at which the mean-field order parameter Δ vanishes for different values of δ . It helps us understand the broad nature of thermodynamic phase diagram in T - δ plane. Figure 7 shows the transition temperatures for different hole concentrations for which Δ vanishes.

The question of RVB superconductivity needs a little more care than what we have given so far. The mean field Δ , though a pairing order parameter, does not by itself imply superconductivity. For example, at half filling Δ is nonzero, but it is insulating. The identification of the superconducting phase in T - δ diagram can, however, be done within the

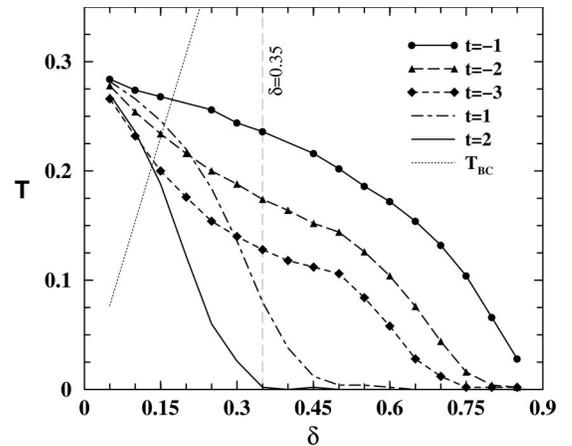


FIG. 7. T is in units of J . The case with $t > 0$ for $\delta \sim 0.35$ is not very robust for the RVB mean-field theory whereas $t < 0$ is favorable.

framework of the slave-boson approach. This approach has been quite popular in the RVB theories of t - J model on square lattice.²² Here the physical electron operator $c_{\sigma}^{\dagger} = f_{\sigma}^{\dagger} b$, where f is the spin-1/2 neutral fermion and b is the spin-0 charged boson, and the projection of double occupancy is in-built into the construction.

The superconducting order parameter $\langle c^{\dagger} c^{\dagger} \rangle \sim \langle b b \rangle \times \langle f^{\dagger} f^{\dagger} \rangle$ is a product of spin-pairing order parameter and a bose condensation factor. What we have obtained from the mean-field calculation is essentially the spin-pairing order parameter. The true superconducting order parameter is $\Delta_{SC} \sim F_B \Delta$, where Δ is the mean-field order parameter. The bose condensation temperature for b bosons (for F_B to be nonzero) needs to be estimated separately. That region of T - δ diagram, where both F_B as well Δ are nonzero, can be interpreted as the RVB superconducting phase. There are three more typical regions according to this interpretation: (1) spin gap: $\Delta \neq 0$ and $F_B = 0$, (2) strange metal: $\Delta = F_B = 0$, and (3) normal metal: $\Delta = 0$ and $F_B \neq 0$. With this qualitative picture in mind, we will present a rough phase diagram for the cobalt oxide superconductors.

Let us briefly mention how we estimate the bose condensation temperature T_{BC} for the bosons. There are other ways,²² but we will discuss our roughly equivalent prescription. The b bosons, at the level of mean-field decoupling, will effectively have the same band structure as that of the tight-binding electrons on triangular lattice. Therefore, T_{BC} is defined as a temperature at which the boson chemical potential tends to be the band's bottom. Since free bosons cannot condense in two dimensions, we will take the case of three-dimensional band structure with a large c -axis anisotropy. Around the band's bottom, the energy dispersion can be approximated as $c^*(k^2 + k_z^2/\gamma)$. The curvature c^* is related to the two-dimensional density of states at the band edge, ρ^* , as $c^* \approx (1/4\pi\rho^*)$. Now, using the fact that free boson can condense in three dimensions, $\delta = (1/L) \sum_{\mathbf{k}} 1/(e^{\beta_{BC}(\epsilon(\mathbf{k}) - \epsilon_{min})} - 1)$. Simplifying it for $\gamma \gg \pi/4$, we get

$$T_{BC} \approx \frac{\delta}{\rho^*} \frac{1}{2 + \ln(4\gamma/\pi)}.$$

Since the anisotropy affects only logarithmically, we can safely take some large value for $\gamma \sim 100$, especially when the real system is a good quasi-two-dimensional system.

C. Phase diagram

Here we propose a rough phase diagram for triangular lattice, layered cobalt oxide materials over a wide range of doping based upon our calculation in the scheme of case A(ii) as shown in Fig. 8. This phase diagram encompasses a number of interesting phases, including the recently observed superconducting phase. We will briefly describe each of the labeled region in Fig. 8.

The exchange coupling J is estimated from the high-temperature susceptibility data¹¹ for $\text{Na}_{0.5}\text{CoO}_2$. Its Curie-Weiss temperature is approximately -118 K which gives $J \sim 79$ K. For doping $\delta = 0.35$, T_C for transition into a RVB

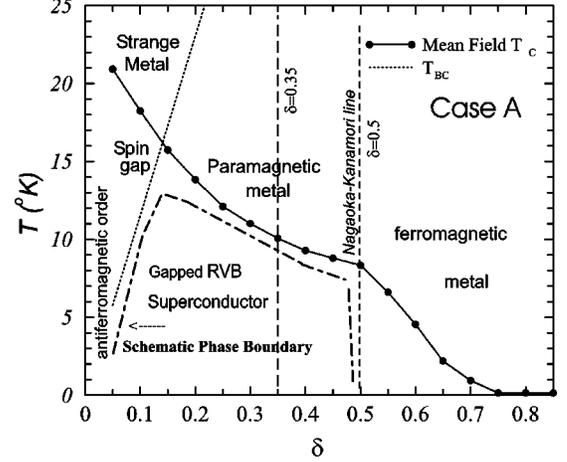


FIG. 8. The mean-field phase diagram for $t = -3J$ and $(\theta, \phi) = (2\pi/3, 4\pi/3)$.

superconducting state is approximately 10 K, compared to the measured $T_C \sim 5$ K.¹ An important prediction for the superconducting phase is the existence of an energy gap in the quasiparticle spectrum. It arises because the superconducting order parameter is complex, with relative internal phases $2\pi/3$ and $4\pi/3$ thus avoiding the possibility of generic vanishing of the gap along lines or points in the momentum space. The optimal doping seems to be around 0.15.

On the higher side of the doping ($\delta \geq 0.5$) in Fig. 8, we expect the RVB superconducting state to become unstable in favor of a ferromagnetic metallic state where either the Nagaoka or the Kanamori ferromagnetism plays a dominant role. We call $\delta = 0.5$ (electron concentration, $n = 1.5$) as the Nagaoka-Kanamori line, the rough location where the Nagaoka physics of the doped Mott state transmutes into the Brueckner-type Kanamori physics of multiple scattering in an almost filled band. The precise location of the phase boundary between the superconducting and the ferromagnetic states is difficult to predict from the present calculation, since the RVB mean-field theory is not particularly accurate in getting the absolute energies for various states. We present only a guide to the eye in our phase diagram. At sufficiently high temperatures, we expect ferrometal to parametal transition across the Nagaoka-Kanamori line, which can be estimated from the spin stiffness of the quasi-2D ferromagnet. Apart from the above-mentioned important phases, one may expect a spin-gapped phase in the low-doping low-temperature regime, since that is where exchange interaction will play a more decisive role. The three sublattice antiferromagnetic phase of the triangular lattice AFM is likely to persist for small doping. We also expect a strange metallic phase to exist at moderate doping, but only at higher temperatures.

We next also present, in Fig. 9, the mean-field phase diagram for case B(i). Though case B is less favorable than case A, it does yet achieve the RVB superconducting phase for small values of t ($\sim J$ or smaller; see Fig. 7). Since the value of $|t|$ in these cobaltates is believed to be rather low, it seems appropriate to record the results for case B also.

This phase diagram is a simpler version of the mean-field phase diagram for case A(ii), with the symbols having the

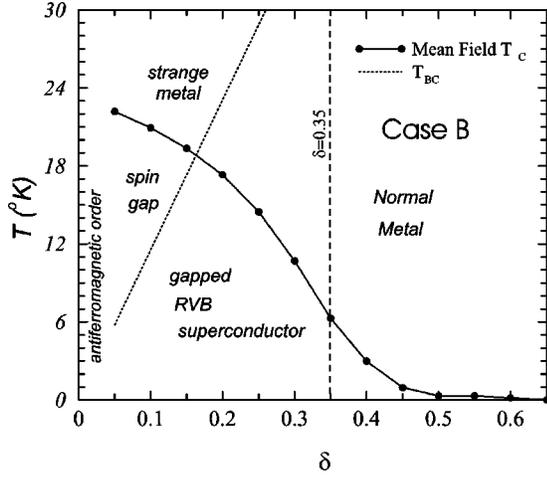


FIG. 9. The mean-field phase diagram for $t=J$ and $(\theta, \phi) = (2\pi/3, 4\pi/3)$.

same meaning. The possibility of a ferromagnetic phase does not arise in this case, leading to its simplicity. Here we find $T_C \sim 6$ K at 0.35 hole concentration. The optimal doping and the maximum T_C are similar to those of case A, but T_C falls off more rapidly for $\delta \geq 0.15$.

IV. SUMMARY

To summarize the work presented here, we have performed a RVB mean-field theory on the triangular lattice, inspired by the recent discovery of the superconductivity in cobalt oxide layered materials.

Our use of a single-band model in the present context needs a word of justification. The LDA band structure for $\text{Na}_{0.5}\text{CoO}_2$ (Refs. 13,14) indicates the possibility of a competition between two bands for the Fermi level on moving away from that doping in either direction. One would conclude that $\delta_c \sim 0.5$ if the bands are held rigidly under doping, since at this filling the Fermi level tangents the (filled) band emanating from the Γ point in Fig. 1 of Ref. 13. The systems at different dopings would have their Fermi levels in different bands. These would then correspond to (1) case A(i) for $\delta < \delta_c$, i.e., electronlike and (2) case B(ii) for $\delta > \delta_c$, i.e., holelike. A “one-band model” appears to be justifiable provided one is sufficiently far from δ_c . In view of the uncertainty in the exact location of δ_c , we have presented the results for both signs of t above. While photoemission may shed light on this issue, its interpretation needs caution in view of the remarkable possibility that the observed renormalized Fermi surface in strongly correlated systems could differ drastically in shape from the *bare* Fermi surface, which has emerged from recent numerical work.^{20,21} Our concern in

this work is with the “bare Fermi surface,” i.e., with the sign of the *bare* t .

We argue that the superconducting material, $\delta=0.35$, corresponds to an effective one-band case with $t>0$ and electron doping, i.e., case A(i). This is logical since a filling of $\delta=0.35$ seems far enough from any reasonable δ_c . Several detailed results are presented. We find the symmetry of the superconducting order parameter and predict the existence of an energy gap. We also present an approximate phase diagram for the cobalt oxide superconductor in the T - δ plane.

In the more interesting case A, there is a competition between ferromagnetic order and superconductivity. Our low-temperature RVB superconducting phase basically arises in the doping range where Nagaoka ferromagnetism is suppressed by the antiferromagnetic J . The superconductivity found here may thus be viewed as arising from what may be termed *total frustration*, i.e., from the competition between competing terms, the frustrated electronic motion that weakly prefers Nagaoka ferromagnetism and the frustrated spin exchange that prefers either no long-ranged order (i.e., a short-ranged RVB-type state) or a doping weakened three sublattice order.

One major assumption in this work is that the scale of t is not too different from J which is estimated to be around 79 K. This estimate is at odds with the LDA estimate of the band width by two orders of magnitude, and thus we cannot claim to have “explained” the low degeneracy temperature scale; we have merely assumed it and worked out the consequences for other properties. Indeed the emergence of a low-energy scale in these systems seems to be a central problem of the cobaltates.

Finally, we have presented our results for the high-frequency Hall constant R_H^* . This is predicted to grow linearly with temperature without saturation, and the slope depends in a known way on t and on the filling. The filling dependence $[(1+\delta)/\delta(1-\delta)]$ should be readily testable. The explicit expressions enable one to extract the hopping matrix element t . The unusual behavior of the Hall constant is a special property of the triangular lattice, having to do with its unique topology of smallest length of closed loops, with odd length. Our results are valid for high temperatures $k_B T \gg \{|t|, J\}_{\min}$. Remarkably enough, this condition appears easy to fulfill in the cobaltates, and hence it should be possible to utilize these results to extract basic parameters for the system from high-frequency Hall experiments. Our result might also be useful in interpreting transport Hall data.

ACKNOWLEDGMENTS

B.K. acknowledges CSIR for financial support. B.S.S. was supported in part by an Indo French Grant No. IFCPAR/2404.1.

¹K. Takada, H. Sakurai, E. Takayama-Muromachi, F. Izumi, R.A. Dilanian, and T. Sasaki, *Nature (London)* **422**, 53 (2003).
²P.W. Anderson, *Mater. Res. Bull.* **8**, 153 (1973); *Science* **235**, 1196 (1987).

³V. Kalmeyer and R.B. Laughlin, *Phys. Rev. Lett.* **59**, 2095 (1987).

⁴P.W. Anderson, B.S. Shastry, and D. Hristopoulos, *Phys. Rev. B* **40**, 8939 (1989).

⁵T.K. Lee and S. Feng, *Phys. Rev. B* **41**, 11 110 (1990).

- ⁶M. Vojta and E. Dagotto, Phys. Rev. B **59**, R713 (1999).
- ⁷T. Koretsune and M. Ogata, Phys. Rev. Lett. **89**, 116401 (2002).
- ⁸G. Kotliar and J. Liu, Phys. Rev. B **38**, 5142 (1988).
- ⁹B.S. Shastry and B. Kumar, Prog. Theor. Phys. Suppl. **145**, 1 (2002).
- ¹⁰G. Baskaran, cond-mat/0303649 (unpublished), here the assignment of the sign of the hopping element “ t ” seems to be the opposite to ours, namely to case $B(ii)$.
- ¹¹R. Ray, A. Ghoshray, K. Ghoshray, and S. Nakamura, Phys. Rev. B **59**, 9454 (1999).
- ¹²M. Nishi, O. Fujita, and J. Akimitsu, Phys. Rev. B **50**, 6508 (1994).
- ¹³D. Singh, Phys. Rev. B **61**, 13 397 (2000).
- ¹⁴Our assignment of the sign of “ t ” leads to an electronlike Fermi surface surrounding the Γ point, which might be ascribable to the electronlike band in Fig. 1 of Ref. 13 having a minimum at the Γ point. This is reasonable if we remember that the electron count for the superconducting samples $x=0.35$ forces the Fermi level to dip below the value for the $x=0.5$ case reported in Ref. 13, which has a holelike Fermi surface surrounding the Γ point.
- ¹⁵B.S. Shastry, H.R. Krishnamurthy, and P.W. Anderson, Phys. Rev. B **41**, 2375 (1990).
- ¹⁶T. Motohashi, R. Ueda, E. Naujalis, T. Tojo, I. Terasaki, T. Atake, M. Karppinen, and H. Yamauchi, Phys. Rev. B **67**, 064406 (2003).
- ¹⁷B.S. Shastry, B.I. Shraiman, and R.R.P. Singh, Phys. Rev. Lett. **70**, 2004 (1993).
- ¹⁸We get lattice parameters $a=2.823$ Å and $c=19.621$ Å from Ref. 1. The physical cell volume containing one cobalt ion, $v = \frac{1}{2}(\sqrt{3}a^2c/2)$. The factor of 1/2 is there because each crystallographic unit cell of $\text{Na}_x\text{CoO}_2 \cdot y\text{H}_2\text{O}$ contains two CoO_2 layers, each contributing one cobalt ion.
- ¹⁹With an absolute scale measurement of R_H^* , it should be possible to obtain not only the sign of “ t ,” but also its magnitude. Optical Hall constant measurements may be roughly guessed from the transport measurements.
- ²⁰A. Himela and M. Ogata, Phys. Rev. Lett. **85**, 4345 (2000).
- ²¹W.O. Puttika, M.U. Luchini, and R.R.P. Singh, Phys. Rev. Lett. **81**, 2966 (1998).
- ²²M.U. Ubbens and P.A. Lee, Phys. Rev. B **49**, 6853 (1994).